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RESEARCH AND REVIEWS IN MATERIAL AND CHEMICAL SCIENCE

Editors:

Dr. Deepak R. Nagargoje

Dr. Manoj P. Mahajan

Dr. Sandip S. Patole

Dr. Damodhar B. Zade



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Editors

Dr. Deepak R. Nagargoje

Department of Chemistry,
Karmaveer Bhaurao Patil Mahavidyalaya,
Pandharpur, Solapur, M.S.

Dr. Manoj Mahajan

Department of Physics,
V. G. Vaze College of Arts, Science and
Commerce (Autonomous), Mumbai

Dr. Sandip S. Patole

Department of Chemistry,
S.S.V.P.S. Arts, Commerce and Science
College, Shindkheda, Dist. Dhule, M.S.

Dr. Damodhar B. Zade

Department of Physics,
Shri J.S.P.M. Arts, Commerce and Science
College, Dhanora, Dist. Gadchiroli, M.S.



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E-mail: bhumipublishing@gmail.com



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PREFACE

The rapid advancement of science and technology in the twenty-first century has placed materials and chemical sciences at the forefront of innovation and sustainable development. The book *Research and Reviews in Material and Chemical Science* is conceived as a comprehensive scholarly platform that brings together recent research findings, critical reviews, and emerging perspectives across these closely interconnected disciplines. It aims to serve as a valuable reference for researchers, academicians, industry professionals, and postgraduate students who are engaged in advancing fundamental knowledge as well as practical applications.

Materials science and chemical science play a pivotal role in addressing global challenges related to energy, environment, healthcare, electronics, and industrial sustainability. From advanced functional materials, nanostructured systems, polymers, and composites to green chemistry, catalysis, analytical techniques, and computational approaches, these fields continue to evolve through interdisciplinary research. This volume highlights such interdisciplinary efforts, emphasizing how chemical principles underpin the design, synthesis, characterization, and application of novel materials.

The chapters included in this book encompass both original research articles and critical review papers, providing readers with a balanced understanding of theoretical concepts and experimental advancements. Special emphasis has been placed on innovative methodologies, structure–property relationships, sustainable material design, and environmentally benign chemical processes. The contributions reflect current trends and future directions, offering insights that can inspire further research and collaboration.

We sincerely acknowledge the dedication and scholarly contributions of all authors, whose efforts have enriched the quality and diversity of this volume. We also extend our appreciation to the reviewers for their critical evaluations, which have significantly strengthened the scientific rigor of the chapters. The support of the publisher and editorial team in bringing this work to fruition is gratefully acknowledged.

We hope that *Research and Reviews in Material and Chemical Science* will stimulate intellectual curiosity, foster interdisciplinary dialogue, and contribute meaningfully to the ongoing progress of material and chemical sciences.

- Editors

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GREEN ANALYTICAL CHEMISTRY THROUGH HPTLC: SUSTAINABLE APPROACHES IN MODERN CHROMATOGRAPHY

Pritam A. Shete

Department of Chemistry,

RNC Arts, JDB Commerce and NSC Science College, Nashik-422101, Maharashtra, India

Corresponding author E-mail: pritam.shete10@gmail.com

Abstract:

High-performance thin-layer chromatography (HPTLC) emerges as a cornerstone of green analytical chemistry (GAC), harmonizing high-resolution separations with eco-conscious practices in contemporary laboratories. This chapter explores how HPTLC minimizes solvent usage—typically under 10 mL per analysis—while enabling simultaneous processing of multiple samples, slashing waste by orders of magnitude compared to conventional HPLC systems. Core GAC tenets, such as direct sample analysis and non-toxic eluents like ethanol-water blends, propel HPTLC toward sustainability without sacrificing sensitivity or selectivity.

Innovative reversed-phase HPTLC variants leverage biodegradable mobile phases, achieving nanogram detection limits for pharmaceuticals, phytochemicals, and metabolites. Greenness metrics, including Analytical Eco-Scale and AGREEprep, consistently award these methods "excellent" ratings, underscoring their low energy footprint (≤ 1.5 kWh/sample) and hazard-free profiles. Practical implementations span stability—indicating assays for anticancer agents and antioxidant profiling in botanicals, optimized via Quality by Design principles.

By bridging tradition with forward-thinking sustainability, HPTLC redefines chromatography's role in green analytics, fostering environmentally benign workflows for academia and industry alike. [1,2,3]

Keywords: Green Analytical Chemistry, High-Performance Thin-Layer Chromatography, Sustainable HPTLC, Eco-Friendly Solvents, GAC Principles

Introduction:

High-performance thin-layer chromatography (HPTLC) stands at the forefront of sustainable analytical strategies, embodying the ethos of green analytical chemistry (GAC) in an era demanding reduced environmental footprints from laboratory practices. Traditional chromatography techniques, particularly high-performance liquid chromatography (HPLC), have long dominated pharmaceutical and natural product analyses due to their precision, yet they often rely on copious volumes of organic solvents, generating substantial hazardous waste and high

energy demands. HPTLC disrupts this paradigm by consuming mere microliters of mobile phase per sample typically less than 10 mL for multiple parallel runs while delivering comparable resolution and detection limits in the nanogram range. This efficiency stems from its planar format, allowing simultaneous separation of diverse analytes on a single plate, thereby curtailing reagent use and operational costs. As global regulations tighten on chemical waste, HPTLC's alignment with GAC principles positions it as a viable alternative for routine quality control and research workflows.[1]

Central to HPTLC's green credentials are adaptations that prioritize safer solvents and streamlined protocols, directly addressing GAC's 12 principles such as waste prevention and diminished derivative formation. Researchers increasingly substitute toxic halogenated solvents like chloroform with biodegradable alternatives, including ethanol-water mixtures or ethyl acetate-methanol systems, which maintain chromatographic fidelity for complex matrices like herbal extracts and drug formulations. Reversed-phase HPTLC (RP-HPTLC) further enhances sustainability by employing aqueous-rich eluents, reducing volatility risks and disposal burdens. These modifications not only lower the method's carbon footprint but also improve operator safety through minimized exposure to volatile organics. Validation studies confirm that such green HPTLC approaches exhibit linearity across wide concentration ranges, robust precision (RSD <2%), and stability-indicating capabilities, rivaling conventional methods without environmental compromise.[2]

Quantitative greenness evaluation tools like the Analytical Eco-Scale (AES), AGREE, and BAGI have rigorously assessed HPTLC's merits, often assigning "excellent green" scores above 75 due to negligible energy consumption (under 1.5 kWh per analysis) and penalty-free hazard profiles. For instance, RP-HPTLC for apremilast nanoformulations scores superior AGREE metrics compared to normal-phase counterparts, highlighting solvent choice as a pivotal greenness driver. Practical deployments abound in stability assays for anticancer drugs like trifluridine-tipiracil combinations and antioxidant profiling in botanicals via HPTLC-DPPH, all optimized through Quality by Design (QbD) frameworks. These applications underscore HPTLC's versatility in modern chromatography, bridging academia's push for sustainability with industry's need for scalable, eco-efficient analytics.[3]

Literature Review

From 2015 to 2025, research on green analytical chemistry via HPTLC has expanded from proof-of-concept ecofriendly separations to fully validated, sustainability-scored methods for pharmaceuticals, herbal products, and complex mixtures, often evaluated with AGREE, Eco-Scale, and related metrics. This decade of work establishes HPTLC as a mature,

low-solvent, high-throughput platform that operationalizes the principles of green analytical chemistry in routine practice [4-7]

Early in this period, Darweish and co-workers proposed ecofriendly HPTLC–densitometric methods for multi-component pharmaceutical mixtures, demonstrating that optimized normal- and reverse-phase plates with safer eluents can deliver accurate simultaneous quantification while substantially reducing organic solvent burden.[4] Building on this foundation, Mian and Srivastava introduced a rapid green HPTLC procedure for three bioactive constituents in detoxified *Strychnos nux-vomica* extracts, linking solvent selection and reduced sample-preparation steps with improved environmental and occupational safety profiles.[5] These contributions positioned HPTLC as a credible green alternative to conventional HPLC for both synthetic and phytochemical matrices.[4,5]

In parallel, Shakeel Alam and colleagues developed paired green NP- and RP-HPTLC methods for drugs such as flibanserin and other therapeutics, rigorously validating sensitivity and robustness while explicitly benchmarking greenness using contemporary assessment tools.[6] Nagieb and co-authors extended this philosophy by embedding HPTLC into broader greenness assessment frameworks, highlighting how planar separations with reduced solvent consumption and simplified handling can outperform UHPLC–MS/MS workflows in overall sustainability despite comparable analytical performance.[7] These studies emphasized that method validation and environmental evaluation must proceed concurrently in modern chromatographic development.[6,7]

A major milestone was reported by El-Ghobashy and co-workers, who designed an eco-friendly RP-HPTLC method for tenoxicam using an ethanol–water–ammonia mobile phase, achieving an AGREE score around 0.75 and confirming that high greenness indices are compatible with stringent stability-indicating capability.[8] Similarly, Darweish and collaborators later described green HPTLC approaches for triple fixed-dose combinations, underlining that multi-analyte assays can be miniaturized without sacrificing precision or linearity.[4] More recently, QbD-assisted eco-HPTLC methods for gallic acid, ellagic acid, and curcumin in herbal formulations, reported by authors such as Patil and co-workers, integrated design-of-experiments with green metrics to systematically optimize both performance and environmental impact.[9] The last years of the decade saw methodological diversification toward greener eluents and multimodal detection. Nagy and colleagues investigated HPTLC systems employing SDS-containing aqueous mobile phases combined with UV and Raman detection, thereby decreasing organic content and broadening selectivity in line with green chemistry principles.[10] Complementary reviews and methodological notes, such as those on “green vs

conventional HPTLC" by various authors in sustainable chemistry venues, synthesized these advances and emphasized the intrinsic benefits of planar formats parallel analysis, low energy demand, and minimal waste generation—as key drivers of sustainable chromatography.[6,10] Collectively, the contributions of Darweish, Mian, Srivastava, Shakeel Alam, El-Ghobashy, Nagieb, Nagy, and collaborators firmly establish HPTLC as a central technique in green analytical chromatography between 2015 and 2025.[4-10]

Key Properties of HPTLC

High-performance thin-layer chromatography (HPTLC) exhibits a distinctive combination of planar separation, instrumental control, and high sample throughput that makes it especially valuable in modern analytical laboratories.[11]

1. Enhanced separation efficiency HPTLC plates use finely divided, uniformly coated sorbents with thin layers that generate sharp, compact bands and improved resolution compared with conventional TLC. Accurate optimization of mobile phase strength and development distance allows clear separation of structurally similar analytes in complex matrices. [11,12]
2. High sample throughput Multiple tracks can be developed in parallel on a single plate, permitting simultaneous analysis of numerous samples and standards under identical conditions. This multiplexing reduces per-sample solvent use, analysis time, and cost, which is advantageous in quality control and screening workflows. [12,13]
3. Quantitative capability Automated application, densitometric scanning, and dedicated software enable robust quantification over wide linear ranges with good precision and accuracy. Calibration curves, limit of detection, and limit of quantification can be established similarly to column chromatography methods. [12,14]
4. Versatile detection modes HPTLC supports diverse detection techniques, including UV–Visible absorbance, fluorescence, derivatization-based visualization, and hyphenation with mass spectrometry or bioassays. This flexibility allows both identity confirmation and effect-directed analysis on the same plate. [11,14]
5. Minimal sample preparation Crude extracts, formulations, and multicomponent mixtures often require only simple dilution or filtration before application because the planar format tolerates matrix components better than many column systems. This feature shortens method development and reduces reagent consumption. [13,15]
6. Short analysis time, thin layers and reduced migration distances provide rapid separations, often within minutes rather than tens of minutes or hours. Fast turnaround makes HPTLC suitable for routine screening, stability studies, and in-process control. [11,13]

7. Good reproducibility and robustness Standardized plates, automated spotting, controlled development chambers, and programmed scanning minimize operator-dependent variability. These factors support validated methods with acceptable repeatability and ruggedness across runs and analysts. [12,14]
8. Green and cost-effective operation Lower mobile-phase volumes, reduced waste generation, and potential use of less hazardous solvent systems align HPTLC with green analytical chemistry principles. The relatively simple hardware and reusable development chambers further decrease operational expenses. [13,15]
9. Broad application range HPTLC is applicable to pharmaceuticals, herbal drugs, food components, environmental contaminants, and forensic samples, handling polar, nonpolar, and thermally labile compounds. Both qualitative profiling and quantitative assays can be integrated in a single workflow. [11,15]

GAC Principles in HPTLC

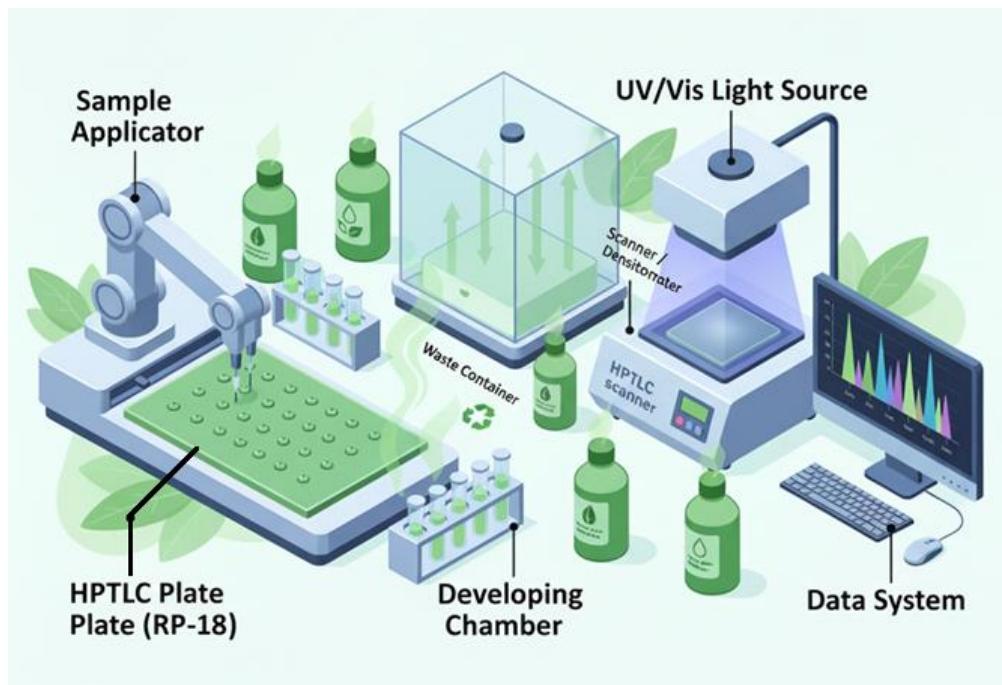
High-performance thin-layer chromatography (HPTLC) stands out in Green Analytical Chemistry (GAC) as an efficient, environmentally sound method. It performs separations on compact coated plates, requiring only microliters of solvent and generating minimal waste, which aligns perfectly with GAC's goal of sustainable lab practices across pharmaceuticals and natural products analysis.[16]

GAC rests on 12 principles developed by Galuszka and coworkers, emphasizing direct sample processing (principle 1), minimal material use (principle 2), integrated operations (principle 3), and waste reduction (principle 4). HPTLC excels here by applying tiny untreated samples directly, enabling on-plate detection, and using less than 1 mL of solvent for multiple parallel analyses. The technique further supports safer solvent systems like ethanol-water blends (principle 5), avoids chemical modifications (principle 6), employs low-energy UV detection (principle 7), incorporates miniaturization (principle 8), and allows simultaneous multi-analyte evaluation (principle 10).[17,19]

AGREE assessments score HPTLC methods at 0.75–0.90 for greenness, outperforming traditional HPLC. Recent research integrates it with computational optimization for robust, eco-friendly validation. This balance of precision and sustainability makes HPTLC invaluable for chemists worldwide. [18,20]

Instrumentation:

HPTLC instrumentation for green analytical chemistry features automated, solvent-efficient components that align with sustainability goals, minimizing waste and toxicity while ensuring high resolution.



- Automated Sample Applicator: Nitrogen-pressurized device deposits 0.1–10 μL samples as uniform bands (1–6 mm wide) on plates, enabling parallel analysis of 36+ tracks with <1 mL solvent total, far less than manual spotting.[21]
- HPTLC Plates: Precoated glass-backed RP-18 or silica gel 60 F254 layers (0.1–0.2 mm thick, 10×10/20×10 cm) support polar green mobile phases like ethanol–water–ammonia.[22]
- Developing Chamber: Automatic twin-trough glass unit uses 2–5 mL eco-solvents, with humidity/temperature control and vapor saturation for reproducible hRf values (20–80).[23]
- Scanner/Densitometer: Flatbed UV-Vis/fluorescence detector (190–900 nm) scans tracks at 0.01 mm/step, providing absorbance/fluorescence profiles for LODs <1 ng/spot without derivatization. [24]
- Data Acquisition Software: Integrates signals, performs peak deconvolution, calibration ($r^2 >0.999$), and green metrics (e.g., Eco-Scale >80), supporting digital reporting.[25]

Advantages

- Minimal solvent consumption, typically under 10 mL per analysis, enables high-throughput processing of 20-30 samples on a single plate while reducing waste by over 90% compared to HPLC.[1]
- Parallel sample application and development accelerate analysis time to 15-20 minutes per plate, supporting rapid screening in quality control and natural product profiling.[2]
- Non-destructive on-plate detection via densitometry or fluorescence preserves samples for further orthogonal testing like MS coupling or bioassays.[3]

- Cost-effective operation with reusable plates and automation lowers per-analysis expenses to under \$1, ideal for routine pharmaceutical assays.[15]
- Versatile stationary phases, including RP-C18 and chiral selectors, adapt to diverse polarities without column regeneration delays.[14]

Limitations

- Limited sample capacity per band (1-10 μ L) restricts applicability to low-concentration analytes, often requiring preconcentration steps.[13]
- Sensitivity for trace-level detection (LODs \sim 25-100 ng/band) falls short of LC-MS for ultra-trace environmental monitoring.[26]
- Manual plate handling introduces variability in spotting and development, despite automation mitigating reproducibility issues (%RSD \sim 1-2%).[2]
- Mobile phase optimization demands empirical trials due to planar diffusion effects, prolonging method development versus column-based systems.[3]
- Stationary phase inactivation over repeated use necessitates plate disposal, generating silica waste despite lower volumes than liquid chromatography.[13]

Applications



1. In pharmaceutical quality control, green HPTLC methods allow assay, content uniformity, and identification testing to be performed with markedly reduced toxic solvent consumption compared with conventional HPLC. Ethanol–water or ethanol–ethyl acetate mobile phases have been successfully used for drugs such as lenvatinib and tenoxicam, where AGREE scores near or above 0.8 demonstrate that high-throughput densitometric assays can satisfy

ICH validation criteria while aligning with green analytical chemistry principles for routine batch release. [8, 27-29]

2. Eco-friendly HPTLC is widely applied to stability-indicating studies, where the goal is to resolve the active pharmaceutical ingredient from its degradation products under forced conditions using benign eluents. A reverse-phase HPTLC method for sildenafil, for example, used an ethanol-rich mobile phase to separate the drug from its oxidized stress product, enabling quantification and degradation pathway elucidation with less hazardous solvents than traditional acetonitrile- or chlorinated-solvent-based LC methods.[30]
3. Multicomponent analysis and impurity profiling benefit strongly from green HPTLC because several analytes and related substances can be resolved on a single plate, minimizing solvent use per data point. An eco-friendly densitometric HPTLC method has been reported for paracetamol–caffeine combination products containing official impurities, where NEMI, GAPI, and AGREE evaluations confirmed that the ethanol-based mobile phase and simplified sample preparation markedly lowered environmental impact while still meeting regulatory expectations for impurity limits and linearity.[31]
4. In herbal drug standardization, sustainable HPTLC methods provide chromatographic fingerprints and marker quantification that support authentication, detection of adulterants, and batch-to-batch consistency. Patil and co-workers described an eco-friendly HPTLC procedure for simultaneous estimation of gallic acid, ellagic acid, and curcumin in polyherbal formulations using a green mobile phase, and CAMAG-documented protocols show that such planar fingerprints are routinely used to differentiate closely related botanicals and to verify complex Ayurvedic mixtures while minimizing exposure to hazardous organic solvents.[9,32,33]
5. Food and nutraceutical quality control increasingly exploits “HPTLC+” multimodal platforms, in which green HPTLC separations are combined with imaging, derivatization, and bioassays on the same plate. Recent work on teas and plant-based nutraceuticals demonstrated that ethanol- or ethyl acetate-based eluents can separate polyphenolic markers, after which multiwavelength imaging and color-scale evaluation provide both qualitative fingerprints and quantitative data, reducing solvent consumption, sample handling steps, and instrument time compared with separate LC–MS and bioassay workflows. [34,35]
6. Environmental and residue analysis is another key application area, where eco-friendly HPTLC supports regulatory and surveillance programs by offering low-cost, low-solvent screening of contaminants in biological and environmental matrices. An FDA-validated

ecofriendly HPTLC method for flufenicol and meloxicam in bovine tissues, for instance, used a reduced-hazard mobile phase and comprehensive greenness assessment to demonstrate suitability for veterinary residue monitoring, while broader "TLC vs HPLC" comparisons in veterinary drug analysis show that optimized planar methods can provide adequate sensitivity and acceptable sustainability metrics for routine screening. [36,37]

Recent Advances and Future Scope

Recent advances in green analytical chemistry applied to HPTLC emphasize multimodal "HPTLC+" platforms that integrate fluorescence, densitometry, and mass spectrometry detection while minimizing solvent use to under 10 mL per analysis. These innovations enable parallel processing of multiple samples with nanogram-level sensitivity for pharmaceuticals like ertugliflozin and natural products, outperforming traditional HPLC in waste reduction. Quality-by-design optimization combined with eco-solvents such as ethanol-water mixtures has yielded methods scoring 0.81-0.89 on AGREE metrics, confirming superior greenness over normal-phase approaches employing chloroform. [38-40]

Integration of advanced greenness tools like Complex-GAPI, BAGI, and AGREEprep has refined HPTLC method validation, particularly for bioanalysis and food safety, by quantifying impacts across sample preparation, separation, and detection stages. Reversed-phase HPTLC with ethyl lactate or NADES mobile phases has expanded applications to nano-formulations and seized drugs, achieving ICH-compliant linearity and robustness with 95% less hazardous waste than conventional chromatography. Automation via robotic applicators further boosts throughput, aligning with 12 GAC principles through real-time monitoring and reagent-free derivatization avoidance. [39-42]

Future scope involves hybrid HPTLC-microfluidic systems for on-site portable analysis, leveraging AI-driven solvent prediction to eliminate toxic phases entirely. Development of biodegradable stationary phases from renewable polysaccharides and coupling with portable MS detectors promises sub-picogram limits for environmental monitoring. Regulatory adoption of BAGI alongside AGREE will standardize sustainability in pharmacopeias, fostering global labs to prioritize white analytical chemistry balancing performance, greenness, and applicability. [40,42,43]

Conclusion:

The integration of Green Analytical Chemistry (GAC) principles with High-Performance Thin-Layer Chromatography (HPTLC) represents a significant milestone in advancing sustainable analytical methodologies. This combination minimizes solvent consumption, reduces toxic waste generation, and enhances overall environmental compatibility while preserving

analytical accuracy and reproducibility. Current innovations, including the adoption of eco-friendly solvents, reusable stationary phases, and automation, further strengthen the green credentials of HPTLC. The method's adaptability for qualitative and quantitative analyses across diverse matrices underscores its value in both research and industrial applications. Therefore, Green HPTLC not only supports the ethical and environmental goals of modern chemical analysis but also sets a benchmark for future developments aimed at achieving sustainable, efficient, and high-performance chromatographic practices.

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SUCCESSIVE IONIC LAYER ADSORPTION AND REACTION DERIVED FUNCTIONAL MATERIALS FOR SUPERCAPACITOR APPLICATIONS

Vinod V. Patil¹, Lakhani K. Pawar¹, Dattakumar S. Mhamane^{*2} and Mukund G. Mali^{*1}

¹Advanced Material Research Laboratory, School of Chemical Sciences,

Punyashlok Ahilyadevi Holkar Solapur University, Solapur - 413255, India

²Department of Chemistry,

Sangameshwar College (Autonomous), Solapur - 413001, India

*Corresponding author E-mail: dkumar.mhamane@gmail.com, mukundgmal@gmail.com

1. Introduction:

The continuous expansion of modern society's dependence on portable electronics, smart devices, and renewable energy systems has intensified the demand for efficient and reliable energy storage technologies[1]. Conventional energy storage systems such as batteries offer high energy density but often suffer from limited power density and shorter cycle life. In contrast, supercapacitors have emerged as a complementary energy storage solution, bridging the gap between conventional capacitors and batteries. Their ability to deliver high power density, rapid charge discharge rates, and excellent cycling stability makes them attractive for applications ranging from backup power systems to hybrid electric vehicles and grid-level energy storage. However, despite these advantages, the relatively low energy density of supercapacitors continues to restrict their broader implementation. Improving the electrochemical performance of supercapacitors therefore largely depends on the rational design and synthesis of advanced electrode materials with optimized structure, composition, and surface chemistry[2]. As a result, significant research attention has been directed toward developing synthesis techniques that enable precise control over material growth while remaining cost-effective and scalable.

Among the various synthesis approaches explored for supercapacitor electrode fabrication, solution-based methods have gained considerable interest due to their simplicity, flexibility, and compatibility with different substrates. The Successive Ionic Layer Adsorption and Reaction (SILAR) technique represents one such method that has proven particularly effective for depositing functional materials at relatively low temperatures. SILAR is based on the sequential adsorption of ionic species from aqueous solutions onto a substrate surface, followed by controlled chemical reactions that lead to film growth. Each deposition cycle contributes incrementally to the formation of the desired material, allowing thickness and morphology to be adjusted with a high degree of precision. Unlike vapor-phase or high-pressure techniques, SILAR does not require sophisticated equipment or harsh reaction conditions, making it suitable

for large-area deposition and industrial-scale applications. The method also offers excellent substrate versatility, enabling direct material growth on conductive supports such as nickel foam, stainless steel, and carbon-based substrates commonly employed in electrochemical devices[3,4]. Table 1 summarizes a comparison of the SILAR technique with other conventional thin-film deposition methods.

Table 1: Key characteristics comparison between the SILAR technique and conventional coating approaches

Parameter	SILAR	Chemical Bath Deposition (CBD)	Sol-Gel	Chemical Vapour Deposition (CVD)
Equipment complexity	Simple and low cost	Simple	Moderate	Very high
Cost	Low	Low	Moderate	Very high
Deposition principle	Sequential ionic adsorption and reaction	Solution based chemical precipitation	Hydrolysis and condensation reactions	Chemical reactions of gaseous precursors
Operating temperature	Mostly near room temperature	Mostly near room temperature	Moderate to high	High
Pressure requirement	Atmospheric	Atmospheric	Atmospheric	Reduced pressure or vacuum
Substrate compatibility	Wide range of substrates	Limited by solution stability	Limited by thermal stability	Limited by thermal and vacuum stability
Film uniformity	High	Moderate	Moderate	High
Thickness control	Excellent, cycle dependant	Limited	Moderate	Excellent
Binder free deposition	Yes	Yes	No	Yes
Overall applicability	Highly versatile and cost effective	Simple but less controlled	Widely used but multi step	High quality but energy intensive

From the practical standpoints based on Table 1, the SILAR technique offers several advantages that are particularly relevant for supercapacitor electrode development. The direct growth of active materials on current collectors minimizes the need for binders and conductive additives, which often introduce additional resistance and reduce electrochemical efficiency. Furthermore, SILAR inherently promotes the formation of nanostructured and porous architectures due to its

surface-controlled growth mechanism. Such features are highly desirable for supercapacitor applications, as they increase the number of electrochemically active sites and shorten ion diffusion pathways during charge-discharge processes[5,6]. Transition-metal-based compounds synthesized via SILAR, including oxides, hydroxides, sulfides, phosphates, and graphene based composites exhibit rich redox chemistry and contribute significantly to pseudocapacitive charge storage[7–11]. The ability to tailor deposition parameters such as precursor concentration, pH, dipping time, and number of cycles allows researchers to fine-tune material properties in order to balance capacitance, rate capability, and cycling stability. Nevertheless, the inherent simplicity, adaptability, and low-cost nature of the SILAR technique make it a highly promising approach for next-generation supercapacitor electrode design[12].

In addition to highlighting recent advances, this chapter provides a structured overview of the SILAR technique as applied to supercapacitor electrode fabrication. The fundamental principles of the SILAR process are first discussed, followed by an examination of key deposition parameters that govern material growth, morphology, and electrochemical behaviour. The chapter then focuses on SILAR-synthesized metal oxides, sulfides, phosphates, and graphene-based composites that have demonstrated promising supercapacitive performance, with emphasis on transition-metal systems. Finally, current challenges associated with stability, scalability, and reproducibility are critically analysed, and future research directions are outlined to guide the development of SILAR-based electrode materials for practical supercapacitor applications.

2. Origins, Fundamentals, and Surface Growth Mechanisms of the SILAR Technique

2.1. Historical Development

The SILAR method was originally proposed by Nicolau and co-workers in the 1980s as an alternative thin-film deposition strategy aimed at overcoming the limitations of vacuum-based processes. Their early studies focused on the deposition of cadmium sulfide (CdS) thin films, where sequential immersion in ionic solutions enabled controlled film formation through surface reactions[13]. This work demonstrated that film growth could be achieved through discrete adsorption reaction steps rather than continuous precipitation. Subsequent developments extended the applicability of SILAR to a broad range of inorganic materials, including metal oxides, hydroxides, and chalcogenides. With advances in surface chemistry and nanomaterial design, the technique gradually transitioned from optoelectronic applications to energy storage systems, where its ability to deposit active materials directly on conductive substrates became particularly valuable.

2.2. Fundamental Aspects of the SILAR Technique

The SILAR technique is a solution-based thin film deposition method widely used for synthesizing functional materials at low temperatures. It relies on the controlled, stepwise growth

of materials through alternate exposure of a substrate to cationic and anionic precursor solutions. Unlike bulk synthesis techniques, SILAR enables direct deposition of active materials onto conductive substrates, making it particularly attractive for electrochemical applications such as supercapacitors[14]. The simplicity of the experimental setup, combined with the ability to tailor material composition and thickness, has led to growing interest in SILAR for fabricating nanostructured electrode materials. Because the process occurs under ambient or near-ambient conditions, SILAR is compatible with a wide range of substrates and does not require sophisticated instrumentation or high-energy input.

2.3. Principle of SILAR

The fundamental principle of the SILAR technique is based on the sequential adsorption of ionic species onto a substrate surface, followed by controlled chemical reactions that result in the formation of an insoluble compound. In a typical SILAR cycle, the substrate is first immersed in a cationic precursor solution, allowing positively charged ions to adsorb onto the surface through electrostatic attraction or surface complexation. Excess or weakly bound ions are then removed by rinsing in a solvent, usually deionized water. Subsequently, the substrate is immersed in an anionic precursor solution, where the adsorbed cations react with anions to form the desired compound. A second rinsing step ensures the removal of unreacted species and prevents homogeneous precipitation in the solution. Repetition of this cycle leads to gradual material buildup with controlled thickness and composition. The amount of material deposited per cycle depends on parameters such as precursor concentration, dipping time, and surface reactivity[15].

2.4. Growth Mechanism and Theory of SILAR

The growth mechanism in SILAR is primarily governed by surface-controlled reactions rather than bulk nucleation, which distinguishes it from conventional precipitation methods. During the initial deposition cycles, nucleation occurs at energetically favourable sites on the substrate surface, such as defects, grain boundaries, or surface functional groups. As the number of cycles increases, these nuclei grow and coalesce, forming continuous films or interconnected nanostructures. The stepwise nature of the SILAR process limits uncontrolled particle growth and promotes uniform coverage. The morphology and crystallinity of the deposited material are strongly influenced by deposition parameters, including solution pH, ionic strength, temperature, and rinsing efficiency. In some cases, post-deposition thermal treatment is employed to enhance crystallinity or induce phase transformation without significantly altering the underlying nanostructure [6,15]. This controlled growth mechanism enables the formation of porous and nanostructured architectures that are highly favourable for electrochemical charge storage.

During the initial immersion, which constitutes the adsorption step, a thin layer of the cation A^+ along with its counterion B^- forms on the substrate surface. The cations interact with the

substrate, creating a positively charged layer, while an additional anion layer accumulates on top, resulting in the formation of a Helmholtz electric double layer (Figure 1-I). The subsequent rinsing step reduces this structure to a hypothetical positively charged monolayer of the cationic precursor by removing excess ions from the surface (Figure 1-II). While this represents the ideal scenario, in practice, some anions (B^-) may remain on the substrate from the initial solution, potentially contaminating the subsequent anionic bath. In the next step, the cationic monolayer is immersed in the anionic precursor solution, where the cationic and anionic species react at the substrate solution interface (Figure 1-III). The resulting product, insoluble in the solvent, is deposited as a thin film on the substrate. Any residual anions, by-products, or loosely bound material are removed during the final rinsing step (Figure 1-IV).

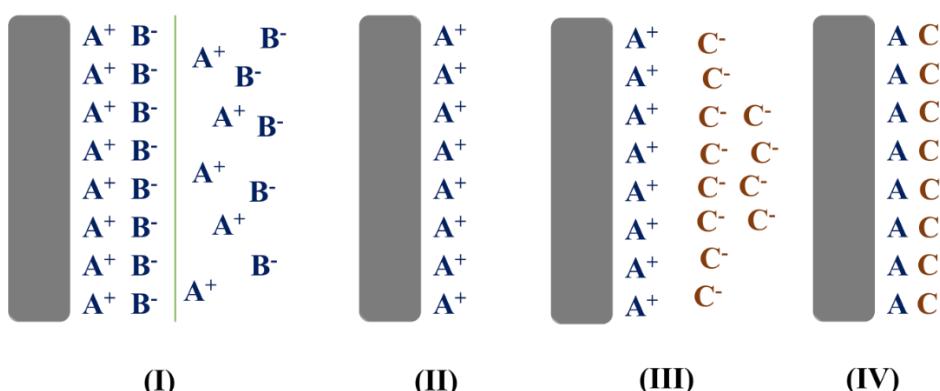


Figure 1: I) Adsorption of the cationic precursor along with its corresponding anion, forming the Helmholtz double layer; II) Rinsing to generate a hypothetical monolayer under ideal conditions; III) Reaction between the cationic and anionic species to produce the desired material; IV) Final rinsing to remove unreacted ions and byproducts.

This sequence constitutes a single SILAR cycle, which can be repeated multiple times to achieve the desired film thickness[6,12]. The overall growth rate can be estimated by dividing the total film thickness by the number of cycles. Under ideal conditions, each dipping cycle would produce a monolayer of the deposited material. However, in practice, the actual thickness per cycle depends on several factors, including the adsorption capacity of the precursors, the effectiveness of the rinsing steps, and the surface properties of the substrate.

2.5. Uncontrolled Ionic Accumulation and Non-Uniform Film Growth in SILAR without Intermediate Rinsing

The Figure 2 demonstrates the film formation behaviour when intermediate rinsing steps are excluded from the SILAR process. Direct transfer of the substrate between cationic and anionic precursor solutions leads to the accumulation of excess ionic species on the surface. The retained ions react uncontrollably, resulting in localized precipitation and uneven material buildup. Instead of discrete surface-limited growth, the deposition proceeds through nonselective

reactions, producing irregular and poorly adhered layers. Such uncontrolled growth negatively affects film uniformity and structural integrity, emphasizing that solvent rinsing is a critical step for maintaining controlled deposition in SILAR-based synthesis.

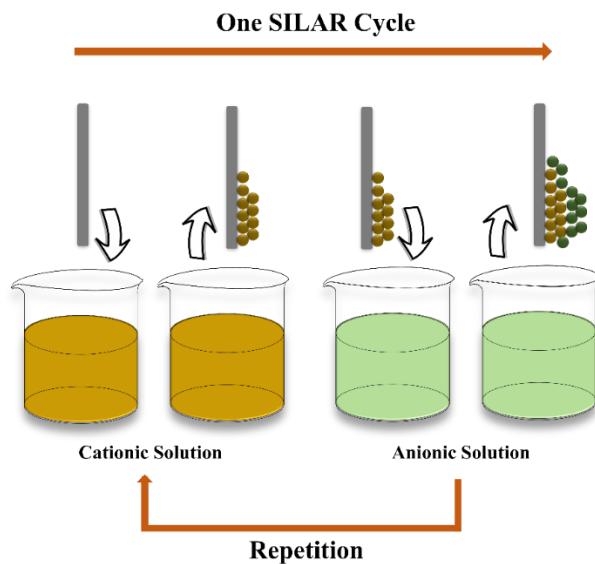


Figure 2: SILAR Deposition in the Absence of Intermediate Rinsing Steps

2.6. Surface-Controlled Layer-by-Layer Film Formation in SILAR Using Sequential Dipping and Rinsing Steps

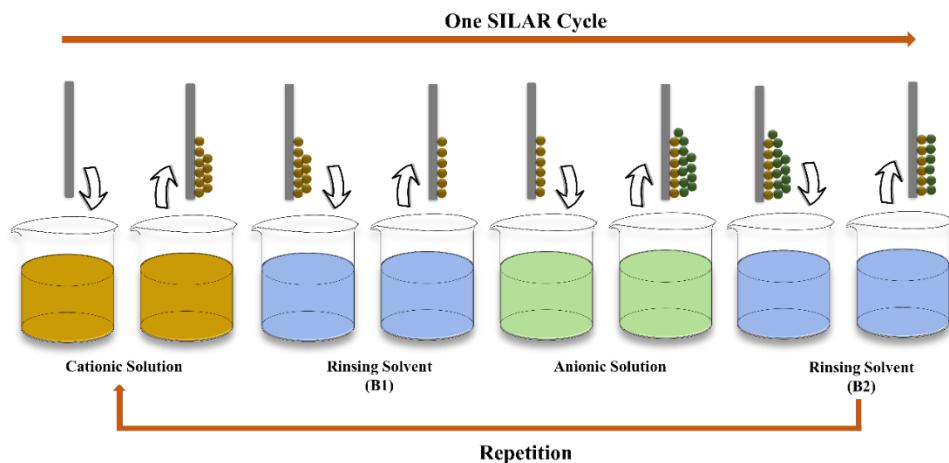


Figure 3: Controlled SILAR Deposition via Sequential Dipping and Solvent Rinsing

The Figure 3 depicts the conventional SILAR deposition sequence, where the substrate undergoes alternate immersion in cationic and anionic precursor solutions, with intermediate rinsing using solvent baths (B1 and B2). During each cationic immersion, surface adsorption occurs in a controlled manner, followed by rinsing to remove loosely bound and excess ions. Subsequent exposure to the anionic solution induces a surface-confined reaction, forming an insoluble compound directly on the substrate. The second rinsing step clears unreacted species and reaction by-products, ensuring clean interfaces for the next cycle. Repetition of this sequence

enables gradual, uniform film growth with fine control over thickness and composition, which is particularly advantageous for preparing high-performance electrode materials.

2.7. Influence of Key Experimental Parameters on the SILAR Deposition Process

2.7.1. Dipping Time

Dipping time directly influences the amount of electroactive material formed during each SILAR cycle, which in turn affects the charge storage capability of supercapacitor electrodes. Insufficient immersion limits ionic adsorption, leading to low active material loading and reduced capacitance. Excessively long dipping periods may cause overgrowth and particle agglomeration, restricting electrolyte access to redox-active sites and slowing charge transfer. Optimized dipping time promotes uniform layer formation with accessible surface area, thereby enhancing both specific capacitance and rate performance.

2.7.2. Rinsing Time

Rinsing time is critical for preserving surface-controlled reactions that are essential for stable supercapacitor operation. Proper rinsing removes weakly bound ions and residual precursors, preventing unwanted side reactions that can increase internal resistance and degrade cycling stability. Inadequate rinsing often results in non-uniform films and poor electrical contact, while excessive rinsing may reduce active material content. Balanced rinsing ensures clean interfaces, facilitating efficient ion diffusion and improving long-term electrochemical durability.

2.7.3. Substrate Selection

Substrate properties strongly govern electrode conductivity, mechanical stability, and ion transport behaviour in SILAR-based supercapacitors. Conductive and porous substrates provide abundant nucleation sites and low-resistance pathways for electron transfer, leading to improved power capability. Surface roughness and chemical compatibility enhance adhesion and structural integrity during repeated charge-discharge cycles[6]. Appropriate substrate selection therefore plays a crucial role in maximizing capacitance, rate capability, and cycling stability of SILAR-derived supercapacitor electrodes.

3. SILAR-Derived Functional Materials for Supercapacitor Application.

For the formation of thin films and nanostructured materials, the SILAR method gives you a modular and easy approach that is very beneficial in energy storage applications such as supercapacitors. The technique can be used to synthesize a variety of materials, such as metal oxides, Sulphides, Phosphides, and other composites like Graphene oxide, conductive polymers, due to its simplicity and adaptability. SILAR is a desirable option for creating high performance materials because of its scalability, relatively low processing temperature, and capacity to manipulate material properties at the nanoscale. Table 2 summarizes a comparison of the SILAR synthesized materials.

Table 2: Comparative literature survey of SILAR synthesized materials.

Sr. No.	Material	Cation Precursor	Anion Precursor	SILAR Cycles	Morphology	Specific capacitance at current density	Ref.
Metal Oxides							
1	MgO	Mg(NO ₃) ₂ ·6H ₂ O	NaOH	70	Nanospheres	536.06 Fg ⁻¹ at 2 mV s ⁻¹	[16]
2	Co ₃ O ₄ /Bi ₂ O ₃	Co ₂ (OH) ₂ ·6H ₂ O, Bi(NO ₃) ₃ ·5H ₂ O,	NaOH	70	Nanosheets	1777.12 Fg ⁻¹ at 2 mV s ⁻¹	[17]
3	RuO ₂	RuCl ₃ .xH ₂ O	NaOH	250	Mud-like structure	1146 Fg ⁻¹ at 5 mV s ⁻¹	[18]
4	NiO	Ni(NO ₃) ₂ ·6H ₂ O	NH ₄ OH	40	Porous nano-flake like structure	1341 Fg ⁻¹ at 2 mV s ⁻¹	[19]
5	IrO ₂ @Mn ₃ O ₄	MnCl ₂ ·4H ₂ O	NaOH	60	Mn ₃ O ₄ nanoflakes around IrO ₂ nanofibers	1027 Fg ⁻¹ at 1 mA cm ⁻²	[20]
Metal Sulfides							
6	Cu ₂ S	CuSO ₄	H ₂ NCSH ₂ N	100	Flower like/ nano wire structure	761 Fg ⁻¹ at 5 mV s ⁻¹	[21]
7	NiCo ₂ S ₄	NiSO ₄ ·6H ₂ O and CoSO ₄ ·6H ₂ O	Na ₂ S·5H ₂ O	9	Nanoflakes	1076 Fg ⁻¹ at 5 mV s ⁻¹	[22]
8	MnCoS	Mn(CH ₃ COO) ₂ ·4H ₂ O and Co(NO ₃) ₃ ·6H ₂ O	Na ₂ S·9H ₂ O	10	Particles	2029.8 Fg ⁻¹ at 1 A g ⁻¹	[23]
9	Ni _x -Sn _{1.0x} S	NiCl ₂ ·6H ₂ O and SnCl ₂ ·2H ₂ O	Na ₂ S·9H ₂ O	15	Flower like	2890 Fg ⁻¹ at 5 A g ⁻¹	[24]

Metal Phosphates							
10	Cobalt Phosphate	CoCl ₂ ·6H ₂ O	K ₂ HPO ₄	80	Particles	1147 Fg ⁻¹ at 1 mA cm ⁻²	[25]
11	Co _{1.55} Ni _{1.45} (PO ₄) ₂ ·8H ₂ O	CoCl ₂ ·6H ₂ O, NiCl ₂ ·6H ₂ O	K ₂ HPO ₄	80	Congested spherical particles-like morphology	2142 F g ⁻¹ at 0.4 A g ⁻¹	[26]
12	Ni _{1.56} Cu _{1.44} (PO ₄) ₂ ·H ₂ O	NiSO ₄ ·6H ₂ O and CuSO ₄ ·5H ₂ O	K ₂ HPO ₄	70	Particles	750 F g ⁻¹ at 1 A g ⁻¹	[27]
13	Fe _x NiCo(PO ₄) ₂	Ni(NO ₃) ₂ ·6H ₂ O and Co(NO ₃) ₂ ·6H ₂ O	K ₂ HPO ₄	70	Interconnected nanoparticles	987 C g ⁻¹ at 2.1 A g ⁻¹	[28]
Graphene-based Composites							
14	CuSe ₂ @rGO	rGO suspension, CuSO ₄ ·5H ₂ O	Na ₂ Se	40	Small petal-like	612 Fg ⁻¹ at 2 mV s ⁻¹	[11]
15	rGO/RuO ₂	rGO solution, RuCl ₃ .xH ₂ O	NaOH	170	Compact spherical microparticles	1371 Fg ⁻¹ at 5 mV s ⁻¹	[29]
16	rGO/Dy ₂ Se ₃	rGO suspension, Dy(NO ₃) ₃	Sodium selenosulphate	200	Irregular grains	289 Fg ⁻¹ at 5 mV s ⁻¹	[30]
17	Ni sulfide SWCNTs on tin-sulfide	Tin (IV) chloride pentahydrate, nickel (II) chloride hexahydrate	Na ₂ S·9H ₂ O	20	Nanoflower	1120 Fg ⁻¹	[31]
18	rGO/PANI	Aniline solution	Ammonium persulfate	50	Interconnected nanofiber	1348 Fg ⁻¹ at 5 mV s ⁻¹	[32]

3.1. Metal Oxides

In light of the simplicity of use, affordability, and exact control over material properties, a variety of metal oxide materials are employed in supercapacitor applications. Because of their high pseudocapacitance and superior electrochemical performance, oxides like MnO_2 , CoO_4 , NiO , and RuO_2 have been produced via SILAR. For example, MnO_2 synthesized by SILAR has shown good cycling stability and high specific capacitance, making it a viable material for supercapacitors. Similarly, because of their distinct nanostructures, which encourage larger surface areas and quicker ion diffusion, CoO and NiO thin films generated using SILAR show improved charge storage capabilities. Because these oxide films' shape, content, and thickness can be customized using the SILAR process, their electrochemical characteristics may be optimized, which makes them ideal for high-performance energy storage devices. *Bagde et al.* used SILAR method to develop amorphous ruthenium oxide (RuO_2) thin films onto stainless steel substrates. With a maximum specific capacitance of 1146 F g^{-1} at a scan rate of 5 mV s^{-1} , the RuO_2 film with a mass loading of 1.56 mg cm^{-2} demonstrated the best electrochemical performance among the produced electrodes. Additionally, the electrode showed outstanding cycling stability, holding onto about 87% of its initial capacitance after 5000 cycles of charge and discharge. These findings demonstrate the SILAR method's promise as an economical and scalable technique for producing RuO_2 electrodes for high performance supercapacitor applications[18].

3.2. Metal Sulfides

In light of their superior electrical conductivity over their oxide counterparts, various oxidation states, and high theoretical capacitance, metal sulfides have garnered significant interest as electrode materials for supercapacitors. A simple, low-temperature, and economical method for depositing metal sulfide thin films with exact control over composition and thickness is the SILAR process. The SILAR technique creates nanostructured sulfide layers with a large surface area and lots of electroactive sites by alternately adsorbing metal cations and sulfide anions on a conductive substrate. SILAR fabricated sulfide electrodes are excellent options for high performance supercapacitor applications because they usually show improved pseudocapacitive behaviour, quick charge discharge kinetics, and strong cycling stability. *Bahrawy et al.* synthesized, mesoporous $\text{Ni}_x\text{-Sn}_{2-x}\text{S}$ with a flower-like shape. A high specific capacitance of 2890 F g^{-1} at a current density of 5 A g^{-1} was achieved to the hierarchical flower like architectures. Additionally, the electrode showed outstanding cycling stability, maintaining 85% of its initial capacitance with a 100% coulombic efficiency after 10,000 cycles of charge and discharge[24].

3.3. Metal Phosphates

Because of their exceptional electrochemical activity, extensive redox chemistry, and high electrical conductivity, metal phosphates have become interesting electrode materials for supercapacitor applications. A straightforward, inexpensive, and scalable method for depositing metal phosphates thin films with uniform coverage and controlled thickness on conductive substrates is the SILAR approach. Phosphates phases can be generated through interfacial reactions in the SILAR process by alternately immersing the substrate in metal precursor and phosphate source solutions. This is frequently followed by moderate heat or chemical treatment. SILAR fabricated phosphate-based electrodes have better cycling stability and charge transfer kinetics, which makes them appealing options for flexible and high-performance supercapacitor systems. *Patil et al.* synthesized a series of cobalt nickel phosphate by varying the cations composition (Co:Ni) ratio. The electrode with an ideal cations composition (Co:Ni) ratio of optimum 1:1 achieves the highest specific capacitance (SCs) of 2142 F g^{-1} at 0.4 A g^{-1} current density[26].

3.4. Graphene-based Composites

Graphene oxide (GO) based composites have gained significant attention as supercapacitor electrode materials due to their high surface area, excellent mechanical flexibility, and ability to enhance electrical conductivity and structural stability. The SILAR technique offers an effective and scalable approach for fabricating GO-based composite thin films by enabling the uniform deposition of metal oxides, sulphides, or phosphates onto GO sheets. During the SILAR process, GO acts as a conductive and flexible support, facilitating homogeneous nucleation and strong interfacial coupling with the active material, which suppresses particle agglomeration and improves charge-transfer kinetics. As a result, GO-based composites prepared via SILAR typically exhibit enhanced specific capacitance, improved rate capability, and superior cycling stability, making them promising candidates for high-performance supercapacitor applications. *Malavekar et al.* synthesized $\text{CuSe}_2@\text{rGO}$ composite. The composite electrode's electrochemical evaluation revealed a high specific capacitance of 612 F g^{-1} at a scan rate of 2 mV s^{-1} [11].

Overall, SILAR enables the controlled fabrication of metal oxides, sulfides, phosphates, and graphene-based composites with tailored structures and strong interfacial contact. Its layer-by-layer growth and direct deposition on conductive substrates support high capacitance, fast charge transport, and stable cycling. These advantages position SILAR as an effective and versatile approach for advanced supercapacitor electrode design.

Conclusion:

This chapter has presented a comprehensive overview of the SILAR technique as an effective and adaptable approach for fabricating supercapacitor electrode materials. The fundamental principles, growth mechanisms, and key process parameters governing SILAR deposition were

discussed to highlight how controlled, surface-driven reactions enable precise tuning of material structure and composition. Various classes of SILAR-derived materials, including metal oxides, sulfides, phosphates, and graphene-based composites, were examined to demonstrate the versatility of the method and its relevance to electrochemical energy storage. Despite challenges related to large-area uniformity and long-term stability, the inherent simplicity, low processing temperature, and substrate flexibility of SILAR make it a promising strategy for the rational design of next-generation supercapacitor electrodes. Continued optimization of deposition parameters and integration of advanced material systems are expected to further enhance the practical applicability of SILAR-based supercapacitors.

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HETEROJUNCTION PHOTOCATALYSTS FOR ENVIRONMENTAL REMEDIATION

Sagar A. Chaudhari¹, Maruti S. Gund¹, Arun S. Chopade³,

Dattakumar S. Mhamane*² and Mukund G. Mali*¹

¹Advanced Material Research Laboratory, School of Chemical Sciences,
Punyashlok Ahilyadevi Holkar Solapur University, Solapur - 413255, India

²Department of Chemistry,
Sangameshwar College (Autonomous), Solapur - 413001, India

³Department of Chemistry,
Pratapsinh Mohite-Patil Mahavidyalaya, Karmala, Solapur - 413203, India

*Corresponding author E-mail: dkumar.mhamane@gmail.com, mukundgmal@gmail.com

Introduction:

Environmental pollution refers to the introduction of harmful chemicals or activities into the natural environment, disrupting ecosystems, threatening human health, and harming biodiversity. This issue, which is based in human activity and industrial advancement, has emerged as one of today's most important concerns. All types of pollution contribute to the destruction of our global community. Among all the types of pollution, water pollution is a major worldwide issue that results from many contaminants contaminating freshwater sources, which damages aquatic ecosystems and puts human health at risk [1]. Water contamination can originate from several sources, such as dyes, oil spills, untreated sewage, inappropriate disposal of domestic chemicals, industrial waste discharges, and agricultural runoff carrying pesticides and fertilizers and heavy metals from various industries. These contaminants change the water quality and jeopardize the delicate balance of aquatic life by introducing toxins, diseases, heavy metals, and excess nutrients into water resources. In addition to endangering aquatic habitats, ecosystems, and biodiversity, water pollution also affects the supply of clean drinking water. In order to effectively tackle the multiple issues faced by water pollution, strict legislation, efficient waste management techniques, and sustainable measures to conserve and restore freshwater resources are essential [2].

There are many key water pollution remediation techniques. Physical remediation involves simple filtration, membrane filtration, Skimming, Chemical remediation involves coagulation and flocculation, chemical reduction and oxidation, adsorption techniques. and Advanced

Oxidation Processes involves ozonation, UV photolysis, photocatalysis, and fenton chemistry [3,4].

Photocatalysis is a technique that uses light energy, usually ultraviolet (UV) or visible light, to speed up chemical processes in the presence of a catalyst. A semiconductor (SC) material (catalyst) absorbs photons and creates electron-hole (e^-/h^+) pairs that engage in redox processes, allowing pollutants to be degraded or useful compounds to be produced. This eco-friendly technology has gained significant attention for its potential to address environmental challenges, particularly in water purification as well as energy generation [5]. Depending on the type of reaction and the desired outcome, photocatalysis can be broadly classified into homogeneous and heterogeneous photocatalysis. A photocatalyst is a substance that stimulates chemical processes when exposed to light, usually ultraviolet (UV) or visible light, but does not alter permanently. It functions by absorbing photons, which produces e^-/h^+ pairs that drive redox reactions. These materials generate hydroxyl radicals ($\cdot OH$) and superoxide radicals ($\cdot O_2^-$), which can degrade pollutants or facilitate processes such as water splitting for hydrogen production. Photocatalysts are commonly used in environmental cleanup and renewable energy applications. Doping, heterojunction creation, and nano structuring are examples of advances in photocatalyst design that aim to increase light absorption, charge separation, and overall efficiency, making them key instruments in tackling global environmental and energy concerns [6].

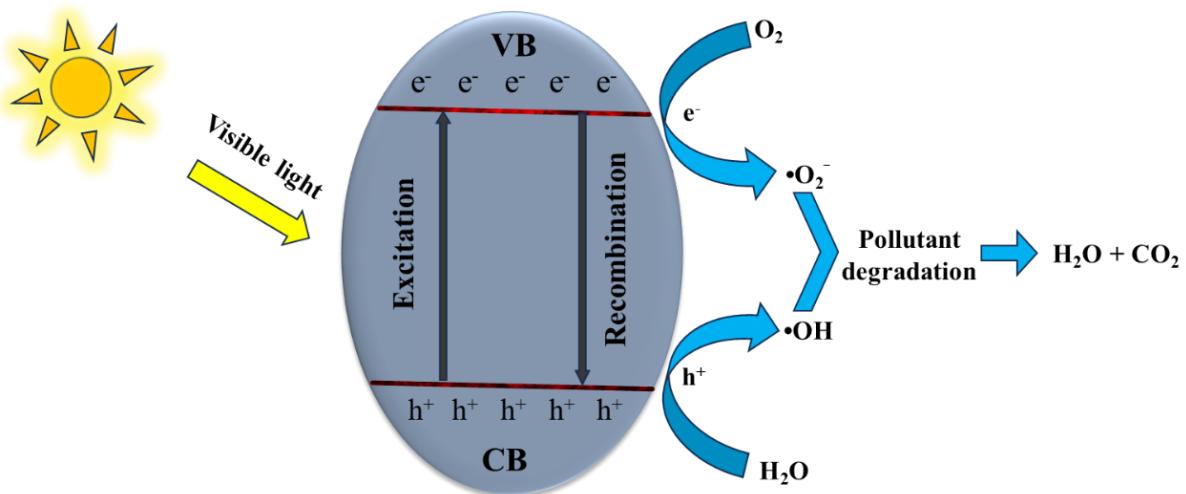


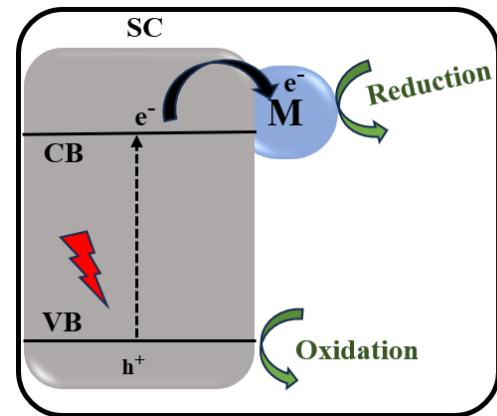
Figure 1: Basic principle of photocatalysis

Modification of photocatalysts is a critical approach to enhancing their efficiency and broadening their applicability in environmental and energy-related processes. Common strategies include doping, where metal or non-metal elements are introduced into the photocatalyst to tune its bandgap, enabling visible-light absorption. Surface modification with co-catalysts, like inert metals (e.g., Pt, Au), enhances e^- transfer and catalytic activity. Incorporating carbon-based

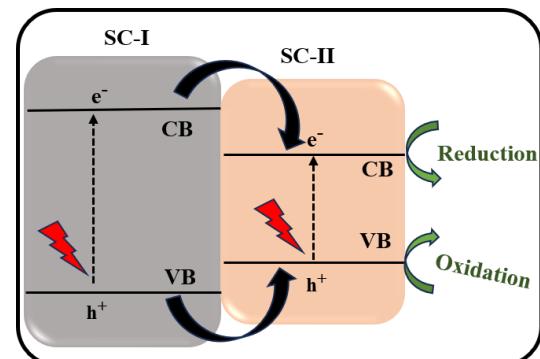
nanomaterials such as graphene or carbon nanotubes improves electrical conductivity and charge carrier mobility [7]. Morphological tuning, including the synthesis of nanostructures like nanorods, nanosheets, or hierarchical structures, increases surface area and active sites [8]. Enhancing photocatalytic performance can be effectively achieved through metal doping and carbon composite synthesis. An advanced technique for increasing photocatalytic effectiveness is to modify photocatalysts by forming heterojunctions, and the detailed heterojunctions are discussed as follows.

1. Types of Heterojunctions:

1.1. Schottky junction: In a Schottky junction, a semiconductor (SC) creates contact with a metal interface, forming a rectifying junction. The difference in work function between the metal and SC creates a built-in electric field, resulting in a Schottky barrier. Upon light exposure, photogenerated e^- in the conduction band (CB) of SC are driven toward the metal, where the metal acts as an e^- sink, while h^+ remain in the SC. This directional e^- transfer suppresses e^-/h^+ recombination, enhances charge separation, and preserves the redox ability of the carriers. Although metal improves charge separation, the system relies on a single SC, which limits the redox potential and overall photocatalytic activity. The use of this type heterojunction for the photocatalytic degradation of various water pollutants is reported among them Mengting Liu et al prepared $ZnO@Ti_3C_2$ MXene Schottky junctions using Hydrothermal Synthesis and the junctions exhibit exceptional photocatalytic methylene blue dye degradation (94.84%) after 180 min [9]. Amir Hossein Cheshme Khavar et al and team designed unique heterostructure metallic RGO/MBi_x photocatalyst Schottky junction composed of Bi nanoparticles deposited MoS₂ and its heterojunction with RGO constructed by hydrothermal method route to significantly boost the spatial charge separation to promote the Tetracycline (91%) after 180 min degradation under visible light irradiation [10].



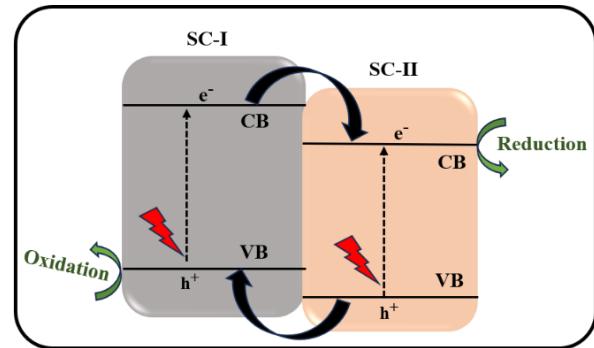
1.2. Type I Heterojunction: In a Type I heterojunction, also known as a straddling band alignment, two SCs are coupled such a way that both the CB and valence band (VB) of SC-II lie within the bandgap of SC-I. Upon light irradiation, e^- excited to the CB of SC-I readily transfer to lower-energy CB



of SC-II. Simultaneously, photogenerated h^+ in the VB of SC-I migrate to the higher-energy VB of SC-II. As a result, both charge carriers accumulate in SC-II. This band alignment improves light harvesting and charge collection, the confinement of e^- and h^+ in the same SC increases recombination, which limits photocatalytic redox efficiency compared to Type II systems.

Zaid H. Jabbar et al synthesized magnet silica-coated Ag_2WO_4/Ag_2S Type I nanocomposite for visible-light-driven Congo red dye degradation (99.5%) in 140 min [11]. Jinhui Huang et al synthesized PDI_{sa}/BiOBr by electrostatic self-assembly method Type I heterojunction for efficient ciprofloxacin photocatalytic degradation 85.25% in 90 min of visible light irradiation [12].

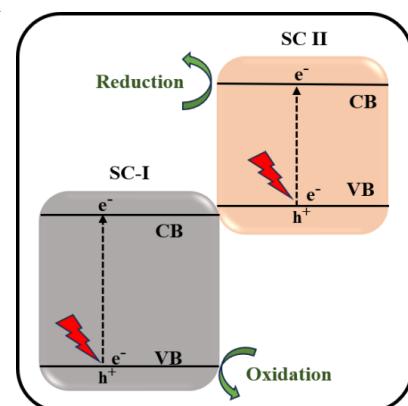
1.3. Type II: In a Type II heterojunction, two SCs are coupled with staggered band alignments. The CB of SC-I is positioned at a higher energy level compared to that of SC-II, while the VB of SC-I lies at a lower energy level compared to SC-II. Upon light irradiation, photogenerated e^- in the CB of SC-I migrate to the lower-energy



CB of SC-II, whereas photogenerated h^+ in the VB of SC-II transfer to the higher-energy VB of SC-I. This directional movement leads to effective spatial separation of charge carriers and significantly suppresses e^-/h^+ recombination. Charge separation is improved, but migration of e^- and h^+ to lower-energy bands reduce redox potential, limiting highly demanding photocatalytic reactions.

Lijuan Feng et al synthesized CdS/Bi_2MoO_6 type-II heterostructure was using hydrothermal method generating an inherent electric field within a heterostructure that resulted in degradation efficiency of rhodamine B (100%) and tetracycline (92%) over under visible light [13]. Yagna Prakash Bhoi et al fabricated type II $CuS/BiFeO_3$ heterojunction which is highly active for mineralization of alachlor pesticide completing 95% degradation in 60 min [14].

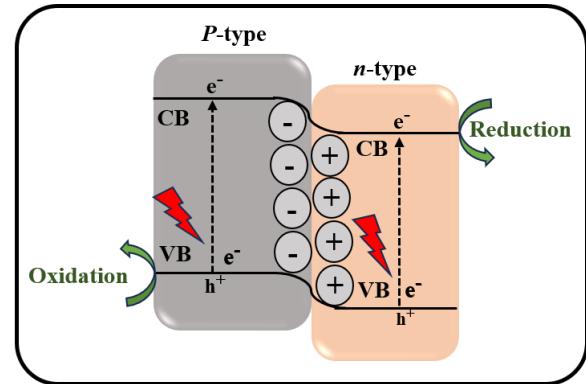
1.4. Type III: In a Type III heterojunction, the CB of SC-I lies below the VB of SC-II, resulting in a broken band alignment with no overlap. Upon light irradiation, photogenerated e^- and h^+ remain confined within their respective SCs because direct interfacial charge transfer is energetically unfavourable. As a result, effective charge separation does not



occur, leading to minimal interfacial carrier transport and poor photocatalytic activity, which limits the practical use of Type III heterojunctions in photocatalysis.

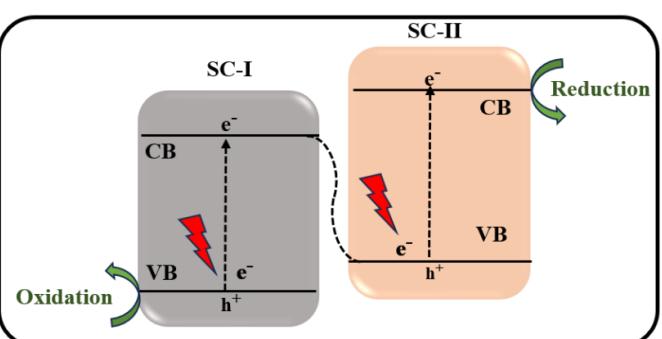
Ferdous et al reported a van der Waals $\text{Ga}_2\text{O}_3/\text{SiC}$ heterostructure with an intrinsic type-III alignment and tunneling window of 0.6009 eV, arising from interfacial charge transfer and a built-in electric field [15].

1.5. p-n heterojunction: In a p-n junction, a p-type SC and an n-type SC are joined to form a depletion region at the interface, creating an integral electric field. Upon light irradiation, both SCs generate e^-/h^+ pairs, and the internal electric field drives e^- toward the n-type region and h^+ toward the p-type region. This directional migration efficiently separates charge carriers, suppresses recombination, and maintains their redox potentials. The combination of the depletion layer and built-in electric field enhances photocatalytic activity during degradation process, hydrogen evolution, and CO_2 reduction. In wide-bandgap SCs, the built-in electric field may be too weak to fully separate charge carriers, which can limit photocatalytic efficiency.



Xiao-Ju Wen et al developed BiOI/CeO_2 p-n junction photocatalysts using a facile chemical bath method for superior degradation of bisphenol A (92%) in 90 min and methylene orange (93.75) in 50 min under visible light illumination [16]. Farshad Beshkar et al designed ultrafine CuI/FePO_4 p-n heterojunction using reflux-assisted coprecipitation method which is utilised for the degradation of antibiotic amoxicillin 90% in 120 min [17].

1.6. S-scheme heterojunction: In an S-scheme heterojunction, an p-type SC (SC-I) and a n-type SC (SC-II) form a junction with an internal electric field at the interface due to band bending. Upon light irradiation, both SCs generate e^-/h^+ pairs, but low-energy e^- in SC-I and low-energy h^+ in SC-II recombine at the interface under the influence of the built-in electric field. This selective recombination leaves high-energy e^- and h^+ in the CB of SC-II and the VB of SC-I respectively, preserving stable redox potentials. The combination of band bending and selective carrier recombination ensures efficient charge separation and high photocatalytic activity for reactions like hydrogen evolution, photocatalytic degradation, and CO_2 reduction. As internal

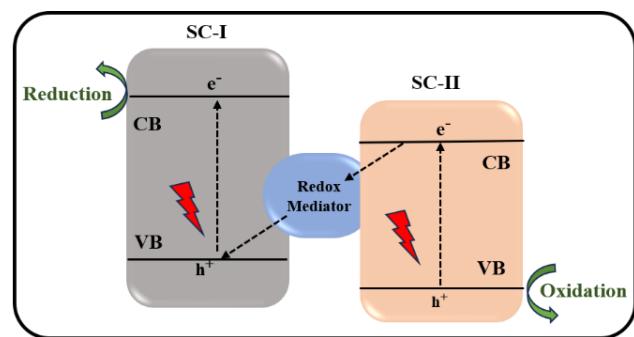


electric field plays crucial role in S-scheme heterojunction, precise control on internal electric field and interface quality required to achieve efficient charge separation.

Lijing Hao et al developed a $\text{TiO}_2/\text{BiOCl}$ heterostructure, the synergistic interaction between the S-Scheme heterojunction and oxygen vacancies is advantageous for the degradation of norfloxacin [18]. Shuo Yang et al fabricated S-scheme SnO/TiO_2 heterojunction enhances the production of $\cdot\text{OH}$, further boosting photocatalytic performance for the photocatalytic degradation of benzene [19].

1.7. Conventional Z-scheme

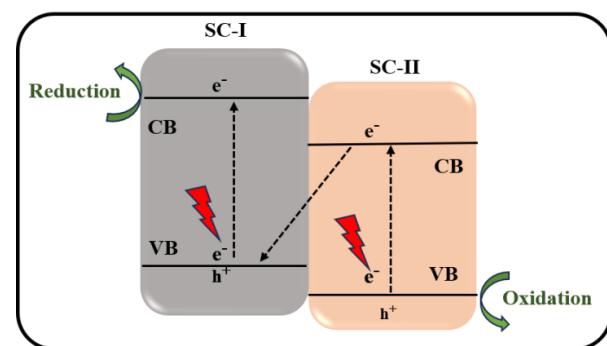
Heterojunction: In a conventional Z-scheme heterojunction, two SCs are connected via a redox mediator such as I^-/I_3^- . Their CBs and VBs are staggered such that the CB of SC-I is higher than that of SC-II, while the VB of SC-I have lower oxidation potential than SC-II.



Upon light irradiation, both SCs generate e^-/h^+ pairs; the e^- in the CB of SC-II transfer through the mediator and recombine with h^+ in the VB of SC-I. This selective recombination leaves highly reducing e^- in the CB of SC-I and strongly oxidising h^+ in the VB of SC-II. The staggered band alignment and mediator-assisted e^- transfer together suppress bulk recombination while preserving strong redox potential, enabling efficient photocatalytic reactions. It increases redox potential of system; the use of redox mediator can reduce system stability and slow down e^- transfer effects photocatalytic efficiency in some extends.

Guanglan Di et al reported $\text{ZnO}/\text{g-C}_3\text{N}_4$ Z-scheme heterojunction with amorphous Fe_2O_3 as e^- mediator used for degradation of sulfamethazine with k_{app} of $3.070\% \text{ min}^{-1}$ [20]. Yan Gong et al synthesized $\text{BiOIO}_3/\text{g-C}_3\text{N}_4$ Z-scheme configuration with I_3^-/I^- as redox pairs formed at the contact interface between BiOIO_3 and $\text{g-C}_3\text{N}_4$ act as e^- mediators which removes 92% of 2,4,6-trichlorophenol in 2.5 h of irradiation [21].

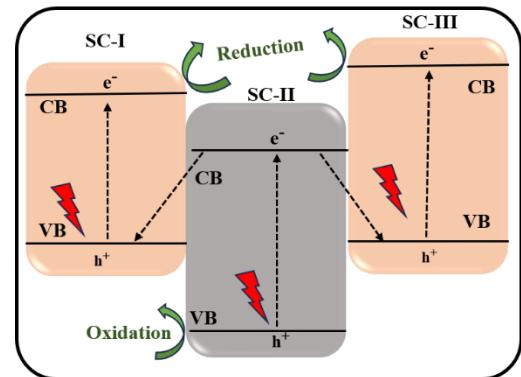
1.8. Direct Z-scheme: In a direct Z-scheme heterojunction, two SCs are in direct solid-solid contact without any redox mediator. The CB of SC-I is higher than that of SC-II, and the VB of SC-I is at lower energy than that of SC-II. After light irradiation, both SCs generate e^-/h^+ pairs, and e^- in the CB of SC-II directly recombine with h^+ in the VB of SC-I. This selective recombination leaves highly reducing e^- in the CB of SC-I and strongly oxidizing h^+ in the VB of SC-II. The direct interface facilitates faster charge



transfer, preserves strong redox potentials, and suppresses bulk recombination, making it highly effective for photocatalytic applications. By precise control on synthesis, can establish stronger solid-solid contact between the SCs, enabling efficient charge separation and enhanced photocatalytic performance.

Xue Jiang et al developed $\text{BiFeO}_3/\text{ZnFe}_2\text{O}_4$ photocatalyst using hydrothermal synthesis method promotes the separation efficiency of carriers for tetracycline and methylene blue degradation [22]. Sagar Chaudhari et al synthesised solid MOF Derived Z-Scheme $\text{NiCo}_2\text{O}_4/\text{NiO/C}$ subjected for photocatalytic removal of methylene blue (98.23% in 120 min) and tetracycline (92.85% in 25 min) and the reduction of Cr (VI) (98.22% in 20 min) [23].

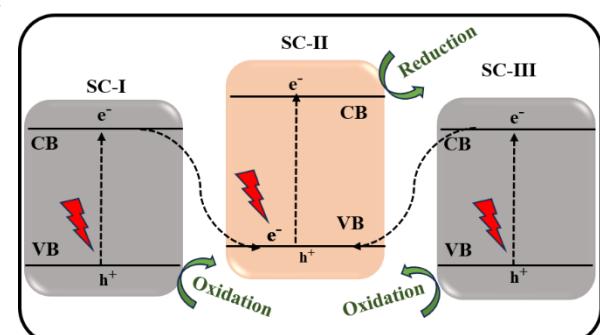
1.9. Dual Z-scheme: In a dual Z-scheme heterojunction, three SCs are arranged to achieve efficient charge separation, strong redox ability, and broad light absorption. Typically, one SC should have a narrow bandgap to harvest visible light and provide highly reducing e^- , one SC have a wide bandgap to generate strongly oxidising h^+ , and third SC has an intermediate bandgap and good conductivity to facilitate



e^- transfer. Upon illumination, each SC produces e^-/h^+ pairs. Electrons in the CB of SC-II recombine with h^+ in the VB of SC-I, and SC-III. This selective recombination leaves high-energy e^- in the CB of SC-I and SC-III and strong h^+ in the VB of SC-II, maximising redox potential while minimising recombination. The staggered band alignment and dual interfacial pathways also broaden light absorption and enhance photocatalytic efficiency. Careful selecting SCs with complementary band gaps, optimising their interface through controlled synthesis or conductive interlayers, and enhancing stability with protective coatings, ensuring efficient charge transfer and strong photocatalytic performance.

Poulomi Sarkar et al synthesized dual Z-scheme $\text{g-C}_3\text{N}_4/\text{CuFe}_2\text{O}_4/\text{MoS}_2$ catalysed peroxymonosulphate activation exhibits excellent visible light driven photoactivity with 98% Ciprofloxacin degradation [24]. Xiuyi Hua et al developed a dual Z-scheme $\text{Ag}_3\text{PO}_4/\text{Fe}_3\text{O}_4/\text{MoS}_2$ heterojunction with boosted photocatalytic degradation of TCH achieves 99.0 % within 7.5 min [25].

1.10. Dual S-scheme: A dual S-scheme heterojunction made of three SCs coupled with appropriate band positions to form two S-scheme



interfaces. Upon light irradiation, all three SCs generate e^-/h^+ pairs. Due to differences in Fermi levels, band bending occurs at both interfaces, creating internal electric fields that govern charge migration. At the SC-I/SC-II interface, low-energy e^- from the CB of SC-I recombine with low-energy h^+ in the VB of SC-II. Similarly, at the SC-II/SC-III interface, low-energy e^- from SC-III recombine with low-energy h^+ in SC-II. As a result, high-energy e^- are retained in the CB of SC-II, enabling strong reduction reactions and leaving behind high-energy h^+ in the VBs of SC-I and SC-III, driving oxidation reactions. This dual selective recombination pathway efficiently suppresses charge recombination, preserves strong redox ability, and enhances photocatalytic performance across a broad light absorption range. A band bending and internal electric fields selectively remove low-energy carriers, allowing only high-energy e^-/h^+ to participate in reactions, which makes charge transfer more directional making dual S-scheme system differ from dual Z-schemes. By selecting SCs with compatible band positions to achieve proper band bending, optimizing interfaces through controlled synthesis, and using conductive interlayers or surface modifications to enhance charge transfer, stability, and efficient carrier separation.

Han Sun et al fabricated a dual S-scheme $WO_3/ZnIn_2S_4/CoWO_4$ heterojunction favours carriers' separation and lifetime extension for the degradation of 95.55% sparfloxacin in 120 min [26]. Shilpa Patial et al developed a dual S-scheme photocatalyst $Co_3O_4/MIL/Mn-STO$ heterojunction for the improved photocatalytic degradation of sulfamethoxazole 95.5% in 90 min than its individual counter parts [27].

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A CRITICAL AND COMPARATIVE REVIEW OF CHEMICAL WASTEWATER TREATMENT TECHNOLOGIES

Vikas Kumar*¹ and Sandeep Kumar Tyagi²

¹Department of Chemistry, IIMT University, Meerut-250 001 (U.P.), India

²Department of Environment Science, IIMT University, Meerut-250 001 (U.P.), India

*Corresponding author E-mail: vikas_sobst@iimtindia.net

Abstract:

Water demand just keeps climbing—homes, factories, farms, you name it. That's why wastewater treatment matters more than ever if we want to use our resources wisely. The old ways just can't keep up with today's complex pollutants, so we're seeing a shift toward smarter chemical solutions that really get the job done. Let's break down some of the latest chemical methods making waves. Coagulation-flocculation clumps together suspended particles so you can remove them easily. Chemical precipitation locks up heavy metals, keeping them out of our water. Adsorption uses porous materials to grab onto toxins, while ion exchange swaps out unwanted ions for safer ones. Advanced oxidation processes, or AOPs, go after tough organic pollutants with highly reactive radicals. And of course, disinfection makes sure nothing nasty survives in the end. What's great is these chemical approaches don't just clean better—they're flexible enough for all sorts of wastewater and can cut down on both costs and sludge. They work well on their own or as part of bigger treatment setups, making them essential for recycling water and protecting the environment. By getting precise with chemistry, we're building cleaner water cycles and pushing back against global water shortages with real solutions.

Keywords: Wastewater, Coagulation, Precipitation, Adsorption, Oxidation, Disinfection.

1. Introduction:

Freshwater's running out, and it's happening faster than most people realize. These days, booming cities, hungry factories, and nonstop farming are sucking rivers and aquifers dry. The United Nations warns that if we keep going like this, by 2030, we'll need 40% more water than the planet can give us. It's not just about running out of water, either. Environmental rules keep tightening, and dumping waste isn't cheap anymore—industrial countries often pay more than \$1,000 per metric ton just to get rid of it. That's pushed everyone to look for better, smarter ways to clean up their wastewater. But here's the scary part: in many places, especially developing countries, there's a huge gap between how much wastewater people create and how much actually gets treated. Right now, only about 56% of domestic wastewater worldwide is safely

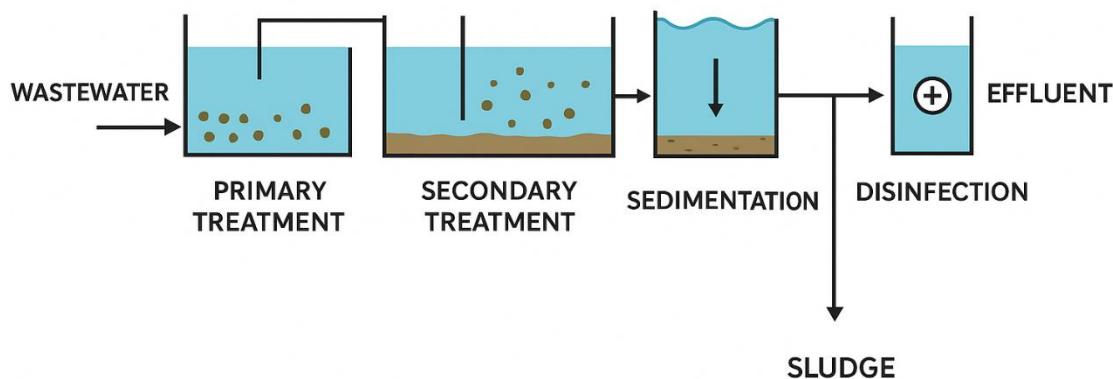
treated, which leaves billions exposed to pollution. Take India, for example. The government has big plans, like the Namami Gange Programme, to tackle the problem. Still, the country produces over 72 billion liters of sewage every day and only manages to treat about 37% of it. In cities, the numbers are even worse—real processing sits at just 28% according to 2025 data. This isn't just an environmental problem. Rivers end up clogged with toxins, groundwater picks up heavy metals, and people pay the price—literally with their health. Diseases like cholera and dysentery kill thousands every year. The situation's a mess, and it makes one thing clear: we need real innovation in wastewater treatment, not just for the planet, but for everyone's future.

Chemical methods are changing the game in wastewater treatment. Instead of relying on old-school biological or physical tricks, these techniques zero in at the molecular level, breaking down contaminants that usually slip right through the cracks. Think about processes like coagulation-flocculation, precipitation, adsorption, ion exchange, advanced oxidation, and disinfection. They all work by tweaking water chemistry to strip out suspended solids, stubborn organics, tough inorganics, and even sneaky pathogens. Pollution comes from all over. Factories—especially petrochemical plants—dump hydrocarbons and salts through wastewater and boiler blowdown. Cities add their own mess: stormwater runoff full of pesticides, leftover medicines, and microplastics. The problems are big and messy, but the tools keep getting sharper. Lately, scientists have started using things like metal-organic frameworks (MOFs) to boost adsorption, and AI to fine-tune oxidation. Thanks to breakthroughs like these, new studies in 2025 show removal rates for nasty pollutants like PFAS hitting 99%. These advanced chemical treatments do more than just patch holes in the old systems. They cut down on issues like sludge buildup and wasted energy. In the end, they turn dirty water into something useful—water that can irrigate crops, run factory processes, or even refill drinking water supplies in places where every drop counts. And with demand rising—the global wastewater treatment market is on track to hit \$591 billion by 2030, growing at 11% a year—these innovations matter more than ever. It's a shift toward a circular economy, where we stop seeing wastewater as garbage and start treating it as a resource. This isn't just about clean water. It's about protecting ecosystems, supporting healthy communities, and building a future where science and sustainability work side by side. In the middle of it all, chemical innovation stands out as the key that turns crisis into opportunity.

2. Treatment Technology for Wastewater:

Chemical methods for treating wastewater use carefully chosen chemicals to spark specific reactions—neutralization, precipitation, redox, and radical-driven breakdown. These reactions tackle a wide range of pollutants, especially the tough ones that physical or biological treatment

just can't handle. You've got tools like coagulation-flocculation, which clumps tiny particles together so they're easier to remove. Chemical precipitation turns dissolved metals and nutrients into solids you can filter out. There's adsorption, where pollutants stick to the surface of materials like activated carbon or new kinds of nanocomposites. Ion exchange relies on special resins to swap out unwanted ions. Advanced oxidation processes (AOPs) use powerful hydroxyl radicals to break down really stubborn organic molecules. Chemical disinfection, finally, wipes out pathogens for good. Each of these methods brings something different to the table, making chemical treatment a crucial step when you need to go beyond what filters and microbes can do.



Typical wastewater treatment process

Each technique works a little differently, but they all end up complementing each other. Coagulation-flocculation uses charge neutralization and sweep-floc action to clump particles together. Precipitation depends on hitting certain solubility limits so unwanted elements drop out. Adsorption follows rules like the Langmuir or Freundlich isotherms, shaped by the chemistry of the surfaces involved. Ion exchange swaps out ions based on how strongly they're attracted to the resin. Advanced oxidation processes (AOPs) move fast, with radical reactions racing along at up to 10^9 – 10^{10} M $^{-1}$ s $^{-1}$. Disinfection? That's all about breaking down cell structures with oxidants. Thanks to this variety, you get a lot of flexibility. Coagulation is great for getting rid of turbidity and phosphorus. Precipitation really shines when you need to pull out heavy metals. If you're after trace organics or specific ions, adsorption and ion exchange do the job. And when you need to handle stubborn contaminants or make sure pathogens don't slip through, you turn to AOPs, ozonation, or chlorination. When you combine or sequence these processes smartly, you end up with water that not only meets but often beats strict discharge and reuse standards. That's why these chemical methods form the backbone of today's resilient and sustainable water management systems.

3. Chemical Methods of Wastewater Treatment

3.1 Chemical Methods

Chemical treatment is one of the go-to methods in modern wastewater engineering. By adding the right chemicals, engineers can quickly and precisely get rid of all sorts of stuff—suspended solids, dissolved organics and inorganics, heavy metals, nutrients, even stubborn new contaminants and germs that regular physical or biological methods just can't touch. People use these chemical processes at different stages, whether it's getting the water ready at the start, handling the main treatment, or polishing things off at the end. They're at the heart of lots of combined systems all over the world. Sure, sometimes you end up with chemical sludge, but with better chemicals, smarter processes, and new ways to make use of that sludge, these treatments have only gotten more sustainable and cost-effective over time.

(a) Coagulation-Flocculation

Coagulation-flocculation remains the cornerstone chemical process for the destabilization and aggregation of colloidal particles, emulsified oils, natural organic matter, and phosphorus. Primary coagulants such as aluminium sulphate-based alum ($\text{Al}_2(\text{SO}_4)_3 \cdot 14\text{H}_2\text{O}$), ferric chloride (FeCl_3), ferric sulphate, or polyaluminium chloride (PACl) neutralize the negative surface charge of colloids through compression of the electrical double layer, adsorption-charge neutralization, or sweep-floc mechanisms. Subsequently, high-molecular-weight polymeric flocculants (anionic, cationic, or non-ionic) bridge the destabilized particles into large, dense flocs that settle rapidly or can be removed by dissolved-air flotation.

Recent advancements include the development of bio-based coagulants (*Moringa oleifera*, chitosan, tannin-based polymers) and composite coagulants (poly-ferric silicate sulphate), achieving $>95\%$ turbidity removal and $>90\%$ phosphorus reduction at significantly lower dosages and reduced sludge volume. Optimized rapid mixing ($G \approx 700\text{--}1000 \text{ s}^{-1}$) followed by gentle flocculation ($G \approx 30\text{--}70 \text{ s}^{-1}$) and the use of jar-test-derived response surface methodology have made the process highly predictable and controllable.

Advantages

- Simple, robust, and rapid; universally applicable to municipal and industrial effluents.
- Excellent removal of TSS, COD, colour, and orthophosphate; enhances downstream biological or membrane performance.

Disadvantages

- Significant chemical consumption and alumino-ferric sludge production.
- Strong pH dependence and risk of residual aluminium in treated water if not properly optimized.

(b) Chemical Precipitation

Chemical precipitation converts dissolved contaminants into low-solubility solids that can be separated by sedimentation or filtration. The most common applications are hydroxide precipitation of heavy metals (Cu^{2+} , Zn^{2+} , Pb^{2+} , Cr^{3+}), sulphide precipitation for ultra-low metal concentrations, carbonate precipitation for hardness removal, and struvite ($\text{MgNH}_4\text{PO}_4 \cdot 6\text{H}_2\text{O}$) precipitation for simultaneous nitrogen and phosphorus recovery.

The process is governed by solubility product (K_{sp}) principles and pH-specific speciation diagrams. Lime ($\text{Ca}(\text{OH})_2$), sodium sulphide, or organo-sulphide reagents are typically used. Emerging “smart” precipitants such as magnesium hydroxide nanoparticles and layered double hydroxides allow selective recovery of metals as marketable products.

Advantages

- Low capital cost, high removal efficiency (>99.9% for many metals), and possibility of resource recovery.
- Proven at full scale in electroplating, mining, and tannery industries.

Disadvantages

- Large volumes of hazardous sludge requiring special disposal or treatment.
- Multiple pH adjustment steps needed when treating mixed-metal streams.

(c) Adsorption

Adsorption exploits physical and chemical interactions between pollutants and high-surface-area solids. Granular activated carbon (GAC) remains the gold standard for organic micropollutants, but the palette has expanded dramatically to include biochar, graphene oxide, metal-organic frameworks (MOFs), covalent organic frameworks (COFs), activated alumina, and modified clays. Surface chemistry (pH-dependent charge), pore-size distribution, and functional groups dictate selectivity. Regeneration via thermal, chemical, or advanced electrochemical methods now achieves 5–20 cycles with minimal capacity loss.

Advantages

- Exceptional removal of dyes, pharmaceuticals, PFAS, pesticides, and odour compounds.
- Many low-cost agricultural or industrial waste-derived adsorbents available.

Disadvantages

- Non-destructive (contaminants merely transferred to solid phase).
- Regeneration or disposal costs can be significant.

(d) Ion Exchange

Ion-exchange resins (strong/weak acid cation, strong/weak base anion, and chelating resins) selectively swap undesirable ions for harmless ones. Widely applied for water softening (Ca^{2+} ,

Mg^{2+} removal), nitrate, perchlorate, arsenate, and heavy-metal recovery. New-generation magnetic ion-exchange resins (MIEX®) and hybrid ion-exchange/reverse-osmosis processes have revolutionized trace contaminant management.

Advantages

- Extremely high selectivity and near-complete removal of target ions.
- Resin regeneration with brine and possibility of metal concentrate recovery.

Disadvantages

- Susceptible to organic fouling and oxidation; requires upstream pretreatment.
- Brine disposal remains a challenge in inland locations.

(e) Advanced Oxidation Processes (AOPs)

AOPs generate highly reactive hydroxyl radicals ($\bullet\text{OH}$, $E^\circ = 2.80$ V) and other reactive oxygen species capable of non-selectively mineralizing even the most recalcitrant organic compounds (pharmaceuticals, pesticides, endocrine disruptors, cyanotoxins) into CO_2 , H_2O , and inorganic ions. Common configurations include Fenton/photo-Fenton, UV/ H_2O_2 , UV/ O_3 , UV/ TiO_2 photocatalysis, electrochemical oxidation, sonolysis, and plasma-based processes. Synergistic combinations (e.g., $\text{O}_3/\text{H}_2\text{O}_2$, persulphate/UV) and novel catalysts (doped TiO_2 , Fe-based MOFs, carbon nanotubes) have pushed mineralization rates beyond 95% at ever-lower energy inputs (electrical energy per order, $\text{EEO} < 1 \text{ kWh m}^{-3}$).

Advantages

- Unmatched ability to destroy biorefractory and toxic organics.
- Improves biodegradability (BOD/COD ratio) for downstream biological treatment.

Disadvantages

- Relatively high energy and reagent costs.
- Risk of toxic intermediate formation if not properly controlled.

(f) Chemical Disinfection

Chemical disinfectants (chlorine, chloramine, chlorine dioxide, ozone, peracetic acid, and performic acid) remain indispensable for pathogen inactivation before discharge or reuse. Ozone and UV-based AOPs simultaneously disinfect and oxidize micropollutants. New trends include performic acid (low by-products) and on-site electrochemical generation of mixed oxidants.

Advantages

- Rapid and reliable inactivation of bacteria, viruses, and protozoa.
- Ozone and chlorine provide residual protection in distribution networks.

Disadvantages

- Formation of disinfection by-products (DBPs) such as trihalomethanes, haloacetic acids, and bromate.
- Requires careful dose control and sometimes de-chlorination before discharge.

Conclusion:

Let's be real—chemical methods like coagulation-flocculation, chemical precipitation, adsorption, ion exchange, advanced oxidation processes (AOPs), and disinfection are absolute workhorses in today's wastewater treatment game. They tackle just about anything: suspended particles, heavy metals, stubborn organics like pharmaceuticals and PFAS, dyes, nutrients, and even nasty microbes. Removal rates? We're talking 95 to 99 percent, sometimes better, across all sorts of municipal and industrial waste streams. When biological or physical treatment can't keep up—especially with weird new pollutants or unpredictable loads—these chemical tools step in fast. They're targeted, they're reliable, and they turn dangerous wastewater into something truly useful, whether it's for irrigating crops, fueling factories, recharging groundwater, or even, believe it or not, supplementing drinking water supplies. With water demand expected to jump another 20 to 30 percent by 2050, and over 80 percent of wastewater in developing countries still going untreated, these methods aren't just helpful—they're essential. They're paving the way toward a future where water gets reused, resources are recovered, and the environment actually gets a fighting chance. But the real magic happens when you start combining these processes. Pair coagulation-flocculation with membranes and you get less sludge. Link precipitation with ion exchange and suddenly you're recovering valuable metals. Throw in AOPs alongside biological polishing and you boost how easily organics break down while cutting down on energy and costs. New breakthroughs—bio-based coagulants, reusable nano-adsorbents, solar-driven photocatalysis, AI-powered system optimization—are fixing old problems like high chemical costs, energy use, and waste, making these chemical approaches way more sustainable and scalable. Take India, for example. Every day, the country produces about 72 billion liters of sewage, but only manages to treat around 37 percent of it—and actually reuses even less. That means most of it ends up polluting the Ganga, the Yamuna, and other rivers, fueling waterborne diseases that kill hundreds of thousands each year. If India started using these optimized hybrid chemical treatments on a broad scale, it could change everything: cleaner rivers, fewer deadly diseases, and tens of billions of liters of clean water flowing back to farms and factories in desperate need. Bottom line? Chemical treatment isn't just about cleaning up messes. It's about turning pollution into something valuable, waste into wealth, and scarcity into opportunity. If we act now—engineers, policymakers, communities—we can make this vision real. This isn't just

some distant dream. The technology's here. The need is here. It's time to turn reclaimed water from an idea into reality, for people and the planet, now and for the future.

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CHEMICAL ACCIDENTS AS ENVIRONMENTAL AND HEALTH THREATS

Satendra Kumar

Department of Chemistry,
Government Degree College, Hempur, Bisalpur (Pilibhit)
Corresponding author E-mail: drsatendrakmr@gmail.com

Abstract:

A chemical accident is the unintentional release of one or more hazardous chemicals, which could harm human health and the Environment. Chemical accidents Includes fire explosion and release of toxic material that may cause illness, injury or disabilities to the peoples. There are thousands of cases caused by chemical accident also reported in last few year various places of world across countries. There chemical accidents strongly advocacy of awareness should be spread amongst the people especially that are working in a Sector that is directly linked to the chemicals. Here are the some example of some chemical accidents that causes a great loose to the humanity and environment. The chemical accidents have increased the knowledge and awareness to make policies and method to overcome the causes of chemical accident so in future no of such chemical accidents reduced and prohibited.

Keywords: Chemistry Accidents, Toxic Chemicals, Pollution, Bhopal Gas Tragedy, Chemical Hazards.

Introduction:

The toxic nature of chemicals has great negative effect on humans. These chemicals also cause Environmental pollution. Methyl iso-cynate gas tragedy is the most of tragedies events that caused thousands of deaths and very large no of injury and future adverse effect for a long time. This research article highlights the cause and effect of some chemical accident.

There are two types of changes are happening around us that we observed everyday in our life, one is Physical change and other is Chemical Change. During a chemical change, the chemical structure of product is always differing from the chemical structure of reactant or Initial material. Generally Chemical change is Irreversible, when the Physical Condition are reversed or altered. The starting material or final material of a chemical change is known as chemicals. Then chemical are made of small atoms of one or more type of elements and have definite Composition. For example Sodium chloride is a chemical made up of atom of Sodium and Chlorine in 1:1 ratio. Oxygen is a diatomic chemical compound formed by the addition of two oxygen atom that is bounded by covalent bond. Chemical on the bases of their Synthesis, are of

two type, natural chemicals and synthetic chemicals. Synthetic chemicals are manmade chemicals and are prepared by means of chemical synthesis or chemical reaction. For example Urea was the first man made organic compound prepared by heating Ammonium cyanate. Some chemicals like natural rubber, Sodium chloride; Glucose, Fructose, etc are found in nature and are the example of natural chemicals. These chemicals are found in all three physical state that is solid, liquid and gas. Sodium chloride, Urea, Glucose, Fructose, Salicylic acid, Sodium hydroxide, Sugar, Napthol, Benzoic acid, Tartaric acid, Ammonium nitrate etc are some solids & chemicals that are very common in lab and we have used. Oxygen, Carbon dioxide, Ammonia, Carbon monoxide, Nitrogen oxide, Ozone, Chlorine, Acetylene, Ethylene, Methane, Propane, Hydrogen, Helium, Neon etc are some example of gaseous chemicals. Bromine Sulfuric acid, Hydrochloric acid, Nitric acid, Acetic acid, Ethyl alcohol, Acetone, Benzyl alcohol, Benzene, Toluene, Glycerin, Nitroglycerine, Nylon, Carbon tetra chloride, water, Hydrogen Peroxide, Bromine etc. are the example of some liquid chemicals.

Everything in this universe is made up of Chemicals and Energy. So chemicals are very important in the making of living and non living things in our surrounding. Our body is also made up many chemicals and there is always No. of chemical reaction are going on at every time in our body. To get Energy our body cell, s also uses glucose a carbohydrate and a chemical. The Combustion reaction involves addition of oxygen to produce Energy. These chemicals are of two type one the bases of toxicity, non-toxic and others are toxic in nature and are harmful to us and our Environment. There toxic chemicals may cause accidents in hazard. The chemical accident may be defined as - "A chemical accident is the unintentional release of one or more hazardous chemicals, which could harm human health and the Environment. Chemical accidents Includes fire explosion and release of toxic material that may cause illness, injury or disabilities to the peoples. The chemical accident Generally caused by the Humans Errors due to their laziness, but Ineffectiveness in dealing chemicals, lack of knowledge of proper handling of chemicals, skill Inability are the main cause of chemical accidents. Some time natural disaster like Earthquake, fled, lightning, rain etc may cause chemical accident. Intentional chemicals accidents are also reported in many places in the world. The Intentional cases Involve acid attack on near and dear one in the name of revenge of the one sided love are repeated many times in western up. This chemical accident causes the severe burn to the face and body of the victim person. There are many Cases of Self consumption of chemical in the form of poison are also found to be come for treatment in Subharti Hospital in Meerut. Other hospitals patient treatment History also shows a No. of this kind of chemical accident. A case of toxic poison intake by a woman in the farm Of Pesticide is reported in Amar Ujala in Bagpat district of UP in the month of November 2025, in

western UP area of Meerut and nearly district is famous for its sugar cane production. The sugar cane crop involves the use of number of chemicals in the form of Pesticide, Insecticide, Herbicides etc, there are many case of exposure of some toxic Agri-medicine are also happing throughout the life cycle of Sugarcane crop. Scientist generally not includes then everyday exposure to the toxic substance in the work place, fields, house etc. as chemical accidents during winter sessions Heater are used in the rooms to get-rid of Cold. The Heater used coal as fuel some time may Causes exposure of Humans to the toxic Carbon-monoxide gas etc. leading to the severe heath condition to some times this may cause the death Causality. Chemical fires, leaking of toxic gas, explosion etc. are the Hazards caused by chemical accident. Some time these chemicals are also used by terrorist to cause panic in the society. On 10 November 2025 such an attack is made by using Ammonium nitrate in Red fort area of Delhi in India. This accidents caused 15 death Causality and injury to others with Economical, and social and environmental losses.

There are thousands of cases caused by chemical accident also reported in last few year various places of world across countries. There chemical accidents strongly advocacy of awareness should by spread amongst the people especially that are working in a Sector that is directly linked to the chemicals. Here are the some example of some chemical accidents that causes a great loose to the humanity and environment.

Texas City Disaster

This disaster was chemical accidents occurred on 16 April 1947 at the port of Texas city. The main cause of the disaster was a chemical named as Ammonium nitrate. Ammonium nitrate is a chemical made up of Nitrogen, Hydrogen and Oxygen. This chemical has the explosive property when subjected to heat under pressure. The explosion started a chain reaction of fire and explosion and it reached to nearby ships and oil storage facilities. 581 People was killed and thousands gets injured. The oxide of Nitrogen Carbon formed that cause's great Environmental pollution. One of the consequences of these accidents was that the toxic chemicals should not be placed to near the residential area.

Bhopal Gas Tragedy

This disaster was a chemical accident occurred on 3 December 1984 at the Bhopal city of India. The cause of this chemical accident was a methyl Isocynate gas. This gas is chemical made up of carbon, Hydrogen and Nitrogen. It has pungent smell with High toxicity. This gas have Shown a certain Heath issues when Enter humans. Exposure in High Concentration this gas cause severe Pulmonary Edema, Alveolar walls Injury, severe Corneal damage, These all ultimately lead to the death in majority of Cases. The survivors of the MIC accidents show prolonged respiratory

and ocular conditions. The Bhopal gas tragedy was started with a leakage of methyl isocyanate at a very rapid rate. This gas spread very quickly to the surrounding area. Thousands of people were dead and others were severely injured during this chemical accident. Literature shows that Poor maintenance, lack of training to the workers to handle methyl isocyanate, cost cutting in the form of Equipment repairing and buying new Equipment, Great reduction of Number of employees worker, lacking of emergency plan, poor control of local administration, No time to time survey and inspection by the expert team was the main cause of happening of this type of biggest Industrial chemical tragedy of all time.

West Fertilizer Plant Explosion

This was a chemical accident Caused by ammonium nitrate Explosion occurred at west fertilizer company storage and distribution facility in west Texas on 17 April 2013. 15 people were killed and more than 200 were injured. The building of west Fertilizer Company also gets damages in this accident. The explosion was caused by ammonium nitrate and this Produces Carbon dioxide, Carbon monoxide and oxide of Nitrogen gases. These all have toxic nature in the form of fumes and Causes pollution to the environment, on the safety measure all the School and colleges was closed in the nearby area of the chemical accident. The studies shows that lack of chemical inventory tracking, lack of fire suppression system, improper storage of chemicals, poor regulation, poor public addressing etc. was the main causes of this chemical disaster.

Xiangshui Chemical Plant Explosion

This chemical accident was occurred in a chemical plant at chenjiagang chemical industry park China on 21 marches 2019. A total Casualty of 78 People and Injury to 617 People was reported. Benzene, Toluene, xylene, acetone, chloroform, dichloroethane, natural gas etc. chemical levels were found to be in nearby Environment including river water. The main cause for the occurring of this chemical Event was improper storage of toxic waste material, violation of environmental rules, poor government Administration, poor and outdated infrastructure of the chemical plant and poor Emergency response system. The Burning of this toxic substance was going on for more than two days. This causes very harmful effect on the surrounding area of the accident place.

BP Texas city Refinery Explosion, Imperial Sugar refinery explosion, Minamata Disaster, Arco chemical explosion, Bright Sparklers fireworks factory explosion, Phillips petroleum explosion Beirut port explosion, Tianjin explosion, Seveso disaster, Henderson Rachel fuel plant explosion, Chevron oil refinery explosion, Vizag gas leak, Deepwater Horizon - cyanide spill, Karen wetterhahn death, Baja, mare-UCSB incidents are some of the other major chemical accidents that affected the humanity at every scale and aspect at various times in History.

Conclusion:

The chemicals accidents are the boon to the humanity, one side these chemicals are necessary in almost every area of the life but their toxicity, explosive nature etc caused a human causality and injury alongside chemical pollution. These accidents have increased the knowledge and awareness to make policies and method to overcome the causes of chemical accident so in future no of such chemical accidents reduced and prohibited.

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FURO[3,4-B]PYRIDINE AND FURO[3,4-B]QUINOLINE SCAFFOLDS VIA FISCHER CARBENE COMPLEX COUPLING: A ROUTE TO HETEROCYCLIC LIGNAN ANALOGUES

Priyabrata Roy

Department of Chemistry,

Victoria Institution (College), 78B, A.P.C. Road, Kolkata 700009, India

Corresponding author E-mail: priyo_chem@yahoo.co.in

Abstract:

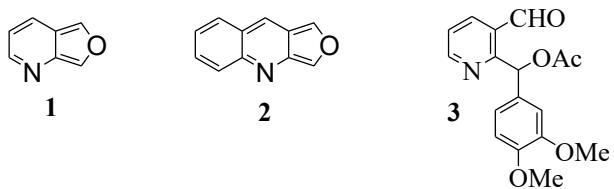
This chapter describes an efficient synthetic strategy for generating furo[3,4-b]pyridine and furo[3,4-b]quinoline frameworks via the coupling of Fischer carbene complexes with alkynyl pyridinoyl and quinolinoyl carbonyl derivatives. The method enables the *in situ* formation of azaisobenzofuran intermediates, which can be trapped through Diels–Alder cycloadditions with various dienophiles to produce oxa-bridged adducts and substituted quinoline or benzoquinoline derivatives. This approach provides a rapid entry to heterocyclic scaffolds that are structural analogues of 1-arylnaphthalene lignans, compounds of significant biological relevance. The scope, mechanistic pathways, and stereochemical aspects of these transformations are discussed in detail, highlighting the versatility of Fischer carbene complexes in modern heterocyclic synthesis.

Keywords: Furo[3,4-b]pyridine, Furo[3,4-b]quinoline, Fischer Carbene Complex, Azaisobenzofuran Intermediate, Diels–Alder Reaction, Heterocyclic Lignan Analogues.

1. Introduction:

The isobenzofuran system, featuring a reactive *o*-quinoid 10- π -electron arrangement, has been extensively studied for its unusual electronic properties, theoretical interest, and synthetic utility.^{1,2} This class of molecules serves as useful intermediates for constructing polycyclic structures via cycloaddition reactions. In contrast, the related heteroaromatic systems, particularly the furo[3,4-b]pyridine series, have seen far less exploration.³

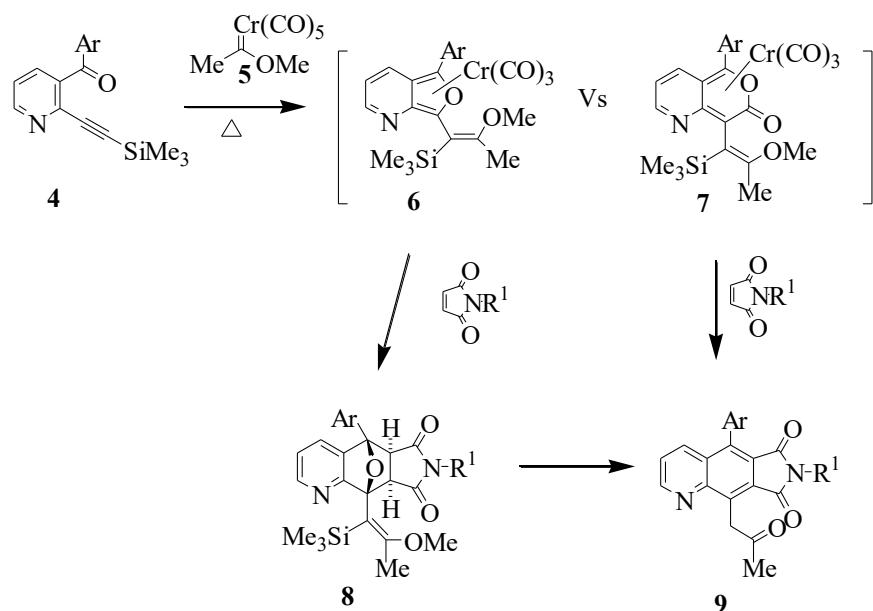
To date, the parent compounds furo[3,4-b]pyridine (1) and furo[3,4-b]quinoline (2) have not been isolated, and synthetic access has been limited. The only method documented involves the *in situ* generation of substituted furo[3,4-b]pyridine intermediates from 2-(α -acetoxy-3,4-dimethoxybenzyl)-2-pyridine-3-carboxaldehyde (3) under acidic conditions.⁴



A well-known route for generating isobenzofurans employs the coupling of Fischer carbene complexes with o-alkynylbenzoyl derivatives, which rapidly yields unstable intermediates that undergo Diels–Alder cycloadditions with suitable dienophiles. Despite the value of this approach for aromatic systems, it has not been widely applied to prepare heteroaromatic analogues such as furo[3,4-b]pyridines.

Expanding this strategy offers a convenient pathway to heterocyclic frameworks with biological relevance. For example, substituted furo[3,4-b]pyridines can serve as key intermediates for synthesizing 1-arylnaphthalene lignan analogues, a class of compounds known for diverse pharmacological properties.⁵

A general synthetic plan is illustrated in Scheme 1. Here, substituted furo[3,4-b]pyridine intermediates (6) arise from coupling 2-alkynyl-3-pyridine carbonyl derivatives (4) with a Fischer carbene complex (5). These intermediates then participate in a Diels–Alder reaction with an appropriate dienophile, forming cycloadducts (8). Further transformation under acidic or basic conditions leads to heterolignans (9). An alternative mechanistic possibility includes the formation of pyrone derivative (7), which may undergo an intermolecular Diels–Alder reaction, followed by CO₂ extrusion, to reach the same final lignan-type framework.⁶



Scheme 1: General strategy for the synthesis of arylquinoline lignan derivative

2. Synthetic Approach and Scope

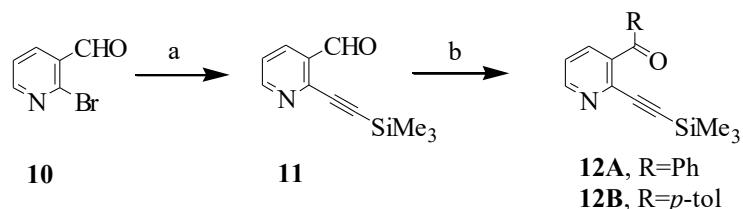
2.1 Preparation of Key Alkyne Aldehyde and Carbonyl Precursors

A critical step in accessing the furo[3,4-*b*]pyridine system involves preparing a suitably functionalized pyridine aldehyde. The compound 2-bromo-3-pyridinecarboxaldehyde (10) can be synthesized by a regioselective ortho-lithiation of 2-bromopyridine with LDA (lithium diisopropylamide) at low temperature (-78°C). The lithiated intermediate is then quenched with DMF, following the method described by Sakamoto and co-workers.⁷ This step demonstrates the practicality of directed ortho-metallation for pyridine systems.

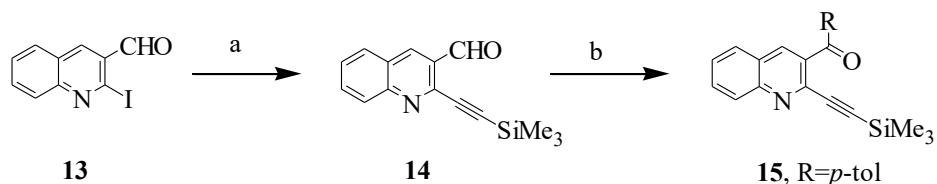
The resulting aldehyde 10 is transformed into alkyne aldehyde 11 via a Sonogashira coupling, which couples a terminal alkyne (trimethylsilylacetylene) with the aryl halide in the presence of a palladium catalyst and copper(I) iodide co-catalyst under mild conditions. This well-established reaction is widely used in organic synthesis for constructing carbon–carbon triple bonds with high selectivity.

To obtain the related alkyne carbonyl derivatives (12), the aldehyde 11 is reacted with an aryl Grignard reagent in diethyl ether to introduce an aryl substituent, followed by oxidation with pyridinium dichromate (PDC) to convert the resulting alcohol to a ketone or extended carbonyl. These steps are outlined in Scheme 2.

For comparison, a benzo-fused system was also prepared. The benzo analogue (14) can be accessed by a palladium-catalyzed Sonogashira coupling of 3-formyl-2-iodoquinoline (13).⁸ The intermediate is then treated with an aryl Grignard reagent and oxidized with PDC to yield the extended alkyne carbonyl compound 15, as shown in Scheme 3.



Scheme 2: Reagents and conditions: (a) Trimethylsilylacetylene, $(\text{Ph}_3\text{P})_2\text{PdCl}_2$, CuI , THF, Et_3N , r.t., 85%; (b) (i) RMgBr ; (ii) CrO_3 , 2-pyridine, 12A (88%), 12B (68%)

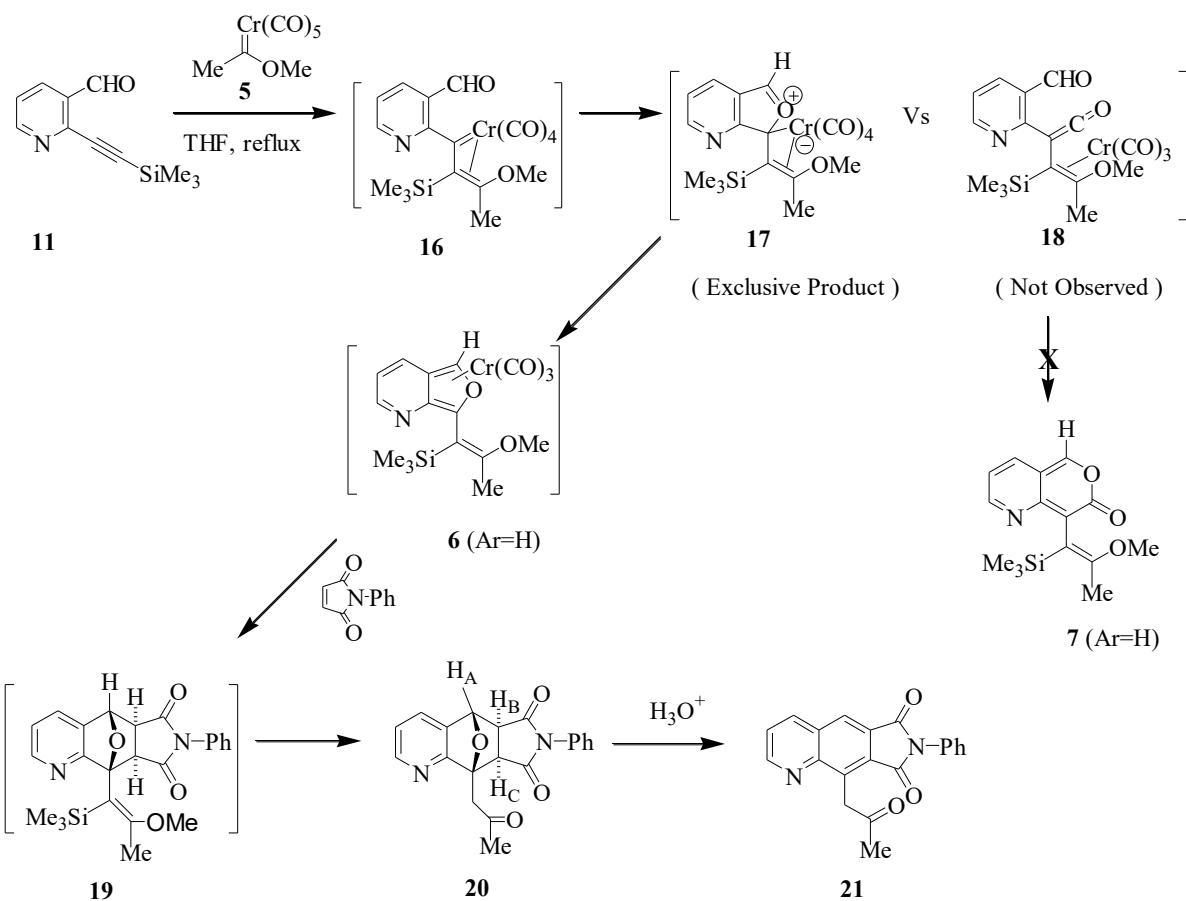


Scheme 3: Reagents and conditions: (a) Trimethylsilylacetylene, $(\text{Ph}_3\text{P})_2\text{PdCl}_2$, CuI , THF, Et_3N , r.t., 86%; (b) (i) RMgBr ; (ii) CrO_3 , 2-pyridine, 69%

2.2 Generation of Furo[3,4-b]pyridine Intermediates

The key transformation involves the coupling of the alkyne aldehyde 11, the Fischer carbene complex 5, and N-phenylmaleimide in a three-component reaction under refluxing THF. This reaction typically proceeds for 12 hours and is followed by an acidic hydrolysis step. The resulting mixture contains the desired oxa-bridged adduct (20) and the rearranged quinoline derivative (21), as summarized in Scheme 4.

The proposed pathway begins with the Fischer carbene complex coordinating with the alkyne moiety, generating an alkyne–carbene intermediate (16). Cyclization occurs via intramolecular capture of the carbonyl oxygen, forming a transient carbonyl ylide (17). Subsequent metal loss yields the azaisobenzofuran intermediate (6), which acts as a reactive diene for the Diels–Alder cycloaddition with the maleimide.



Scheme 4: Proposed mechanism for formation of oxa-bridged adduct and quinoline derivative

The stereochemistry of the resulting oxa-bridged adduct 20 is consistent with an exo approach, supported by diagnostic NMR evidence such as the absence of coupling between HA and HB, and the chemical shifts for HB and HC being less than 4 ppm.^{2a,9} Attempts to isolate the enol-

ether 19 were unsuccessful, as it rapidly rearranged to the more stable ketone 20 and quinoline 21 on silica gel.

The absence of any detectable coupling between HA and HB indicates that the dihedral angle between these two hydrogen atoms is approximately 90°, which aligns well with predictions from the Karplus relationship. The formation of a completely free azaisobenzofuran during the reaction of compound 11 appears unlikely, considering the pronounced *exo* selectivity observed, together with the relatively long lifetime of the intermediate at an elevated temperature of 70 °C. It is plausible that the azaisobenzofuran intermediates in this system are partially stabilized through complexation with the chromium center,¹⁰ and as a result, the final adducts may arise from a sequence involving oxidative insertion followed by reductive elimination, as proposed in related studies.¹¹

Additionally, this reaction was performed without any final acid treatment. Following filtration of the crude reaction mixture through Celite and subsequent purification by column chromatography on silica gel, a mixture of ketone 20 and quinoline derivative 21 was isolated. Efforts to isolate the enol ether 19 directly were unsuccessful, since it rapidly rearranged to the corresponding ketone 20 and quinoline derivative 21 during the chromatography step on silica gel.

Interestingly, no pyrone derivative 7 was detected under these conditions, although its formation was initially plausible due to the known insertion of CO into carbene–alkyne intermediates. The lack of this pathway indicates that direct carbonyl ylide formation and cycloaddition are favored in this system.

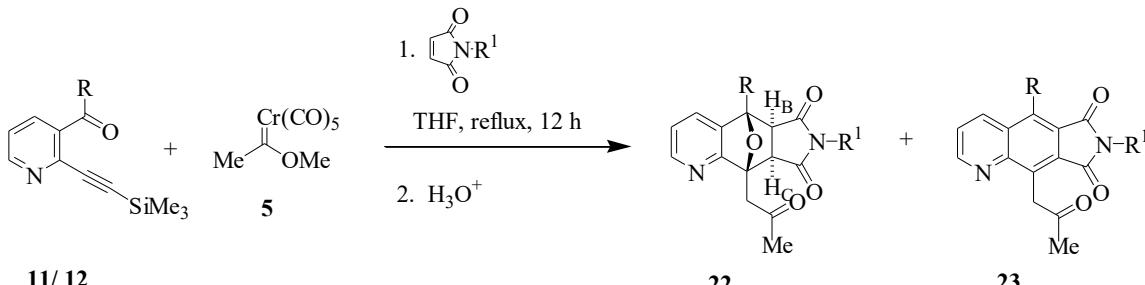
2.3 Substrate Variations and Influence of Dienophiles

The formation of furo[3,4-*b*]pyridine intermediates was further examined using a variety of heteroaromatic alkynyl carbonyl derivatives, with the outcomes summarized in Table 1. This approach proved to be broadly applicable, consistently yielding a mixture of oxa-bridged compounds (22) and quinoline derivatives (23).

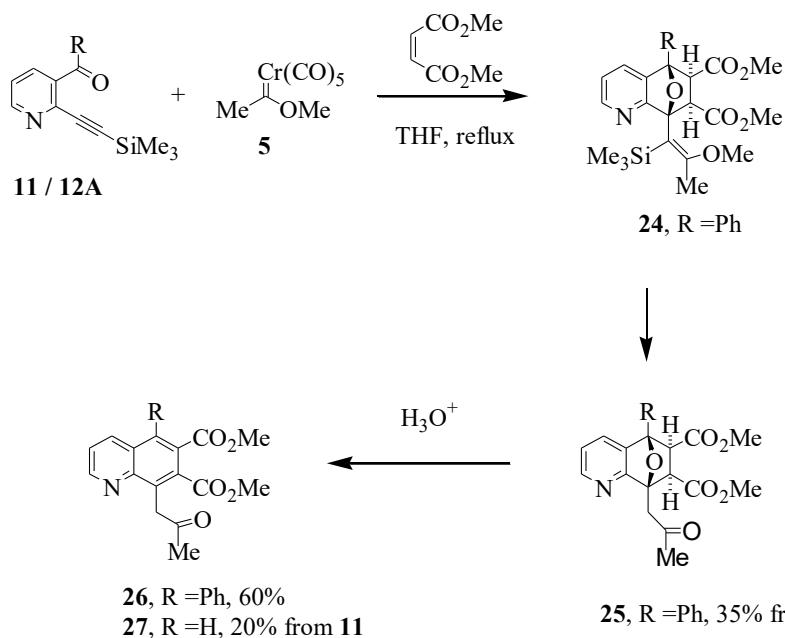
Given that the azaisobenzofurans generated from benzophenone-type analogues showed relatively good stability, a two-step sequence was explored to enhance selectivity. In this procedure, the alkyne carbonyl compounds 12 were first reacted with carbene complex 5 for about 30 minutes to form the intermediate species, followed by the addition of either N-phenylmaleimide or N-methylmaleimide as the dienophile. The resulting crude reaction mixtures were then treated with aqueous hydrochloric acid, furnishing a combination of the *exo* Diels–Alder adduct (22) and the corresponding quinoline derivative (23) (entries B–D).

When N-phenylmaleimide was used, the oxa-bridged adducts were obtained as the major products (entries B and D). However, this preference was reversed in reactions employing N-methylmaleimide, where the quinoline derivative became predominant (entry C).

Table 1: Generation and trapping of furo[3,4-b]pyridine intermediates with maleimides.



Entry	R	R ₁	Yield 22 (%)	Yield 23 (%)
A	H	Ph	5	35
B	Ph	Ph	43	11
C	Ph	Me	10	42
D	p-Tol	Ph	30	18



Scheme 5: Formation of quinoline derivatives using dimethyl maleate

The reaction sequence was also extended by employing dimethyl maleate as the dienophile. When the pyridine carbonyl compound 12A was combined with carbene complex 5 and dimethyl maleate, the reaction furnished a mixture of the enol ether 24 and its corresponding ketone 25, without requiring acid treatment during work-up. However, it was observed that enol ether 24 is unstable in chloroform solution and readily rearranges to form the more stable ketone 25. From compound 12A, the isolated yield of ketone 25 was found to be approximately 35%.

Further transformation of the oxa-bridged ketone 25 was achieved by treatment with aqueous hydrochloric acid, which promoted its conversion to the quinoline derivative 26. Although this conversion did not proceed completely under the given conditions, the isolated yield of 26, relative to the recovered starting ketone, was around 60%.

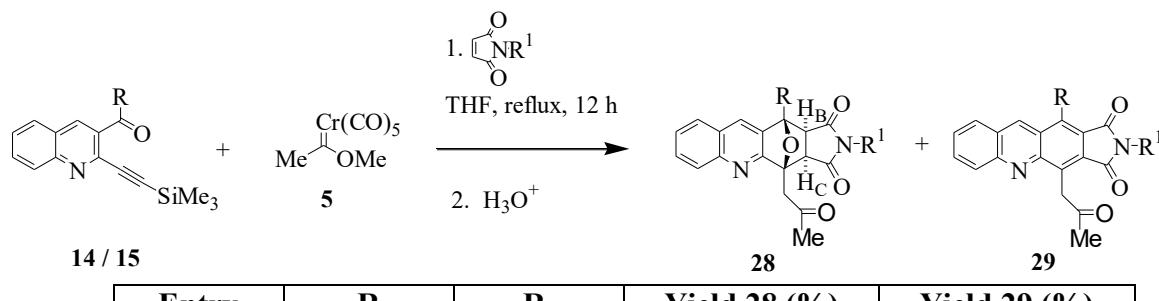
Additionally, the quinoline derivative 27 was prepared in about 20% yield from a three-component coupling of pyridine carboxaldehyde 11, carbene complex 5, and dimethyl maleate, followed by acidic hydrolysis of the crude product mixture, as illustrated in Scheme 5.

2.4 Application to Furo[3,4-b]quinoline Systems

The same approach is applicable to benzopyridine derivatives (14, 15), demonstrating its flexibility for more extended heteroaromatic systems. Reactions of these substrates with Fischer carbene complex 5 and either N-methylmaleimide or N-phenylmaleimide yielded oxa-bridged adducts (28) and the corresponding benzoquinoline derivatives (29) (Table 2).

The stereochemical assignment of the cycloadducts is supported by proton NMR, including the characteristic chemical shifts for the bridgehead protons and, where present, the N-methyl signal at $\delta \sim 3.0$.^{2a,9}

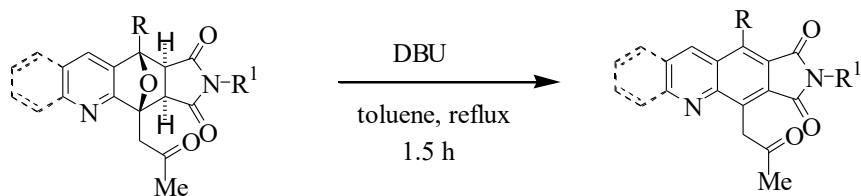
Table 2: Generation and trapping of furo[3,4-b]quinolines with maleimides.



Entry	R	R ¹	Yield 28 (%)	Yield 29 (%)
A	H	Ph	40	10
B	H	Me	6 ^b	36
C	p-Tol	Me	—	48

2.5 Ring Cleavage and Final Transformations

The cycloadducts 22 and 28 can undergo further transformations. Treatment with DBU in refluxing toluene or acidic hydrolysis facilitates ring cleavage, producing the corresponding substituted quinoline (23) or benzoquinoline (29) derivatives (Scheme 6). However, the efficiency of this process varies: some adducts undergo complete conversion, while others remain partially unreacted under identical conditions. These nitrogen-containing heterocycles resemble 1-arylnaphthalene lignan analogues known for diverse biological activity.⁵



22 / 28

23 / 29

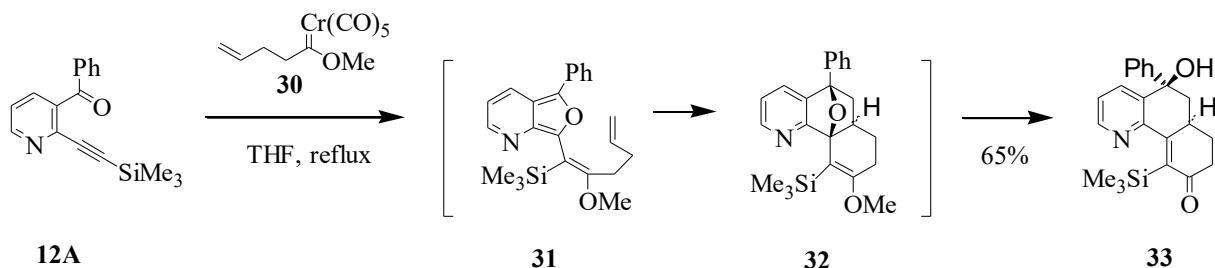
Scheme 6: Ring-opening transformation of oxa-bridged adducts to quinoline derivatives

2.6 Intramolecular Diels–Alder Cycloaddition

The strategy of coupling an alkynyl carbonyl compound with a Fischer carbene complex to generate and trap α -phenylsubstituted furo[3,4-b]pyridines can also be successfully adapted to an intramolecular version, as outlined in Scheme 7. For this purpose, a γ,δ -unsaturated Fischer carbene complex (30) was first synthesized from the parent carbene complex 5 by deprotonation with *n*-butyllithium at $-78\text{ }^{\circ}\text{C}$, followed by alkylation with an excess of an allylic bromide, following the method described by Herndon and co-workers.

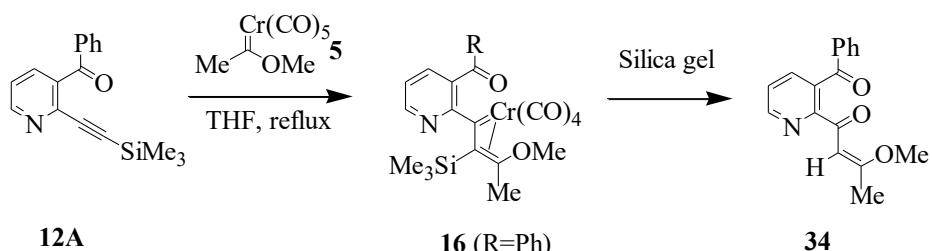
When this specially designed carbene complex 30 was reacted with alkynyl carbonyl derivative 12A under the same conditions as the previous intermolecular cases, the system underwent a highly efficient exo-selective intramolecular Diels–Alder reaction.¹² This proceeds through formation of an intermediate azaisobenzofuran species (31), which cyclizes in a single step to produce a pyridine fused hydronaphthalene derivative (33) in good yield.

The initially formed Diels–Alder adduct (32), which arises from the intermediate 31, appears to be somewhat unstable and prone to ring-opening under the reaction conditions. The remarkable efficiency of this intramolecular [4+2]-cycloaddition can be attributed to favorable entropic factors, which bring the tethered double bond into close spatial proximity with the reactive diene system, greatly enhancing the cyclization process.



Scheme 7. Intramolecular generation of a pyridine-fused hydronaphthalene framework

Attempts to isolate a stable, free heteroaromatic isobenzofuran (6) were unsuccessful due to its high reactivity. When the reaction was run without a dienophile, the alkyne carbonyl compound 12A and the Fischer carbene complex in refluxing THF yielded a crystalline dicarbonyl compound (34). This product likely results from oxygen insertion into the intermediate carbene species (Scheme 8).



Scheme 8: Formation of dicarbonyl compound 34 in the absence of a dienophile

3. Conclusion and Outlook

In summary, the strategy outlined here demonstrates a practical and versatile route to the generation of furo[3,4-b]pyridine and furo[3,4-b]quinoline frameworks via Fischer carbene complex coupling with alkynyl carbonyl precursors.¹³ This is the first report to describe the *in situ* generation of furo[3,4-b]quinoline intermediates under mild conditions. The resulting intermediates serve as highly reactive species for Diels–Alder trapping, leading directly to heterocyclic analogues of 1-arylnaphthalene lignans, many of which are of potential biological or medicinal interest.

This method expands the synthetic toolkit for accessing heteroaromatic o-quinodimethane systems, opening new possibilities for the construction of complex fused heterocycles. Further studies are likely to focus on modifying the substitution patterns, exploring alternative dienophiles, and extending the approach to other heterocyclic families. Continued investigations into the reactivity and potential applications of azaisobenzofuran intermediates may yield valuable new structures for medicinal chemistry, materials science, and beyond.

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ANALYTICAL METHOD DEVELOPMENT AND VALIDATION OF A STABILITY-INDICATING UV SPECTROPHOTOMETRIC METHOD FOR 4-CHLOROPHENYL SUCCINIMIDE

Vikas D. Jadhav

Department of Chemistry,

K.R.T. Arts, B.H. Commerce & A.M. Science (KTHM) College, Nashik, India

Corresponding author E-mail: vikasjadhav968@gmail.com

Abstract:

A simple, sensitive, and environmentally benign stability-indicating UV spectrophotometric method was developed and validated for the quantitative determination of a 4-chlorophenyl succinimide derivative hereafter referred as CPS. In accordance with the principles of green analytical chemistry, the proposed method utilizes an eco-friendly solvent system, thereby minimizing solvent consumption and reducing environmental impact. The UV absorption spectrum of the analyte was recorded, and the maximum absorbance (λ_{max}) was observed at 222 nm, which was selected for quantitative analysis. The method was validated as per ICH Q2(R1) guidelines. Linearity was established over the concentration range of 10 to 100 $\mu\text{g}/\text{mL}$, with a correlation coefficient (r^2) of 0.9991. Precision studies demonstrated satisfactory repeatability and intermediate precision, with %RSD values of 0.237% and 1.154 %, respectively. Accuracy of the method was confirmed by recovery studies at different concentration levels, yielding mean percentage recovery values in the range of 99 %. The limit of detection (LOD) and limit of quantification (LOQ) were found to be 0.1233 $\mu\text{g}/\text{mL}$ and 0.3737 $\mu\text{g}/\text{mL}$, respectively, indicating adequate sensitivity of the method. Robustness and Ruggedness studies revealed that deliberate minor variations in analytical parameters did not significantly affect the results, with %RSD values remaining below 0.425 % and 0.677 %. The validated green UV spectrophotometric method is simple, cost-effective, and suitable for routine quality control and stability studies of the 4-chlorophenyl succinimide derivative in bulk drug analysis.

Keywords: 4-Chlorophenyl Succinimide, UV Analysis, Validation, Stability Study, Forced Degradation.

Introduction:

Succinimide derivatives have been extensively investigated in medicinal chemistry owing to their versatile pharmacological profile and favorable physicochemical properties. The succinimide scaffold is a key structural feature in several clinically approved drugs, most notably

ethosuximide, which has been used for decades in the management of absence seizures. The therapeutic success of such compounds has stimulated sustained interest in the design and synthesis of novel substituted succinimides with enhanced biological activity and improved safety profiles. Numerous studies have demonstrated that structural modifications on the succinimide ring, particularly substitution at the nitrogen atom or incorporation of aromatic groups, significantly influence pharmacodynamic and pharmacokinetic behavior.

4-Chlorophenyl succinimide, hereafter referred to as (CPS) derivatives, constitute an important class of cyclic imide compounds that have gained increasing attention in medicinal and pharmaceutical chemistry. Succinimide scaffolds are well recognized for their broad spectrum of biological activities, including anticonvulsant, antimicrobial, anti-inflammatory, antidiabetic, and anticancer effects. Structural modification of the succinimide nucleus through substitution with aromatic moieties, such as a chlorophenyl group, has been shown to enhance pharmacological potency, metabolic stability, and receptor interaction. Consequently, CPS compounds are being actively explored as promising candidates for further drug development.

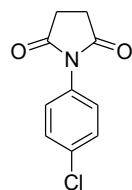


Figure 1: Chemical structure of 1-(4-Chlorophenyl) pyrrolidine-2,5-dione(CPS)

High-performance liquid chromatography (HPLC) is commonly employed for stability and assay studies; however, it often requires large volumes of organic solvents, extended analysis time, and sophisticated instrumentation. In contrast, UV spectrophotometric methods offer a simple, rapid, and cost-effective alternative for routine analysis. The presence of an aromatic chlorophenyl chromophore in CPS compounds results in significant UV absorbance, making them particularly suitable for spectrophotometric determination.

The method is validated in accordance with ICH Q2 (R1) guidelines, addressing parameters such as linearity, accuracy, precision, robustness, and sensitivity. The objective is to provide a scientifically sound, environmentally responsible, and cost-effective analytical approach suitable for routine quality control of CPS derivatives.

Methods

Chemicals and Reagents

All reagents were of analytical grade unless indicated otherwise. Synthetic biologically active 1-(4-chlorophenyl) pyrrolidine-2,5-dione (CPS) (99% pure) was used as a test sample and

reference standards for the validation study. The reference standards of synthesized compounds, namely 1-(4-chlorophenyl) pyrrolidine-2,5-dione (CPS), were prepared and characterized in the laboratory. The test samples were prepared from batches different from those used for the reference standards of the synthesized compounds, which were independently prepared in the laboratory. All other reagents were freshly prepared and used within their stability period. Glassware used throughout the study was thoroughly cleaned, rinsed with distilled water, and dried before use.

Instrumentation

A UV-Visible Spectrophotometer (Analytical Technologies Ltd., Model 2012) was employed, featuring a wavelength resolution of 0.1 nm, a double-beam design, and UV-VIS Analyst Software, with a scanning range of 190–1100 nm. Other instruments used for method development and validation included a cyclo mixer (Remi, India), a sonicator (Wenser Ultra Sonicator WUC-4L), a pH meter (Metrohm), and an analytical balance (Wenser High Precision Balance PGB100) for precise weight measurements. Buffers and triple-distilled water were filtered using 0.45 μ m nylon filter membranes (Millipore).

Method Development

Method development involved the selection of a suitable solvent system, preparation of standard solutions, and determination of the maximum absorbance wavelength (λ_{max}) for CPS compounds.

Selection of solvent Methanol was selected as the solvent for dissolving (4-Chlorophenyl) succinimide.

Preparation of standard & sample solution

To prepared stock solution of standard and sample of (4-Chlorophenyl) succinimide of different batches weighed accurately 10 mg of CPS in 10 ml volumetric flask and dissolved in 10 ml of methanol solution which gives conc. of 1000 μ g/ml or 1000 ppm solutions.

Selection of analytical wavelength

(4-Chlorophenyl) succinimide solution of 100 ppm was scanned under UV-Vis spectrophotometer in the range 200–400 nm against methanol as blank and λ_{max} was obtained at 222 nm.

Method Validation

Validation is the process of establishing documented evidence, which provides a high degree of assurance that a specific activity will always produce the desired result or product meeting its predetermined specification and quality characteristics.

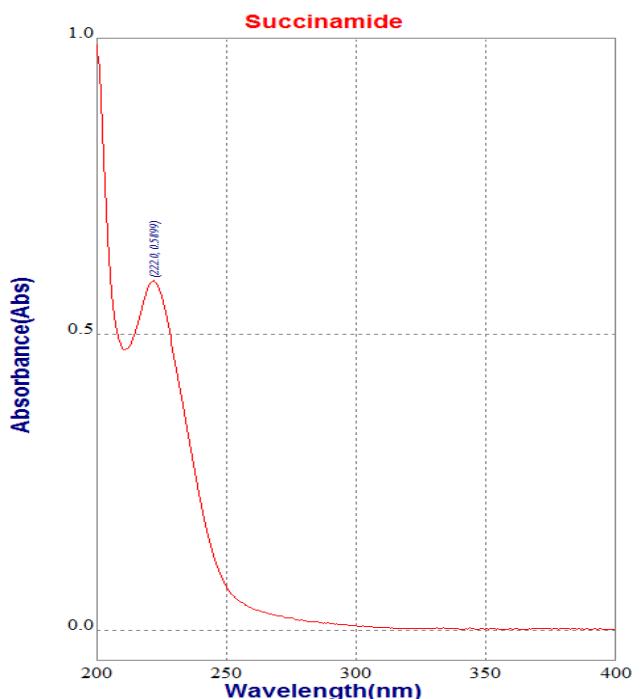


Figure 2: UV spectrum of CPS

Linearity

From the stock solution (1000 $\mu\text{g/ml}$), aliquots of 0.1–1.0 ml were diluted with methanol to a final volume of 10 ml, yielding solutions with concentrations ranging from 10 to 100 $\mu\text{g/ml}$. The absorbance of these solutions was measured at 222 nm, and a standard calibration curve was plotted as absorbance versus concentration. The curve showed linearity within the concentration range of 10–100 $\mu\text{g/ml}$, with a correlation coefficient (R^2) of 0.9991.

Table 1: Linearity of CPS in working standard

Sr. No.	Concentration (ppm)	Absorbance
1	10	0.0619
2	20	0.116
3	30	0.169
4	40	0.235
5	50	0.293
6	60	0.352
7	70	0.404
8	80	0.459
9	90	0.513
10	100	0.589

Average of ten determination

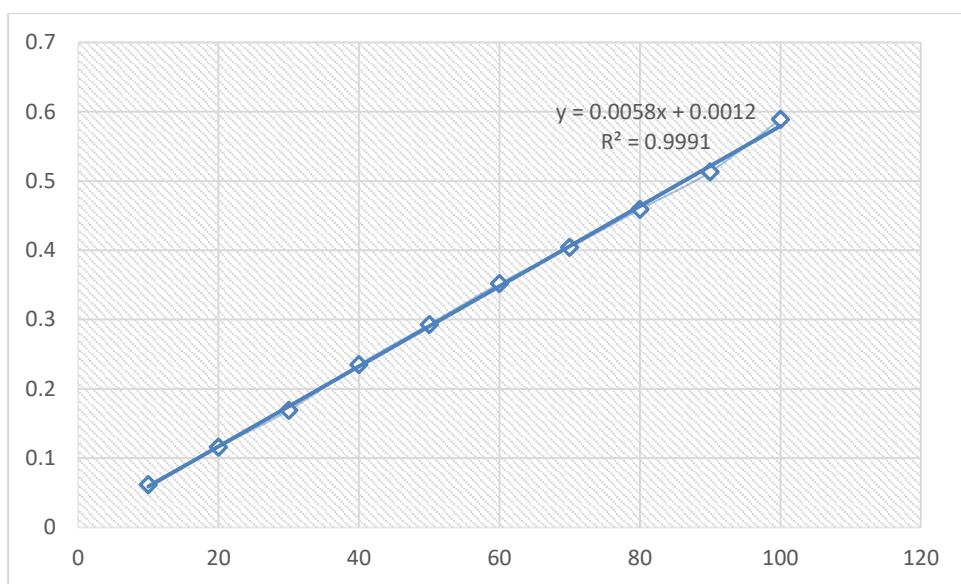


Figure 3: Standard calibration curve of CPS

Table 2: Optical Characteristics of CPS

Parameters	Result
Beer's law limit ($\mu\text{g}/\text{ml}$)	10-100 $\mu\text{g}/\text{ml}$
Correlation coefficient	0.9991
Regression equation (Y^*)	$0.0058x + 0.0012$
Slope (a)	$0.0058 x$
Intercept (b)	0.0012

2. Accuracy

The accuracy of the developed UV spectrophotometric method was evaluated in accordance with ICH Q2(R1) guidelines. A stock solution of the compound was prepared separately from a well-characterized, synthesized batch (used as the standard) and from an independently synthesized batch (used as the test), both at a concentration of 100 $\mu\text{g}/\text{mL}$. From each stock solution, a working solution of 10 $\mu\text{g}/\text{mL}$ was prepared. The working solution prepared from the standard batch was used as the reference (100%) standard solution, while the working solution prepared from the test batch was treated as the unknown test solution.

So; for 80%:

$$100\% = 10 \mu\text{g}/\text{mL}$$

$$80\% = X$$

$$X = 8 \mu\text{g}/\text{mL}$$

for 100%:

$$100\% = 10 \mu\text{g}/\text{mL}$$

$$100\% = X$$

$$X = 10 \mu\text{g}/\text{mL}$$

for 120%:

$$100\% = 10 \mu\text{g}/\text{mL}$$

$$120\% = X$$

$$X = 12 \mu\text{g}/\text{mL}$$

Accuracy was assessed at three concentration levels corresponding to 80%, 100%, and 120% of the nominal test concentration. Accordingly, theoretical concentrations of 8 $\mu\text{g/mL}$, 10 $\mu\text{g/mL}$, and 12 $\mu\text{g/mL}$ were prepared from the test batch stock solution. Each concentration level was prepared in triplicate, and the absorbance of each solution was measured in triplicate at the selected analytical wavelength.

The concentration found for each preparation was calculated by comparing the absorbance of the test solution with that of the standard solution using the following equation:

$$\text{Concentration found } (\mu\text{g/mL}) = \frac{A_{\text{test}}}{A_{\text{standard}}} \times C_{\text{standard}}$$

where A_{test} is the absorbance of the test solution, A_{standard} is the absorbance of the standard solution (10 $\mu\text{g/mL}$), and C_{standard} is the concentration of the standard solution (10 $\mu\text{g/mL}$).

The **percentage recovery** was calculated by comparing the concentration found with the corresponding theoretical concentration according to the equation:

$$\% \text{Recovery} = \frac{\text{Concentration found}}{\text{Theoretical concentration}} \times 100$$

The recovery results were found to be within the acceptable range of 98.56 % - 99.41 %. for all three concentration levels (80–120%). confirming that the proposed UV spectrophotometric method is accurate, reliable, and suitable for quantitative estimation of the compound over the studied concentration range

Table 3: Accuracy study of CPS

No. of Preparation	Concentration ($\mu\text{g/ml}$)		% Recovery	Mean
	Test Solution	Standard solution		
S ₁ :80%	7.95	8	99.37	99.41%
S ₂ :80%	7.93	8	99.12	
S ₃ :80%	7.98	8	99.75	
S ₁ :100%	9.89	10	98.90	98.56%
S ₂ :100%	9.91	10	99.10	
S ₃ :100%	9.769	10	97.69	
S ₁ :120%	11.89	12	99.08	99.05%
S ₂ :120%	11.91	12	99.25	
S ₃ :120%	11.86	12	98.84	

3. Precision

The precision of the method was established through intraday and interday variation studies. In the intraday variation study, three solutions of different concentrations were analyzed at three

different times in a single day: morning, afternoon, and evening. In the interday variation study, solutions of three different concentrations were analyzed three times daily over three consecutive days, and the mean absorbance, standard deviation (SD), and % relative standard deviation (% RSD) were calculated.

$$s = \sqrt{\frac{\sum_{i=1}^n (X_i - \bar{X})^2}{n - 1}}$$

Where,

X = individual value

X = arithmetic mean

n = number of samples

Or Coefficient of variation (C.O.V)

$$\text{Relative standard deviation (\%R.S.D.)} = \frac{\text{SD}}{\text{X}} \times 100$$

Where,

SD= Standard Deviation

X= Mean

Table 4: Intra-day precision studies for CPS

Conc. ($\mu\text{g/ml}$)	Absorbance (nm)			Mean	SD	% RSD
	Trial 1	Trial 2	Trial 3			
40	0.2361	0.2349	0.2357	0.2356	± 0.00061	0.26
80	0.4714	0.4685	0.4708	0.4702	± 0.00147	0.31
100	0.5889	0.5903	0.5905	0.5899	± 0.00085	0.14
Average of % RSD = 0.237%						

Table 5: Inter-day precision studies for CPS

Conc. ($\mu\text{g/ml}$)	Absorbance (nm)			Mean	SD	% RSD
	Day 1	Day 2	Day 3			
4	0.0235	0.0229	0.0237	0.0234	± 0.00042	1.79
8	0.0470	0.0463	0.0465	0.0466	± 0.00037	0.80
12	0.0701	0.0689	0.0697	0.0696	± 0.00061	0.87
Average of % RSD= 1.154%						

Acceptance Criteria

The % RSD of the absorbance of CPS, obtained should not be more than 2.0%.

4. Robustness

The robustness of the method was evaluated by conducting the analysis under varying temperature conditions, specifically at room temperature and 18°C. The absorbances of the 20 µg/ml solution were measured, and the results were expressed as %RSD."

Table 6: Robustness for CPS

Sr. no	Concentration (ppm)	Absorbance	
		Room temperature	18°C
1	20	0.1173	0.1161
2	20	0.1185	0.1155
3	20	0.1189	0.1167
4	20	0.1179	0.1159
5	20	0.1182	0.1162
6	20	0.1178	0.1158
Mean		0.1181	0.1160
SD		0.00056	0.00043
%RSD		0.48	0.37
Average % RSD=0.425%			

Acceptance criteria

The % RSD of the absorbance of CPS, obtained should not be more than 2.0%.

5. Ruggedness

Ruggedness of the method was determined by carrying out the analysis by different analyst and the respective absorbance of 20 µg/ml was noted. The result was indicated as %RSD.

$$s = \sqrt{\frac{\sum_{i=1}^n (X_i - \bar{X})^2}{n - 1}}$$

Where,

X = individual value

X = arithmetic mean

n = number of samples

$$\text{ % Relative standard deviation (%R.S.D.)} = \frac{s}{X} \times 100$$

Where,

S= Standard Deviation

X= Mean

Table 7: Ruggedness for CPS

Sr. No.	Conc. (ppm)	Absorbance		
		Analyst 1	Analyst 2	Analyst 3
1	20	0.118	0.119	0.117
2	20	0.117	0.120	0.116
3	20	0.116	0.118	0.118
4	20	0.117	0.119	0.117
5	20	0.118	0.118	0.117
6	20	0.117	0.119	0.116
Mean		0.117	0.119	0.117
SD		0.0008	0.0008	0.0008
% RSD		0.68	0.67	0.68
Average% RSD= 0.677%				

Acceptance criteria

The % RSD of the absorbance of CPS, obtained should not be more than 2.0%.

6. Limit of detection (LOD)

The limit of detection (LOD) was separately determined based on the standard deviation of response of the calibration curve. The standard deviation of the y intercept and slope of the calibration curve were used.

LOD is calculated from the formula: -

$$\text{LOD} = 3.3 \times \text{S.D.} / \text{S}$$

Where,

LOD = limit of detection

3.3 = Standard Factor

S.D = standard deviation of response for the lowest conc. in the range

S = slope of the calibration curve.

LOD = $3.3 \times 0.00021679 / 0.0058$

LOD was found to be 0.1233 $\mu\text{g/ml}$

7. Limit of quantification (LOQ)

The LOQ is the concentration that can be quantification reliably with a specified level of accuracy and precision. The LOQ was calculated using the formula involving standard deviation of response and slope of calibration curve .The quantitation limit (QL) may be expressed as:

$$\text{LOQ} = 10 \times \text{S.D.} / \text{S}$$

Where,

LOQ = Limit of quantification

10 = Standard Factor

S.D = standard deviation of response for the lowest conc. in the range

S = slope of the calibration curve.

LOQ= $10 \times 0.00021679 / 0.0058$

LOQ was found to be 0.3737 $\mu\text{g}/\text{ml}$.

Table 8: Summary of validation of CPS

Sr. No.	Parameter	Result
1	Linearity indicated by correlation coefficient	0.9991
2	Linear regression equation	$0.0058x + 0.0012$
3	Range	10 $\mu\text{g}/\text{ml}$ – 100 $\mu\text{g}/\text{ml}$
4	Intraday Precision (%RSD)	0.237 %
5	Interday Precision (%RSD)	1.154 %
6	Limit of Detection	0.1233 $\mu\text{g}/\text{ml}$
7	Limit of Quantification	0.3737 $\mu\text{g}/\text{ml}$
8	Robustness indicated by % RSD	0.425%
9	Ruggedness indicated by % RSD	0.677%

The validation of CPS was performed to ensure its reliability and accuracy for analytical purposes. Linearity was demonstrated with a correlation coefficient of 0.9991, indicating a strong linear relationship. The linear regression equation obtained was $0.0058x + 0.0012$, covering a range of 10 $\mu\text{g}/\text{ml}$ to 100 $\mu\text{g}/\text{ml}$. Precision studies showed excellent repeatability, with intraday precision (%RSD) of 0.237 % and interday precision (%RSD) of 1.154 %, reflecting consistent performance across different time points. Sensitivity parameters included a Limit of Detection (LOD) of 0.1233 $\mu\text{g}/\text{ml}$ and a Limit of Quantification (LOQ) of 0.3737 $\mu\text{g}/\text{ml}$, confirming the method's ability to detect and quantify low concentrations. Robustness and ruggedness, evaluated through %RSD values of 0.425 % and 0.677 %, respectively, underscored the method's reliability under varied conditions. These validation results demonstrate that the analytical method is precise, accurate, and suitable for the determination of (4-Chlorophenyl)

Conclusion:

The developed UV spectrophotometric method for the quantitative analysis of 4-chlorophenyl succinimide (CPS) was successfully validated in accordance with ICH ICH Q2 (R1) guidelines.

The method demonstrated satisfactory linearity, precision, accuracy, sensitivity, robustness, and specificity.

The method employs methanol as a solvent; however, the overall analytical approach involves low solvent consumption, minimal waste generation, and simple sample preparation, making it comparatively eco-friendly and aligned with green analytical chemistry principles. The use of an eco-friendly solvent system and simple sample preparation highlights the green and cost-effective nature of the method. Overall, the validated UV method is suitable for routine quality control and provides a reliable alternative to more complex chromatographic techniques.

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SOLVENT-FREE MECHANOCHEMICAL SYNTHESIS OF PHARMACEUTICAL INTERMEDIATES: A SUSTAINABLE ROUTE

Simerpreet

Dashmesh Khalsa college, Zirakpur

Corresponding author E-mail: drsimerpreetdkc@gmail.com

Abstract:

The increasing environmental burden associated with solvent-intensive chemical manufacturing has intensified the search for cleaner and more sustainable synthetic methodologies in pharmaceutical chemistry. This study explores a solvent-free mechanochemical approach for the synthesis of key pharmaceutical intermediates using ball-milling techniques as an alternative to conventional solution-phase reactions. Selected organic transformations were carried out under ambient conditions without the use of organic solvents, catalysts, or external heating. Reaction efficiency, product yield, and purity were systematically evaluated and compared with traditional solvent-based methods. Structural confirmation of the synthesized intermediates was achieved using Fourier-transform infrared spectroscopy (FTIR), nuclear magnetic resonance (^1H and ^{13}C NMR), and mass spectrometry. The mechanochemical protocol demonstrated significantly reduced reaction times, high atom economy, and minimal waste generation, aligning with the principles of green chemistry. Furthermore, energy consumption analysis revealed lower operational demands, highlighting the scalability potential of this method for industrial pharmaceutical applications. The findings confirm that mechanochemical synthesis offers a robust, eco-friendly, and economically viable route for producing pharmaceutical intermediates, thereby contributing to the advancement of sustainable chemical manufacturing practices.

Keywords: Mechanochemical Synthesis, Ball Milling, Solvent-Free Methodology, Green Chemistry, Pharmaceutical Intermediates, Sustainable Manufacturing

1. Introduction:

The pharmaceutical industry plays a vital role in improving global health; however, it is also one of the most resource-intensive sectors of the chemical industry. Conventional synthetic routes for pharmaceutical intermediates rely heavily on organic solvents, elevated temperatures, prolonged reaction times, and multistep purification processes. These practices contribute significantly to environmental pollution, high energy consumption, and increased production costs. In recent years, the principles of green chemistry have encouraged the development of alternative synthetic strategies that minimize waste generation, reduce toxicity, and enhance energy

efficiency. Among emerging sustainable methodologies, mechanochemistry has gained considerable attention as a solvent-free or solvent-minimized technique capable of driving chemical reactions through mechanical energy. Mechanochemical synthesis typically employs grinding, milling, or shearing forces to initiate and sustain chemical transformations. Unlike solution-based reactions, mechanochemical processes often proceed under ambient conditions without the need for bulk solvents, thereby offering an environmentally benign alternative for chemical synthesis. Pharmaceutical intermediates are structurally diverse compounds that serve as key building blocks in the synthesis of active pharmaceutical ingredients (APIs). The development of greener synthetic routes for these intermediates is critical to reducing the overall environmental footprint of drug manufacturing. Mechanochemistry presents a promising pathway to achieve this goal by enabling high-yield reactions with reduced waste, simplified work-up procedures, and improved atom economy.

This research paper examines the solvent-free mechanochemical synthesis of selected pharmaceutical intermediates, focusing on reaction efficiency, sustainability metrics, and comparative performance against conventional solvent-based methods. The study aims to demonstrate the viability of mechanochemistry as a scalable and sustainable route for pharmaceutical intermediate production.

2. Green Chemistry and Mechanochemical Principles

Green chemistry is guided by twelve fundamental principles that emphasize waste prevention, safer solvents, energy efficiency, and the use of renewable resources. Solvent elimination or reduction is particularly important, as solvents often account for the majority of mass and environmental impact in chemical processes. Mechanochemistry aligns closely with these principles by enabling reactions to occur in the solid state or with minimal liquid assistance. Mechanochemical reactions are driven by mechanical forces generated through grinding or milling, which induce bond cleavage, molecular diffusion, and enhanced contact between reactants. Ball milling is one of the most commonly employed mechanochemical techniques, wherein reactants are placed in a sealed milling jar along with milling balls. The repeated collision of balls with the reactant mixture provides sufficient energy to overcome activation barriers and promote chemical transformations.

Several advantages distinguish mechanochemistry from traditional synthesis methods. These include reduced reaction times, improved selectivity, elimination of hazardous solvents, and simplified purification. Additionally, mechanochemical reactions often exhibit unique reactivity patterns that are difficult or impossible to achieve in solution-phase chemistry. As a result,

mechanochemistry is increasingly being explored for organic synthesis, coordination chemistry, polymer science, and pharmaceutical applications.

3. Materials and Methods:

3.1 Selection of Pharmaceutical Intermediates

Representative pharmaceutical intermediates were selected based on their relevance to widely used therapeutic classes such as anti-inflammatory, antimicrobial, and analgesic drugs. The chosen intermediates involved common organic transformations, including condensation, substitution, and cyclization reactions. These reactions were selected to evaluate the versatility of mechanochemical methods across different reaction types.

3.2 Mechanochemical Synthesis Procedure

All mechanochemical reactions were carried out using a laboratory-scale planetary ball mill. Stoichiometric amounts of solid reactants were accurately weighed and transferred into stainless steel milling jars along with milling balls of appropriate size. No organic solvents or catalysts were added unless otherwise specified. The milling process was conducted at controlled rotational speeds for predetermined time intervals.

Reaction progress was monitored by periodically stopping the milling process and analyzing small samples using thin-layer chromatography (TLC). Upon completion, the reaction mixtures were collected and subjected to minimal purification techniques, such as simple washing with water or recrystallization, avoiding chromatographic separation wherever possible.

3.3 Conventional Solvent-Based Synthesis

To establish a meaningful comparison with solvent-free mechanochemical routes, the selected pharmaceutical intermediates were also synthesized using conventional solvent-based methodologies documented in the literature. These traditional reactions were performed under solution-phase conditions employing commonly used organic solvents such as ethanol, methanol, acetone, acetonitrile, dimethylformamide, or dichloromethane, depending on the nature of the reactants and the reaction type. In most cases, the reactions required controlled heating under reflux or elevated temperature conditions to achieve acceptable conversion and yield.

Stoichiometric quantities of reactants were dissolved in the appropriate solvent and subjected to continuous stirring to ensure homogeneous mixing. Reaction progress was monitored using thin-layer chromatography at regular intervals, and the reactions were allowed to proceed for several hours until completion. In contrast to mechanochemical synthesis, prolonged reaction times were often necessary to overcome diffusion limitations and activation energy barriers in solution-phase systems. Upon completion, the reaction mixtures underwent conventional work-up procedures, which typically included cooling, solvent evaporation under reduced pressure,

liquid–liquid extraction, and aqueous washing steps to remove unreacted materials and by-products. In several instances, additional purification techniques such as column chromatography or repeated recrystallization were required to obtain the desired intermediates in analytically pure form. These purification steps significantly increased solvent consumption and processing time. Key performance parameters including reaction time, isolated yield, solvent volume, energy input, and complexity of purification were systematically recorded for each conventional synthesis. When compared to mechanochemical methods, solvent-based reactions generally exhibited higher solvent usage, greater energy demand due to heating and solvent removal, and increased waste generation. Despite producing acceptable yields, the environmental and operational drawbacks associated with these methods underscore the limitations of traditional synthetic approaches for pharmaceutical intermediates. This comparative analysis highlights the inherent inefficiencies of solvent-intensive synthesis and reinforces the need for alternative strategies such as mechanochemistry, which offer improved sustainability, reduced environmental impact, and simplified processing without compromising product quality.

3.4 Characterization Techniques

The synthesized intermediates were characterized using standard analytical techniques. Fourier-transform infrared spectroscopy (FTIR) was employed to confirm functional group transformations. Proton and carbon nuclear magnetic resonance (^1H and ^{13}C NMR) spectroscopy provided structural verification, while mass spectrometry was used to confirm molecular weight and purity. Melting point analysis was also conducted to assess product consistency.

4. Results and Discussion:

4.1 Reaction Efficiency and Yield

The mechanochemical synthesis of pharmaceutical intermediates exhibited markedly enhanced reaction efficiency when compared to conventional solvent-based methodologies. Most mechanochemical reactions reached completion within substantially shorter timeframes, with effective conversion often achieved within 30–60 minutes of milling. In contrast, analogous reactions conducted under traditional reflux conditions typically required several hours to attain comparable levels of completion. This significant reduction in reaction time underscores the effectiveness of mechanical energy as a driving force for chemical transformations in the solid state. The isolated yields obtained from mechanochemical routes were found to be comparable to, and in many instances exceeded, those achieved through solvent-based synthesis. The high yields observed can be attributed to efficient utilization of reactants and minimal formation of side products. Unlike solution-phase reactions, where dilution and solvent–solute interactions can suppress reaction rates or promote competing pathways, mechanochemical processes

maintain high local reactant concentrations, thereby favoring productive collisions and desired reaction pathways.

The enhanced performance of mechanochemical reactions can be primarily ascribed to improved interfacial contact between solid reactants and the generation of localized high-energy sites during milling. Repeated collisions between milling balls and reactant particles induce lattice defects, particle size reduction, and increased surface area, all of which contribute to accelerated reaction kinetics. Additionally, the localized temperature and pressure fluctuations created during milling events provide sufficient activation energy to overcome reaction barriers without the need for external heating.

The complete elimination of bulk solvents further contributes to the efficiency of mechanochemical synthesis. In the absence of solvents, dilution effects are avoided, and the probability of effective molecular interactions is significantly increased. This environment promotes greater reaction selectivity and reduces the likelihood of solvent-induced side reactions or degradation pathways. As a result, mechanochemical reactions often produce cleaner product profiles, simplifying downstream purification processes. Collectively, these factors—enhanced reactant contact, localized energy input, and solvent-free conditions—synergistically contribute to improved reaction kinetics, higher efficiency, and reduced processing time. The observed performance advantages clearly demonstrate the potential of mechanochemical synthesis as a superior alternative for the rapid and sustainable preparation of pharmaceutical intermediates.

4.2 Environmental and Sustainability Assessment

One of the most significant advantages of mechanochemical synthesis is the substantial reduction in solvent usage. Eliminating organic solvents not only minimizes hazardous waste generation but also reduces the environmental and health risks associated with solvent handling and disposal. The calculated E-factor values for mechanochemical reactions were considerably lower than those of conventional methods, indicating reduced waste production. Energy consumption analysis revealed that mechanochemical processes require lower overall energy input due to the elimination of prolonged heating and solvent evaporation steps. Furthermore, simplified purification procedures reduce the need for additional solvents and resources, further enhancing sustainability.

4.3 Product Purity and Characterization

Comprehensive analytical characterization confirmed that the pharmaceutical intermediates synthesized via mechanochemical routes possessed high purity and well-defined structural integrity. Fourier-transform infrared (FTIR) spectroscopy revealed characteristic absorption bands corresponding to the expected functional groups, clearly indicating successful chemical

transformations under solvent-free conditions. The appearance and disappearance of diagnostic vibrational peaks were consistent with the proposed reaction pathways, confirming effective bond formation without undesired side reactions. Nuclear magnetic resonance (¹H and ¹³C NMR) spectroscopy further validated the structural fidelity of the synthesized intermediates. The chemical shifts, signal multiplicities, and integration ratios closely matched those reported for analogous compounds prepared through conventional methods. The absence of extraneous peaks in the NMR spectra indicated minimal impurity content, underscoring the effectiveness of mechanochemical synthesis in producing structurally clean products.

A notable advantage of the mechanochemical approach was the reduced presence of solvent-related impurities. Since no organic solvents were employed during the reaction stage, issues such as solvent entrapment, residual solvent contamination, and solvent-induced degradation were entirely avoided. This resulted in cleaner product profiles and significantly simplified purification procedures, often eliminating the need for extensive chromatographic separation. In many cases, simple washing or recrystallization was sufficient to obtain analytically pure compounds. Additionally, mechanochemical synthesis demonstrated enhanced reaction selectivity for certain pharmaceutical intermediates. Compared to solution-phase reactions, which can promote competing pathways due to solvation effects and prolonged exposure to reactive conditions, mechanochemical processes favored the formation of the desired products with minimal by-product generation. The controlled mechanical activation and high local reactant concentration in the solid state likely contribute to this improved selectivity by limiting molecular mobility and suppressing side reactions. These findings highlight the capability of mechanochemistry to offer superior control over reaction outcomes, leading to high-purity products with improved selectivity and reduced downstream processing requirements. The observed improvements in product quality further reinforce the suitability of mechanochemical synthesis as a robust and sustainable approach for pharmaceutical intermediate production.

4.4 Comparison with Conventional Methods.

A comparative evaluation of mechanochemical and solvent-based synthesis revealed clear advantages of the former in terms of sustainability, efficiency, and operational simplicity. While conventional methods rely on hazardous solvents, extended reaction times, and energy-intensive conditions, mechanochemical routes offer a cleaner and more efficient alternative. However, certain limitations were also identified. Scale-up of mechanochemical reactions requires careful optimization of milling parameters, and equipment availability may pose challenges in some industrial settings. Despite these limitations, ongoing advancements in mechanochemical reactor design are expected to facilitate broader industrial adoption.

5. Industrial Relevance and Scalability

The application of mechanochemistry in pharmaceutical manufacturing presents substantial potential for advancing sustainable process development at the industrial scale. Recent progress in mechanochemical engineering has led to the development of continuous processing technologies, such as continuous ball mills and twin-screw extrusion systems, which address many of the scalability challenges traditionally associated with batch mechanochemical reactions. These advanced reactors allow for consistent energy input, controlled residence time, and uniform mixing, thereby ensuring reproducibility and product consistency during large-scale production. Twin-screw extrusion, in particular, has emerged as a promising platform for continuous solvent-free synthesis. The technology enables precise control over critical reaction parameters, including temperature, shear force, pressure, and mechanical energy distribution. Such control facilitates fine-tuning of reaction conditions to optimize yield and selectivity while minimizing by-product formation. Moreover, the modular design of extrusion systems allows for seamless integration into existing pharmaceutical manufacturing lines, supporting continuous production and real-time process monitoring in accordance with modern process analytical technology (PAT) frameworks.

From an economic standpoint, solvent-free mechanochemical synthesis offers considerable cost advantages over conventional solvent-based processes. The elimination of organic solvents significantly reduces raw material expenditure and minimizes the need for solvent recovery, storage, and disposal infrastructure. Additionally, simplified work-up and purification procedures lead

6. Challenges and Future Perspectives

Despite its advantages, mechanochemical synthesis faces certain challenges that must be addressed to achieve widespread industrial implementation. These include limited mechanistic understanding of solid-state reactions, scalability concerns, and equipment standardization. Further research is needed to elucidate reaction pathways and optimize milling conditions for diverse chemical systems. Future studies should focus on expanding the scope of mechanochemical reactions applicable to pharmaceutical intermediates, exploring catalyst-assisted mechanochemistry, and integrating real-time analytical monitoring. The combination of mechanochemistry with digital process optimization and artificial intelligence may further enhance reaction predictability and efficiency

Conclusion:

The present study demonstrates that solvent-free mechanochemical synthesis offers a viable, efficient, and environmentally responsible alternative to conventional solvent-based routes for

the preparation of pharmaceutical intermediates. By utilizing mechanical energy to drive chemical transformations, the need for hazardous organic solvents, prolonged heating, and energy-intensive reaction conditions is significantly reduced. The investigated mechanochemical protocols achieved high reaction efficiency, satisfactory yields, and excellent product purity while adhering closely to the principles of green chemistry.

Comparative analysis revealed that mechanochemical methods outperform traditional solution-phase synthesis in terms of reduced reaction time, lower waste generation, and simplified work-up procedures. The substantial decrease in solvent consumption directly contributes to improved sustainability metrics, including lower E-factors and reduced environmental impact. Furthermore, the solid-state nature of these reactions minimizes solvent-induced side reactions, leading to improved selectivity and cleaner product profiles.

From an industrial perspective, mechanochemical synthesis holds strong potential for sustainable pharmaceutical manufacturing. The scalability of ball-milling and emerging continuous mechanochemical technologies, such as twin-screw extrusion, provide promising pathways for large-scale implementation. Although challenges related to process optimization, mechanistic understanding, and equipment standardization remain, ongoing advancements in mechanochemical engineering are expected to address these limitations.

Overall, solvent-free mechanochemistry represents a transformative approach for the synthesis of pharmaceutical intermediates, balancing efficiency, economic feasibility, and environmental responsibility. The adoption of this methodology can significantly contribute to the development of greener and more sustainable pharmaceutical production processes, supporting global efforts toward environmentally conscious chemical manufacturing.

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RESEARCH AND REVIEW METHODOLOGIES IN MATERIALS AND CHEMICAL SCIENCE: PRINCIPLES, PRACTICES AND EMERGING TRENDS

Jagdish Chavan, Rajendra Patil, Sudhakar Ujjgare and Anil Beldar*

Department of Chemistry,

Poojya Sane Guruji Vidya Prasarak Mandal's Sajan Isan Patil Arts, Girdhar Barku Patel Science, and Shahada Taluka Kharedi Vikri Sangh Commerce College, Shahada, Nandurbar, India 425409

*Corresponding author E-mail: dragbeldar@gmail.com

Abstract:

Research and review literature constitute the backbone of progress in materials and chemical science by enabling systematic discovery, interpretation, and application of new materials, molecules, and processes. This chapter provides a comprehensive and structured examination of how research is conceptualized, designed, executed, validated, and communicated in these fields. Particular emphasis is placed on experimental, theoretical, computational, and data-driven methodologies; the end-to-end research workflow from problem definition to interpretation; and the principles of reproducibility, transparency, and ethical integrity. The chapter further analyzes the critical role of review articles in consolidating knowledge, comparing methodologies, resolving conflicting results, and identifying research gaps and future directions. By elucidating the dynamic interplay between original research and review literature, this chapter highlights their collective importance in advancing reliable, impactful, and sustainable innovation in materials and chemical science.

Keywords: Materials Science; Chemical Science; Research Methodology; Review Articles; Structure Property Relationships; Reproducibility; Scientific Integrity; Data-Driven Discovery; Sustainability.

1. Introduction:

Materials and chemical sciences are foundational to modern scientific and technological progress, underpinning developments in energy storage and conversion, catalysis, electronics, structural materials, environmental remediation, and biomedical applications. Materials science focuses on understanding and controlling the relationships between composition, structure, processing, and properties, integrating principles from chemistry, physics, and engineering to enable rational materials design.¹ Chemical science provides the molecular-level framework for

this effort by addressing synthesis, bonding, structure, reactivity, and transformation processes that govern material behavior.²

Scientific advancement in these fields is driven primarily by original research, which generates new knowledge through experimental investigation, theoretical formulation, and computational modeling. Research articles report novel materials, reactions, mechanisms, and analytical methodologies, often establishing structure–property or structure–function relationships that guide subsequent innovation.³ However, the rapid expansion of the scientific literature and increasing methodological complexity make it difficult for researchers to maintain a comprehensive and critical overview of emerging developments.⁴

Review literature addresses this challenge by synthesizing existing studies, comparing methodologies, resolving discrepancies, and identifying unresolved questions and future research directions.⁵ Well-executed reviews provide conceptual frameworks, promote methodological standardization, and enhance reproducibility and reliability across laboratories.⁶ The interaction between original research and review studies is therefore essential for cumulative and trustworthy progress, ensuring that new discoveries are embedded within a critically evaluated and coherent knowledge base.⁷

2. What Counts as Research in Materials and Chemical Science

2.1 Research Goals and Questions

Research in materials and chemical science is defined by the systematic pursuit of new knowledge aimed at understanding, designing, and improving materials, molecules, and chemical processes. Such research is typically hypothesis-driven or objective-oriented and relies on reproducible experimental, theoretical, or computational methodologies.⁸ Original research contributes to the scientific literature either by uncovering fundamental principles or by demonstrating measurable advances in performance, efficiency, stability, or sustainability relative to existing systems.⁹ A central objective of materials and chemical research is the establishment of structure property relationships, which link atomic and molecular structure, defects, microstructure, and interfaces to macroscopic physical, chemical, or mechanical properties.¹⁰ Understanding these relationships enables rational design and predictive optimization rather than empirical trial-and-error approaches. Closely related is the pursuit of mechanistic understanding, in which reaction pathways, transport processes, and interfacial phenomena are identified and quantified using kinetics, spectroscopy, and modeling.¹¹

Increasingly, research emphasizes intentional design and discovery, where materials or molecules are engineered to exhibit targeted properties such as high catalytic selectivity, ionic conductivity, mechanical strength, or optical response. Advances in computational chemistry,

high-throughput experimentation, and data-driven approaches have accelerated predictive discovery and reduced development timelines.^{12,13} Impactful research must also address durability, scalability, and manufacturability, recognizing that performance under ideal laboratory conditions may not translate to real-world operation.¹⁴ A well-formulated research question typically includes (i) a clear hypothesis or objective grounded in existing knowledge, (ii) a measurable outcome, and (iii) a comparison baseline against established benchmarks.^{9,15} These elements ensure interpretability, comparability, and relevance within the broader scientific and technological context.

2.2 Common Research Modes

Research in materials and chemical science is conducted through complementary modes that together provide a comprehensive understanding of complex systems. Experimental research forms the backbone of the field, encompassing synthesis, processing, characterization, and performance evaluation. Experimental approaches range from bulk synthesis and thin-film deposition to nanomaterial fabrication and device integration. Precise control of composition, processing conditions, and testing environments is essential for linking structure to function.^{16,17} Theoretical and computational research provides mechanistic insight and predictive capability. Electronic structure methods such as density functional theory enable calculation of energetics, bonding, and electronic properties, while molecular dynamics simulations capture finite-temperature behavior and transport phenomena.^{18,19} Mesoscale and continuum models further enable prediction of microstructure evolution and macroscopic behavior.²⁰

Data-driven and high-throughput approaches integrate automation, databases, and machine learning to rapidly explore large compositional or structural spaces. These methods accelerate discovery, support pattern recognition across datasets, and enable predictive modeling of material properties and stability.^{21,23} Increasingly, high-impact studies integrate multiple modes, combining theory-guided design with experimental synthesis and operando validation.²⁴

3. The Research Workflow

A rigorous research workflow begins with clear problem definition informed by a structured and critical review of the literature. Researchers must identify established knowledge, unresolved controversies, and quantitative metrics that define improvement for the intended application.^{5, 6} This step avoids unnecessary duplication, clarifies novelty, and aligns research questions with accepted terminology, measurement conventions, and benchmarks.⁷ Robust study design requires explicit definition of independent variables, dependent variables, and appropriate controls. Replication across synthesis batches and repeated measurements are essential to quantify uncertainty and distinguish genuine effects from experimental noise.²⁵ Comparability across

studies is challenging due to sensitivity to subtle variations in materials preparation and testing; therefore, standardized reporting and transparent normalization are critical.^{16, 26}

Synthesis and processing play a decisive role in determining microstructure, defects, and interfaces that govern material properties. Detailed reporting of precursor identity, purity, processing atmosphere, thermal history, and post-treatment is essential for reproducibility.^{10, 17} Batch-to-batch variability must be tracked to distinguish intrinsic behavior from procedural artifacts.²⁷ Characterization establishes material identity and structure across length scales. Structural, compositional, morphological, surface, thermal, and functional characterization techniques provide complementary information. Reliance on multiple methods reduces ambiguity and strengthens claims regarding phase identity, oxidation state, active sites, and structure–property relationships.^{28, 30}

3.5 Performance Evaluation and Benchmarking

Performance testing must be conducted under well-defined and application-relevant conditions. Normalization choices and benchmarking against appropriate references must be justified and reported transparently. Reporting uncertainty, stability, and degradation behavior is essential for assessing practical significance rather than isolated peak performance.^{14, 26, 31} Data interpretation links measurements to scientific claims. Mechanistic conclusions should be supported by converging evidence from kinetics, spectroscopy, and modeling. Correlation must not be mistaken for causation, and alternative explanations such as surface area effects, impurities, or microstructural changes must be critically evaluated.^{11, 25, 32}

4. Research Quality: Reproducibility, Reliability, and Ethics

Research quality in materials and chemical science is fundamentally determined by reproducibility, reliability, and ethical integrity. Reproducibility remains a persistent challenge because material properties and chemical behavior are often highly sensitive to multiscale structural features, environmental conditions, and experimental history. Minor variations in precursor purity, synthesis route, processing temperature, atmosphere, humidity, or handling can lead to substantial differences in phase composition, defect density, surface chemistry, and microstructure, which in turn affect measured performance.^{1, 10, 16} Hidden or trace impurities represent a particularly serious source of irreproducibility, as even parts-per-million levels of contaminants can dramatically alter catalytic activity, electrochemical response, or optical properties, leading to incorrect attribution of performance improvements.^{6, 27}

Instrumentation- and protocol-related differences further complicate reproducibility across laboratories. Variations in calibration procedures, reference standards, baseline corrections, and data-processing workflows can introduce systematic bias, making direct comparison between

studies difficult.^{25, 26} In computational and data-driven research, reproducibility additionally depends on transparent reporting of algorithms, datasets, model architectures, and hyperparameters; without such disclosure, reported predictive success may not generalize beyond the original dataset.^{13, 22}

Reliability is strengthened through detailed and precise reporting of experimental procedures, including precursor specifications, synthesis parameters, processing conditions, and measurement protocols. Increasingly, the sharing of raw data, metadata, and analysis scripts is recognized as essential for enabling independent verification and reuse.^{33, 34} Replication across multiple synthesis batches distinguishes intrinsic material behavior from batch-specific artifacts, while repeated measurements quantify experimental uncertainty.²⁵ Statistical rigor, including reporting of uncertainty estimates and confidence intervals, reduces overinterpretation of marginal differences and supports meaningful comparison.^{12, 25}

Ethical conduct is inseparable from research quality. Selective reporting of favorable results, omission of failed experiments, inappropriate image or data manipulation, and misleading normalization practices distort the scientific record and undermine cumulative progress.^{6, 35} Overclaiming mechanistic insight based on correlative evidence rather than converging proof is a common risk in complex materials systems.^{11, 32} Transparency in methods, data, and interpretation enables peer scrutiny and long-term self-correction, reinforcing trust in the literature.^{6, 33}

5. Reviews: Purpose, Types, and Impact

Review articles play a central role in materials and chemical science by consolidating dispersed findings into coherent analytical frameworks. As the literature grows rapidly and becomes increasingly fragmented across techniques, materials classes, and applications, reviews provide researchers with structured overviews that identify consensus, explain discrepancies, and clarify methodological limitations.^{4, 5} Beyond summarizing results, reviews influence research directions by defining performance metrics, highlighting best practices, and situating laboratory advances within broader technological and societal constraints such as cost, scalability, and environmental impact.^{6, 17}

Different forms of reviews serve complementary purposes. Narrative reviews offer broad synthesis guided by expert judgment and are particularly effective for establishing historical context, conceptual models, and mechanistic understanding.^{5, 18} Systematic reviews employ explicit search strategies and inclusion criteria to minimize bias and are increasingly valuable for addressing questions that involve many comparable studies, such as benchmarking of catalysts or stability assessments.^{7, 19} Meta-analyses extend systematic reviews by quantitatively combining

results across studies to identify trends and estimate effect sizes, although their application in materials research is often constrained by heterogeneity in protocols and metrics.^{20, 41} Critical reviews and perspectives challenge prevailing interpretations, identify methodological weaknesses, and propose decisive experiments, thereby accelerating conceptual refinement and field maturation.^{16, 17}

6. Writing a Strong Review Article

Writing a strong review article requires careful definition of scope, transparent literature selection, and analytical organization. The scope must be sufficiently focused to allow meaningful synthesis while broad enough to capture key trends and variations. Explicit consideration of the target audience guides the level of detail and explanatory depth, ensuring that the review is accessible without sacrificing rigor.^{5, 18}

Transparency in literature selection enhances credibility and reproducibility. Even narrative reviews benefit from reporting databases searched, keywords used, time windows considered, and criteria for inclusion. Systematic reviews require additional rigor, including documented screening workflows and justification for study exclusion.^{7, 19, 37} Effective organization reveals structure within the field and may be based on mechanisms, structure, properties, applications, or lifecycle stages, moving the review beyond descriptive listing toward analytical synthesis.^{16, 17}

The most valuable reviews critically evaluate robustness rather than merely cataloging results. This includes assessing reproducibility across laboratories, sensitivity to experimental protocols, adequacy of characterization, and consistency of reported mechanisms. Strong reviews conclude by proposing specific, testable future research directions that directly address identified gaps and uncertainties, thereby guiding the field toward more decisive and impactful studies.^{6, 20}

7. Interplay Between Research and Reviews

Original research and review literature form a dynamic feedback loop that shapes the trajectory of materials and chemical science. Research articles introduce new data, methods, and hypotheses, while reviews integrate and critically evaluate these contributions to establish consensus and identify unresolved questions. Through synthesis and comparison, reviews help distinguish genuine advances from results driven by methodological artifacts or uncontrolled variables.^{6, 16, 22}

As fields mature, this interplay supports a transition from exploratory discovery toward standardized evaluation and technological deployment. Reviews influence experimental norms by recommending protocols, benchmarks, and reporting standards, and they often redirect research effort toward problems of durability, scalability, and real-world relevance.^{14, 17, 23} In this

way, the continuous interaction between research and reviews underpins cumulative knowledge building and long-term scientific reliability.^{6, 22}

8. Emerging Methodological Trends

Materials and chemical science are being reshaped by several methodological trends that influence both research and review practices. Operando and in situ characterization techniques increasingly enable observation of materials under realistic operating conditions, revealing transient states, active phases, and degradation pathways that are inaccessible to ex situ analysis. Such approaches are particularly important for catalysts, batteries, and photoactive materials, where structure and chemistry evolve dynamically during operation.^{24, 32}

Standardization of testing protocols and reporting guidelines is gaining prominence as a means to address reproducibility challenges and improve comparability across studies. Such efforts facilitate systematic review and meta-analysis and reduce unintentional bias arising from protocol choice.^{25, 37} Parallel to this, open data practices are expanding, enabling large-scale comparison, reanalysis, and machine learning applications. Adherence to data stewardship principles emphasizing findability, accessibility, interoperability, and reusability enhance long-term scientific value and predictive capability.^{34, 13}

Sustainability considerations are also increasingly integrated into research and review literature. Beyond peak performance, studies now more frequently evaluate elemental abundance, toxicity, energy intensity of synthesis, recyclability, and environmental impact. Incorporating lifecycle perspectives reflects growing recognition that laboratory optimization must be aligned with sustainable technological deployment.^{36, 39, 45}

9. Common Pitfalls and Mitigation Strategies

Common pitfalls in research include overclaiming novelty, insufficient characterization, unfair benchmarking, short-term stability testing, and weak statistical treatment. In reviews, pitfalls include descriptive listing without synthesis, hidden selection bias, and mixing incomparable metrics. These issues can be mitigated through rigorous literature grounding, transparent protocols, critical evaluation, and explicit treatment of uncertainty.^{5, 26, 35}

Conclusion:

Progress in materials and chemical science depends on rigorous research design, comprehensive characterization, fair benchmarking, and transparent reporting. Reproducibility and ethical integrity are essential for reliable advancement, particularly in systems sensitive to impurities, interfaces, and operating conditions. Review literature plays a critical role in consolidating evidence, resolving disputes, and guiding future research. Together, original research and

reviews shape the quality, pace, and real-world impact of innovation in materials and chemical science.

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ECO-COMPATIBLE ORGANIC REACTIONS: DESIGN, MECHANISMS, AND APPLICATIONS

Harshala P. Boraste* and Dnyaneshwar D. Lokhande

Department of Chemistry,

Arts, Science and Commerce College, Ozar (Mig), Nashik, Maharashtra, 422206

*Corresponding author E-mail: harshalaboraste@gmail.com

Abstract:

A revolutionary approach to contemporary synthetic chemistry, eco-compatible organic reactions address the growing demand to minimize environmental effect while preserving efficiency and functional performance. Conventional organic synthesis frequently uses toxic solvents, dangerous reagents, and energy-intensive processes that result in substantial waste production and environmental issues. Eco-compatible reactions, on the other hand, focus on sustainable design concepts that incorporate renewable resources, atom-economical paths, green solvents, and catalytic efficiency into chemical transformations.

The design approaches, mechanistic underpinnings, and real-world uses of environmentally friendly organic reactions are all covered in detail in this chapter. The use of recyclable catalysts in place of stoichiometric reagents, the use of alternative energy sources such visible light, mechanical force, and biocatalytic activation, and the selection of environmentally safe reaction media are important factors. In order to show how sustainable pathways can improve reaction efficiency, decrease by-product production, and increase selectivity, mechanistic insights are emphasized.

The application of eco-compatible organic reactions in pharmaceuticals, agrochemicals, fine chemicals, and functional materials is further examined in this chapter, demonstrating their increasing industrial significance. Real-world scalability, waste reduction, and regulatory compliance are prioritized. This work emphasizes the significance of eco-compatible organic reactions in promoting sustainable chemical innovation by connecting basic reaction principles with applicable green chemistry concepts. The information offered is intended to assist academics and business experts in creating ecologically friendly synthetic processes that complement the objectives of green manufacturing and sustainable development.

Keywords: Eco-compatible organic reactions; Green chemistry; Sustainable synthesis; Atom economy; Green solvents; Catalysis; Alternative energy activation; Waste minimization.

1. Introduction:

Innovations in pharmaceuticals, materials science, agriculture, and energy have been fueled by the discipline of organic synthesis, which has been a pillar of scientific progress. However, conventional synthetic processes often rely on toxic solvents, hazardous chemicals, and energy-intensive conditions, which present serious safety, economic, and environmental issues. As a result, the idea of eco-compatible organic reactions has surfaced, signifying a revolutionary strategy that puts sustainability first without sacrificing effectiveness or selectivity.

In organic synthesis, eco-compatibility goes beyond just being "less harmful." It represents an all-encompassing approach that completely rethinks reaction design. This includes the development of catalytic systems that reduce waste and energy consumption, the adoption of atom-economical paths, and the careful selection of reagents and solvents. These reactions seek to improve process safety, decrease hazardous byproducts, and enable environmentally responsible, scalable applications by incorporating green chemistry concepts.

Designing eco-friendly transformations requires a thorough understanding of mechanisms. Chemists can find strategies to replace dangerous reagents with safe substitutes, optimize conditions to use less energy, and maximize production with the least amount of waste by clarifying reaction pathways. Eco-compatible reactions have a big impact on industrial operations outside of the lab. They can lead to safer material synthesis, more sustainable pharmaceutical production, and energy-efficient chemical manufacturing.

This chapter explores the fundamental ideas, underlying mechanisms, and real-world uses of environmentally friendly organic processes. It demonstrates how sustainable methods can be easily incorporated into contemporary synthetic chemistry by highlighting tactics that strike a balance between chemical innovation and environmental care. The chapter offers a road map for chemists who want to promote both scientific advancement and ecological responsibility by examining these ideas.

2. Principles of Eco-Compatible Organic Reactions

The design of sustainable and effective chemical transformations is guided by a set of well-defined principles that underpin eco-compatible organic processes. These guidelines seek to reduce the negative effects on the environment while preserving high levels of practicality, selectivity, and reactivity. Chemists can create reactions that are intrinsically safer and more sustainable by combining the principles of green chemistry with careful solvent and catalytic strategy selection.

Green Chemistry Fundamentals:

The conceptual basis for environmentally friendly organic reactions is provided by green chemistry. Its fundamental tenet is that trash should not be treated or remedied after it has been created. By ensuring that the largest percentage of reactant atoms are absorbed into the intended product, reaction designs that optimize atom economy minimize inefficiencies and byproducts. The creation of less dangerous chemical syntheses that steer clear of toxic reagents and reduce hazards to the environment and human health is equally crucial.

The environmental profile of organic reactions is further improved by using safer auxiliaries and solvents, and energy efficiency is attained by carrying out transformations at mild conditions, such as ambient temperature and pressure. Reliance on limited petrochemical resources is decreased by using renewable feedstocks made from biomass or other sustainable sources. In order to produce reactions that are inherently safe, resource-efficient, and sustainable without compromising synthetic performance or commercial feasibility, chemists prioritize these green chemistry principles.

Solvent Selection and Solvent-Free Systems:

Solvents are a significant source of waste and environmental burden, and they frequently make up the biggest material input in organic reactions. As a result, choosing the right solvent is essential to designing an environmentally friendly reaction. Due to their low toxicity, renewability, and ease of disposal, environmentally safe solvents like water and bio-based substitutes like ethanol and ethyl lactate are becoming more and more popular. Ionic liquids and supercritical carbon dioxide are examples of advanced solvent systems that provide extra benefits including adjustable characteristics, less volatility, and improved reaction efficiency for particular transformations.

Solvent-free methods are a crucial tactic for reducing environmental effect in addition to solvent substitution. Mechanochemical techniques completely do away with the need for solvents while frequently increasing reaction rates and yields since reactions are propelled by mechanical energy rather than dissolved reactants. High-performance organic synthesis may be accomplished with much lower resource consumption and waste production, as these solvent-free systems show.

Catalytic versus Stoichiometric Processes:

Eco-compatible reactions are characterized by catalysis, which is at the core of sustainable organic synthesis. Catalytic reactions use small amounts of active species to drive numerous reaction cycles, in contrast to stoichiometric processes that require similar amounts of reagents

and produce huge amounts of waste. This method significantly lowers chemical waste, boosts turnover effectiveness, and frequently improves product yield and selectivity.

Eco-compatible synthesis is supported by a variety of catalytic techniques. Small, metal-free organic molecules are used in organocatalysis to facilitate moderate reactions. In aqueous and environmentally safe settings, biocatalysis uses enzymes to produce remarkable chemo-, regio-, and enantioselectivity. Recyclable and recoverable catalysts are another way to make metal-mediated catalysis sustainable. When taken as a whole, these catalytic strategies demonstrate how effective reaction design may balance environmental and economic goals, solidifying catalysis's position as a key component of environmentally friendly organic chemistry.

3. Mechanistic Foundations of Eco-Compatible Reactions

A deep understanding of reaction mechanisms is central to the rational design of eco-compatible organic reactions. Mechanistic insight allows chemists to tailor sustainable reaction pathways that maximize efficiency, minimize waste, and avoid unnecessary steps or hazardous inputs. By aligning mechanistic principles with green chemistry goals, it becomes possible to design transformations that are both environmentally responsible and synthetically powerful.

Atom Economy and Reaction Pathways:

Atom economy is a key mechanistic concept that directly reflects how efficiently reactant atoms are incorporated into the final product. Reactions with high atom economy inherently generate less waste and are therefore more eco-compatible. Cycloaddition reactions, such as the Diels–Alder reaction, exemplify this principle by incorporating nearly all atoms of the reactants into a single product, eliminating the formation of stoichiometric by-products. Similarly, rearrangement reactions proceed through intramolecular atom migration, producing minimal waste and often avoiding the need for additional reagents.

Pericyclic reactions represent another mechanistically favourable class for sustainable synthesis. These reactions typically proceed through concerted pathways without the requirement for external catalysts or harsh reaction conditions, reducing both energy input and chemical additives. Overall, eco-compatible reaction design emphasizes mechanistic pathways that avoid excessive side reactions, unnecessary protecting group strategies, and multistep sequences that increase solvent use and waste generation.

Catalysis Mechanisms:

Catalysis lies at the heart of eco-compatible organic chemistry, and its sustainability is strongly dictated by underlying mechanistic features. organocatalysis relies on small organic molecules that activate substrates through noncovalent interactions such as hydrogen bonding or through covalent intermediates like enamines and iminium ions. These mechanisms lower activation

energies and enable high selectivity without the use of toxic or scarce metals, making them particularly attractive for green synthesis.

Biocatalysis offers another powerful mechanistic platform for eco-compatible reactions. Enzyme-mediated transformations proceed under mild conditions, often in aqueous media and at ambient

temperature and pressure. The highly evolved active sites of enzymes provide exceptional regio- and enantioselectivity, minimizing by-product formation and eliminating the need for extensive purification. Mechanistically, the precise control exerted by enzymes aligns well with sustainability goals by maximizing efficiency and reducing resource consumption.

Photoredox catalysis represents a modern, energy-efficient approach in which visible light drives single-electron transfer (SET) processes. These mechanistic pathways enable the formation of new chemical bonds under mild conditions while generating minimal waste. By replacing thermal or reagent-intensive activation with light energy, photoredox catalysis significantly improves the environmental profile of many transformations.

Metal catalysis can also be rendered eco-compatible when supported by sustainable mechanistic design. The immobilization of metal complexes on recyclable supports allows catalysts to be recovered and reused over multiple cycles, reducing metal consumption and contamination. Such catalytic systems maintain high activity and selectivity while aligning with principles of waste reduction and resource efficiency.

Across all these mechanistic classes, the guiding objectives remain consistent: maximizing efficiency, enhancing selectivity, and minimizing environmental impact. By grounding eco-compatible reaction design in mechanistic understanding, sustainable organic synthesis becomes both a scientifically robust and industrially viable strategy.

4. Case Studies in Eco-Compatible Reaction Design

Case studies provide concrete evidence of how eco-compatible reaction principles can be translated into practical and efficient synthetic strategies. By examining solvent choice, activation mode, and catalyst design, these examples illustrate how mechanistic insight and green chemistry concepts converge to create sustainable reaction systems.

Water as an Eco-Friendly Solvent:

Because of its availability, non-toxicity, and special mechanistic qualities, water is becoming more widely acknowledged as the perfect environmentally friendly solvent. Water can actively engage in chemical transformations as a reagent or a source of protons in addition to acting as a safe reaction media. Reactive intermediates and transition states are frequently stabilized by the vast hydrogen-bonding network found in aquatic environments, and hydrophobic effects can

bring nonpolar reactants closer together, speeding up reaction rates. One such instance is the application of organocatalysts generated from proline in aqueous aldol processes. By stabilizing enamine intermediates through hydrogen bonding, water improves catalytic efficiency in these systems, resulting in high product yields and superior stereoselectivity.

Significantly, these reactions show the practical and mechanistic benefits of water-based synthesis by doing away with the requirement for volatile organic solvents, making product isolation easier, and greatly reducing environmental effect.

Solvent-Free Synthesis and Mechanochemistry:

Another potent strategy for environmentally friendly synthesis is the use of solvent-free reaction systems, with mechanochemistry emerging as a particularly successful method. Mechanical energy produced by grinding, friction, and pressure usually utilizing ball milling equipment drives reactions in mechanochemical processes. Bulk solvents and the waste and safety issues they cause are totally eliminated by this way of activation, which permits chemical changes to take place in the solid state.

The advantages of this method are demonstrated by Knoevenagel condensation processes carried out in mechanochemical settings. These reactions happen effectively with high yields, little energy input, and significantly shorter reaction durations through direct solid-solid contact between reactants. Mechanochemistry is a scalable and ecologically friendly substitute for traditional solution-phase synthesis since it eliminates the need for a solvent, which also makes purification easier.

Sustainable Synthesis via Organo-catalysis:

Organo-catalysis, which uses tiny organic compounds that are frequently obtained from natural sources like amino acids and chiral amines to catalyse chemical transformations, provides a metal-free path to sustainable organic synthesis. These catalysts enable asymmetric reactions with high levels of stereo-control under mild circumstances through well-defined mechanistic routes, such as the production of enamine and iminium ions.

Aldol reactions catalysed by proline are a well-known illustration of environmentally friendly organo-catalysis. Proline efficiently encourages the formation of carbon-carbon bonds in aqueous or solvent-reduced solutions with outstanding enantioselectivity while avoiding hazardous metals and difficult reaction conditions. The catalyst's ease of use, excellent efficiency, and compatibility with environmentally friendly solvents show how careful mechanistic design may greatly improve the sustainability of organic processes. When taken as a whole, these case studies show that eco-compatible reaction design is both

theoretically and practically feasible. Chemists can create effective, scalable, and ecologically safe synthetic processes that adhere to the concepts of green chemistry and sustainable development by carefully choosing solvents, activation techniques, and catalysts.

5. Applications Across Chemical Industries

Eco-compatible organic reactions are presently revolutionizing a number of chemical sectors and have transcended academic study. These reactions allow producers to achieve high efficiency and product quality while drastically lowering environmental impact, waste creation, and safety hazards by incorporating green chemistry principles into industrial practice.

Pharmaceuticals:

In the pharmaceutical industry, the synthesis of active pharmaceutical ingredients (APIs) is usually a multistep process that includes complex transformations, substantial solvent use, and stringent purification processes. The use of environmentally friendly reaction techniques has significantly increased the sustainability of API manufacture. Green reaction design reduces the use of toxic and hazardous chemicals, resulting in better working conditions and more efficient waste management. Green oxidation techniques, biocatalytic chiral center synthesis, and catalytic asymmetric transformations all enable great selectivity without the use of stoichiometric reagents. Furthermore, continuous flow techniques provide precise control over reaction parameters, eliminate excess reagent usage, and increase heat and mass transfer, resulting in safer, more scalable, and resource-efficient pharmaceutical production.

Agrochemicals and Fine Chemicals:

In the synthesis of agrochemicals and fine chemicals, where large-scale production necessitates both efficiency and regulatory compliance, eco-compatible reactions have also gained popularity. Utilizing highly selective catalytic pathways lowers emissions and streamlines downstream purification processes by reducing the creation of byproducts. Atom-economical transformations, solvent-free environments, and green solvents all help to make manufacturing less expensive and less harmful to the environment. In addition to improving process efficiency, these sustainable methods facilitate adherence to the increasingly strict environmental rules that control the manufacturing of agrochemicals.

Materials and Polymers:

Eco-compatible reactions allow the creation of sophisticated functional materials in the fields of polymer chemistry and materials science without the need for dangerous precursors or challenging reaction conditions. Utilizing renewable and bio-based monomers made from biomass has created new opportunities for the development of sustainable materials. Ring-opening polymerization in green solvents or solvent-free media are examples of environmentally

friendly polymerization methods that minimize waste and energy consumption while providing exact control over polymer design. By combining material innovation with environmental responsibility, these sustainable polymerization techniques facilitate the creation of high-performance materials for uses ranging from packaging to biomedical equipment.

All things considered, the application of environmentally friendly organic reactions in the pharmaceutical, agrochemical, fine chemical, and materials sectors shows their usefulness and expanding industrial significance. These methods are reshaping contemporary chemical manufacturing and assisting the global shift toward greener industrial processes by fusing efficiency, safety, and sustainability.

Conclusion:

In contemporary chemistry, eco-compatible organic reactions are an example of how scientific advancement, environmental responsibility, and practical necessity come together. Chemists are reinventing how organic synthesis can sustainably meet societal and industrial needs through the use of green solvents, effective catalytic systems, and mechanism-driven reaction design. Without sacrificing performance, these methods eliminate hazardous inputs, cut waste, and increase energy efficiency. Eco-compatible strategies facilitate the development of safer, cleaner, and more resource-efficient chemical processes, ranging from basic university research to large-scale commercial applications. In the end, these changes open the door to a future where chemical innovation advances in line with sustainable development objectives and ecological preservation.

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NANOMATERIALS IN DRUG DELIVERY: A COMPARATIVE SYSTEMATIC REVIEW

Jayshree Sadashiv Patil

Department of Chemistry,

MVP Samaj's Arts, Science and Commerce College, Ozar (Mig),

Tal. Niphad, Dist. Nashik, Maharashtra, India - 422206

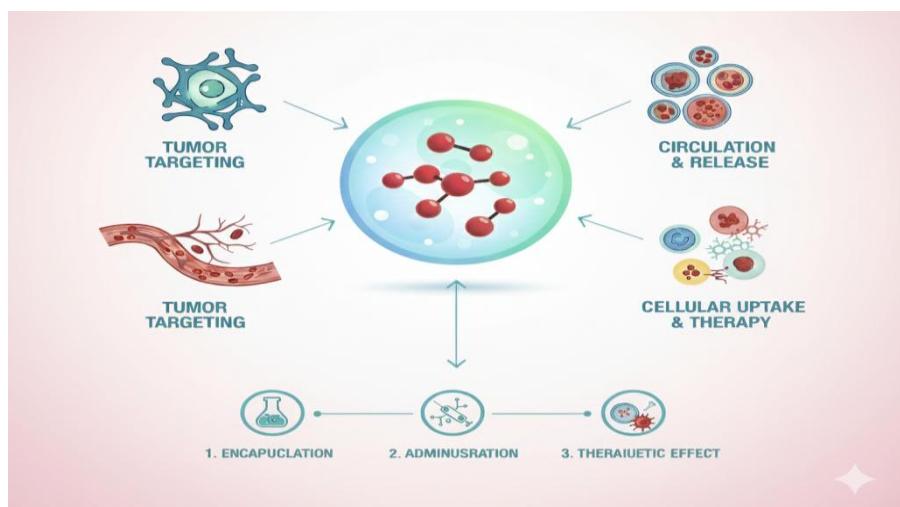
Corresponding author E-mail: patiljayshree86@gmail.com

Abstract:

Recent developments in nanoscience have significantly influenced pharmaceutical research, particularly in the advancement of sophisticated drug delivery technologies. Conventional therapeutic administration often suffers from drawbacks such as non-specific biodistribution, dose-dependent toxicity, limited bioavailability, and inadequate monitoring of therapeutic outcomes. This systematic review critically examines progress in nanomaterial-based drug delivery by evaluating fifteen core peer-reviewed studies, supplemented with recent high-impact literature to enhance analytical depth. The comparative assessment emphasizes targeting capability, safety profile, pharmacokinetic behavior, bioavailability, multifunctional performance, and clinical translation potential. The analysis demonstrates that nanocarrier-based delivery systems offer superior therapeutic efficiency compared to traditional approaches by enabling site-specific delivery, minimizing adverse effects, enhancing drug stability, and facilitating theranostic applications. Collectively, these features highlight nanomedicine as a key enabler of precision-driven and personalized healthcare.

Keywords: Nanomedicine, Drug Delivery Systems, Targeted Therapy, Theranostics, Nanocarrier

Graphical Abstract:



Introduction:

Despite substantial progress in pharmaceutical sciences, effective drug delivery remains a persistent challenge in clinical practice. Traditional modes of drug administration, including oral and intravenous delivery of free drug molecules, often result in widespread systemic distribution, rapid elimination, and reduced therapeutic efficacy. These limitations are particularly pronounced in the treatment of cancer, microbial infections, and chronic disorders, where achieving adequate drug concentration at the diseased site without harming healthy tissues is difficult.

Nano medicine refers to the application of nano-scale materials, typically ranging from 1 to 100 nm, for disease diagnosis, treatment, and monitoring. A diverse range of nano- carriers such as polymeric nanoparticles, liposomes, dendrimers, metallic nanoparticles, and lipid-based systems—exhibit distinctive physicochemical properties, including high surface area, tunable size, adjustable surface charge, and versatile functionalization capability. These attributes allow efficient drug encapsulation, protection against degradation, prolonged circulation time, and controlled release behavior.

Over the last two decades, nanotechnology-driven drug delivery has shown remarkable promise in addressing the shortcomings of conventional pharmacotherapy. Several nano formulations have progressed from laboratory research to clinical trials and regulatory approval, underlining their translational relevance. This review systematically compares nanomaterial-based drug delivery systems with traditional approaches, focusing on mechanistic advantages, therapeutic performance, and future clinical potential.

Methodology:

A structured and systematic literature review was performed in accordance with established review protocols to ensure reproducibility and transparency. Fifteen key peer-reviewed research and review articles were selected from reputable scientific journals, covering applications of nano materials in drug delivery, oncology, diagnostics, antibacterial therapy, and theranostic systems. To strengthen novelty and contextual understanding, additional landmark and recent publications were incorporated.

Selection Criteria

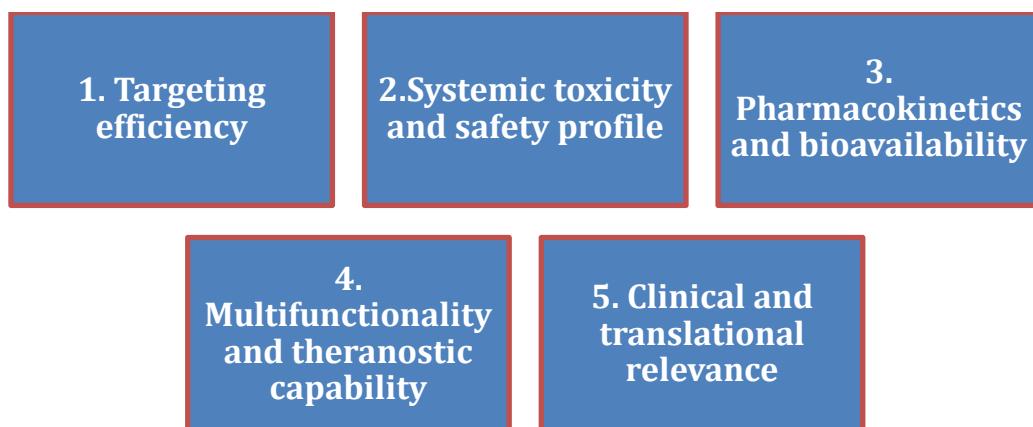
The selected literature fulfilled the following criteria:

- Primary focus on nanomaterial-based drug delivery systems
- Comparative evaluation with conventional drug delivery methods
- Emphasis on therapeutic efficacy, safety, or multi functionality
- Publication in high-quality, peer-reviewed journals

Comparative Framework

Each study was systematically analysed to identify core scientific claims regarding the advantages of nanotechnology-enabled delivery. These claims were critically evaluated against the known limitations of conventional drug delivery systems. The comparison was structured using key performance indicators such as targeting efficiency, systemic toxicity, pharmacokinetics, bioavailability, multi-functionality, and monitoring capability. This framework ensured uniformity and objectivity across all evaluated studies.

Comparative Analysis of Drug Delivery Systems



Targeting Efficiency

Conventional drug delivery systems depend largely on passive systemic circulation, often resulting in insufficient drug accumulation at the intended site of action. Nano-carriers address this challenge through both passive and active targeting strategies. Passive targeting exploits the enhanced permeability and retention (EPR) effect observed in tumor tissues, while active targeting involves surface modification with ligands such as antibodies, peptides, or small molecules. These approaches markedly improve site-specific drug localization and therapeutic effectiveness.

Systemic Toxicity and Safety

Dose-related toxicity is a major concern associated with traditional pharmacotherapy and frequently leads to treatment interruption or dose reduction. Encapsulation of therapeutic agents within nano-carriers restricts premature drug release and limits exposure to healthy tissues. Numerous studies have reported reduced hematological, hepatic, and renal toxicity with nano-medicine-based formulations, resulting in improved safety and patient compliance.

Pharmacokinetics and Bioavailability

Many conventional drugs exhibit poor aqueous solubility, rapid metabolic degradation, and short circulation half-life. Nanoparticles enhance solubility, protect active molecules from enzymatic degradation, and extend systemic residence time. Furthermore, controlled and stimuli-responsive release mechanisms enable sustained drug availability within the therapeutic window, thereby improving clinical outcomes.

Multifunctionality and Theranostics

A major advantage of nano-medicine over conventional systems is the ability to integrate therapeutic and diagnostic functionalities within a single platform. Theranostic nano-carriers enable simultaneous drug delivery and imaging using techniques such as magnetic resonance imaging, fluorescence imaging, or positron emission tomography. This multi-functionality allows real-time monitoring of therapeutic response and facilitates individualized treatment optimization.

Antibacterial and Antimicrobial Applications

Nanoparticle-based delivery offers innovative solutions to combat antimicrobial resistance. Nanocarriers enhance drug penetration into biofilms and enable localized, high-concentration drug delivery. Certain nanomaterials, such as silver and zinc oxide nanoparticles, also possess inherent antimicrobial properties, producing synergistic effects when combined with conventional antibiotics.

Comparison of Conventional and Nanomaterial-Based Drug Delivery Systems

Parameter	Conventional Drug Delivery	Nanomaterial-Based Drug Delivery
Drug distribution	Non-specific	Targeted
Systemic toxicity	High	Reduced
Drug stability	Low	High
Dose requirement	High	Lower
Controlled release	Limited	Tunable
Theranostic capability	Absent	Present
Treatment monitoring	Indirect	Real-time

Results and Discussion:

The analyzed literature clearly demonstrates that nanomaterial-based drug delivery systems effectively address the major shortcomings of conventional pharmacotherapy. Enhanced targeting accuracy, improved pharmacokinetic behavior, and multifunctional capability collectively contribute to superior therapeutic performance while minimizing adverse effects. These benefits are particularly relevant in cancer therapy, infectious disease management, and chronic conditions requiring prolonged treatment.

However, despite these advantages, several challenges continue to limit large-scale clinical translation. These include difficulties in large-scale manufacturing, concerns regarding long-term toxicity, regulatory complexities, and high production costs. Addressing these challenges requires coordinated efforts among material scientists, pharmacologists, clinicians, and regulatory agencies.

Conclusion:

This systematic comparative review establishes nanomaterial-based drug delivery as a substantial advancement over traditional therapeutic approaches. By enabling targeted drug delivery, reducing systemic toxicity, improving bioavailability, and incorporating diagnostic functionality, nanomedicine offers clear advantages over conventional systems. Continued technological innovation, comprehensive safety evaluation, and regulatory harmonization are essential for the successful clinical integration of nanomedicine and its establishment as a cornerstone of precision and personalized healthcare.

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FUNGAL-MEDIATED ZINC NANOPARTICLES: APPLICATIONS, PROSPECTS, AND CHALLENGES

Vinod M* and Ashwini G

BLDEA's Shri. Sanganabasava Mahaswamiji College of Pharmacy and Research Centre,
Vijayapura, Karnataka, India

*Corresponding author E-mail: vinodmadgalkar@gmail.com

Abstract:

Nanotechnology has revolutionized various fields, including medicine, agriculture, and environmental science. Among the various methods of nanoparticle synthesis, biological approaches have garnered significant attention due to their eco-friendly and sustainable nature. Fungal-mediated synthesis of zinc nanoparticles (ZnNPs) is an emerging area of interest, offering advantages such as simplicity, cost-effectiveness, and biocompatibility. This chapter delves into the mechanisms of fungal-mediated ZnNP synthesis, the characterization techniques used to analyze these nanoparticles, and their diverse applications. Key applications discussed include antimicrobial activity, cancer therapy, agricultural enhancements, and environmental remediation. The chapter concludes with future prospects and challenges in the field.

Keywords: Nanotechnology, Zinc Nanoparticles, Fungal-Mediated Synthesis, Characterization Techniques, Applications.

1. Introduction:

Nanotechnology has become a cornerstone of modern science, with nanoparticles exhibiting unique physical, chemical, and biological properties due to their high surface area-to-volume ratio. Among various nanoparticles, zinc nanoparticles (ZnNPs) have shown promise in numerous applications. Traditional methods of ZnNP synthesis, such as chemical and physical approaches, often involve toxic reagents and high energy consumption. In contrast, biological synthesis using fungi presents a green and sustainable alternative. This chapter explores the fungal-mediated synthesis of ZnNPs, their characterization, and their multifaceted applications.

Nanotechnology has emerged as a pivotal force in modern science, driving advancements across numerous fields, including medicine, agriculture, and environmental science. At the heart of nanotechnology lies the use of nanoparticles-particles with dimensions in the nanometer scale (1-100 nm). These nanoparticles exhibit unique physical, chemical, and biological properties, largely attributed to their high surface area-to-volume ratio and quantum effects. Zinc nanoparticles (ZnNPs), in particular, have garnered considerable interest due to their

multifaceted applications, such as antimicrobial agents, drug delivery systems, agricultural enhancements, and environmental remediation tools.

Traditional methods of synthesizing ZnNPs, including physical and chemical approaches, often involve high energy consumption, the use of hazardous chemicals, and production of toxic by-products. These methods, while effective, raise significant environmental and health concerns, prompting the search for greener and more sustainable alternatives. Biological synthesis of nanoparticles, particularly using microorganisms such as fungi, presents a promising alternative. Fungal-mediated synthesis is considered eco-friendly, cost-effective, and capable of producing nanoparticles with enhanced biocompatibility.

Fungi are naturally equipped with robust metabolic pathways and a diverse array of enzymes that can facilitate the reduction of metal ions to their respective nanoparticles. The process typically involves bioreduction, where reductive proteins and metabolites secreted by fungi convert metal salts (such as ZnCl₂) to elemental metal nanoparticles. Additionally, fungi secrete various capping agents, such as proteins and polysaccharides, which stabilize the nanoparticles and prevent aggregation.

This chapter aims to provide a comprehensive overview of fungal-mediated ZnNP synthesis, detailing the underlying mechanisms, the species of fungi involved, and the specific biochemical pathways leveraged during the synthesis process. Characterization of the synthesized ZnNPs is crucial to understand their properties and potential applications. Techniques such as UV-Visible Spectroscopy, X-ray Diffraction (XRD), Transmission Electron Microscopy (TEM), Scanning Electron Microscopy (SEM), Fourier-Transform Infrared Spectroscopy (FTIR), and Dynamic Light Scattering (DLS) are commonly employed to analyze the size, shape, crystalline structure, and surface chemistry of the nanoparticles.

The applications of fungal-mediated ZnNPs are vast and varied. In the field of medicine, ZnNPs have shown potent antimicrobial properties, effective against a broad spectrum of pathogens including bacteria, fungi, and viruses. They also hold promise in cancer therapy, with studies demonstrating their ability to induce cytotoxic effects selectively in cancer cells. In agriculture, ZnNPs enhance plant growth, improve crop yield, and act as biopesticides. Environmentally, ZnNPs are effective in removing pollutants from water and soil, capable of degrading organic contaminants, adsorbing heavy metals, and facilitating the photocatalytic breakdown of dyes.

Despite the promising potential of fungal-mediated ZnNP synthesis, several challenges remain. Issues such as scalability, reproducibility, and comprehensive toxicity studies need to be addressed to fully realize their practical applications. Future research directions include

exploring new fungal strains, optimizing synthesis protocols, and expanding the range of applications for ZnNPs.

2. Mechanisms of Fungal-Mediated Zinc Nanoparticle Synthesis

Fungal-mediated synthesis of zinc nanoparticles (ZnNPs) involves intricate biochemical pathways within fungal cells, where various enzymes and biomolecules play crucial roles in the reduction and stabilization of zinc ions. This section explores the detailed mechanisms underlying this green synthesis approach.

Bioreduction Process

The synthesis of ZnNPs through fungal mediation primarily relies on the bioreduction of zinc salts (e.g., ZnCl₂) to elemental zinc nanoparticles. Fungi possess inherent enzymatic machinery and metabolic pathways that facilitate this bioreduction process. The key steps involved include:

- 1. Extracellular Reductive Enzymes:** Fungi secrete a range of reductive enzymes such as nitrate reductase, alcohol dehydrogenase, and cytochrome P450 enzymes. These enzymes play pivotal roles in catalyzing the reduction of zinc ions by donating electrons from co-factors or by utilizing cellular reducing agents like NADH (Nicotinamide Adenine Dinucleotide - reduced form) and NADPH (Nicotinamide Adenine Dinucleotide Phosphate - reduced form).
- 2. Intracellular Reduction Pathways:** Once zinc ions are transported into fungal cells, they undergo further reduction processes within the intracellular environment. This involves the enzymatic reduction of Zn²⁺ to Zn⁰ (elemental zinc), often mediated by the enzymatic machinery present in fungal cytoplasm and organelles.
- 3. pH and Temperature Influence:** The pH and temperature conditions of the fungal growth medium significantly influence the efficiency and kinetics of the bioreduction process. Optimal pH and temperature conditions enhance enzyme activity and thereby promote efficient synthesis of ZnNPs.

Capping and Stabilization Mechanisms

In addition to reducing zinc ions, fungi also play a crucial role in capping and stabilizing the synthesized nanoparticles. Biomolecules such as proteins, polysaccharides, and other secondary metabolites secreted by fungi act as natural capping agents. These biomolecules adsorb onto the surface of ZnNPs, preventing their aggregation and stabilizing them in colloidal suspension. The capping agents impart additional benefits such as enhancing biocompatibility and providing functional groups that can be further modified for specific applications.

Fungal Species Involved

Various fungal species have been explored for their ability to mediate the synthesis of ZnNPs. Common fungal genera include *Aspergillus*, *Penicillium*, *Fusarium*, *Trichoderma*, and *Rhizopus*. Each species exhibits unique metabolic capabilities and enzyme profiles, influencing the efficiency and characteristics of the synthesized ZnNPs. For instance, *Aspergillus niger* and *Penicillium chrysogenum* are known for their robust enzymatic machinery, making them effective candidates for nanoparticle synthesis.

Factors Influencing Synthesis Efficiency

Several factors influence the efficiency and characteristics of ZnNPs synthesized through fungal mediation:

- **Fungal Growth Conditions:** Nutrient availability, pH, temperature, and incubation period affect fungal growth and enzyme secretion, thereby impacting nanoparticle synthesis.
- **Concentration of Zinc Precursor:** The concentration of zinc salts in the growth medium determines the size, shape, and yield of synthesized ZnNPs. Higher concentrations often lead to larger nanoparticles.
- **Reaction Time:** The duration of fungal incubation with zinc salts influences the kinetics of nanoparticle synthesis. Longer incubation times generally result in larger quantities of nanoparticles.

3. Characterization Of Zinc Nanoparticles

Characterization of zinc nanoparticles (ZnNPs) is essential to understand their physical, chemical, and structural properties, which directly influence their behavior and applications. This section provides an in-depth exploration of the various techniques used for the characterization of ZnNPs.

3.1 UV-Visible Spectroscopy

UV-Visible spectroscopy is a fundamental technique for monitoring the synthesis and stability of zinc nanoparticles in solution. ZnNPs exhibit surface plasmon resonance (SPR) absorption in the UV-Vis spectrum, typically around 300-400 nm depending on their size and shape. The intensity and position of the SPR peak provide information about the size distribution and aggregation state of the nanoparticles. Continuous monitoring of UV-Vis spectra during synthesis can track the formation of ZnNPs and optimize reaction conditions.

3.2 X-ray Diffraction (XRD)

X-ray diffraction (XRD) is employed to determine the crystalline structure and phase purity of ZnNPs. The diffraction pattern obtained from ZnNPs reveals characteristic peaks corresponding to specific crystal planes of zinc. Analysis of these peaks allows for identification of the crystal

structure (e.g., hexagonal, cubic) and estimation of the average crystallite size using Scherrer's equation. XRD is crucial for confirming the formation of zinc nanoparticles and assessing their crystallinity.

3.3 Transmission Electron Microscopy (TEM) and Scanning Electron Microscopy (SEM)

TEM and SEM are powerful techniques used to visualize the morphology, size, and distribution of zinc nanoparticles at the nanoscale level.

- **Transmission Electron Microscopy (TEM):** TEM provides high-resolution images of individual nanoparticles. It enables precise determination of particle size, shape (spherical, rod-shaped, etc.), and aggregation state. Selected area electron diffraction (SAED) can also be performed with TEM to verify the crystalline nature of ZnNPs.
- **Scanning Electron Microscopy (SEM):** SEM provides detailed surface morphology and topographical information of ZnNPs. It offers insights into the overall structure, surface roughness, and spatial arrangement of nanoparticles. Energy-dispersive X-ray spectroscopy (EDS) coupled with SEM can analyze the elemental composition of ZnNPs and confirm their chemical identity.

3.4 Fourier-Transform Infrared Spectroscopy (FTIR)

Fourier-Transform Infrared Spectroscopy (FTIR) is employed to identify the functional groups and biomolecules involved in capping and stabilizing zinc nanoparticles. FTIR spectra of ZnNPs exhibit characteristic absorption bands corresponding to vibrations of bonds in capping agents such as proteins, polysaccharides, and other biomolecules. This technique elucidates the chemical interactions between nanoparticles and capping agents, providing insights into their surface chemistry and stability.

3.5 Dynamic Light Scattering (DLS)

Dynamic Light Scattering (DLS) is utilized to analyze the hydrodynamic diameter and size distribution of zinc nanoparticles in solution. By measuring the fluctuations in light scattering intensity caused by Brownian motion of nanoparticles, DLS calculates the particle size distribution profile. This technique complements TEM and SEM data by providing information on the colloidal stability and aggregation behavior of ZnNPs in liquid media.

3.6 Other Characterization Techniques

- **Atomic Force Microscopy (AFM):** AFM offers high-resolution imaging of ZnNPs at the atomic scale, revealing detailed surface morphology and topography.
- **Thermogravimetric Analysis (TGA):** TGA is used to determine the thermal stability and weight loss profile of ZnNPs, providing information about the composition of capping agents and their thermal decomposition characteristics.

- **Zeta Potential Analysis:** Zeta potential measurement assesses the surface charge of ZnNPs in solution, indicating their colloidal stability and potential interactions with biological systems.

4. Applications of Fungal-Mediated Zinc Nanoparticles

Zinc nanoparticles (ZnNPs) synthesized via fungal mediation have demonstrated versatile applications across various fields due to their unique physicochemical properties and biocompatibility. This section explores in detail the diverse applications of fungal-mediated ZnNPs.

4.1 Antimicrobial Activity

ZnNPs exhibit potent antimicrobial properties against a broad spectrum of microorganisms, including bacteria, fungi, and viruses. The mechanisms underlying their antimicrobial activity include:

- **Membrane Disruption:** ZnNPs interact with microbial cell membranes, disrupting their integrity and leading to leakage of cellular contents.
- **Reactive Oxygen Species (ROS) Generation:** ZnNPs can induce oxidative stress within microbial cells by generating ROS such as superoxide radicals (O_2^-), hydrogen peroxide (H_2O_2), and hydroxyl radicals (OH^-), which cause damage to cellular components.

These antimicrobial properties make fungal-mediated ZnNPs promising candidates for applications in:

- **Medical Settings:** Antimicrobial coatings for medical devices, wound dressings, and topical formulations to combat infections.
- **Food Packaging:** Antimicrobial films and coatings to extend shelf life and prevent foodborne pathogens.
- **Water Treatment:** Disinfection of water supplies and filtration systems to remove harmful bacteria and contaminants.

4.2 Cancer Therapy

ZnNPs have emerged as potential agents in cancer therapy due to their ability to induce cytotoxic effects selectively in cancer cells while sparing healthy cells. Key mechanisms include:

- **Induction of Apoptosis:** ZnNPs can trigger programmed cell death (apoptosis) in cancer cells through various pathways, including mitochondrial dysfunction and caspase activation.
- **Targeted Drug Delivery:** ZnNPs can be functionalized with targeting ligands (e.g., antibodies or peptides) and anticancer drugs, enabling targeted delivery to tumor sites and reducing systemic toxicity.

Applications in cancer therapy include:

- **Drug Delivery Systems:** ZnNP-based nanocarriers for targeted delivery of chemotherapy drugs, enhancing therapeutic efficacy and minimizing side effects.
- **Photothermal Therapy:** ZnNPs can convert near-infrared (NIR) light into heat, selectively ablating cancer cells when combined with laser irradiation.

4.3 Agricultural Applications

In agriculture, fungal-mediated ZnNPs offer several beneficial applications to improve crop yield, enhance plant growth, and combat plant diseases:

- **Nutrient Delivery:** ZnNPs can serve as efficient carriers of zinc micronutrients, facilitating their uptake by plants and correcting zinc deficiency in soils.
- **Biopesticides:** ZnNPs with antimicrobial properties can be used as eco-friendly alternatives to synthetic pesticides, controlling agricultural pests and pathogens.
- **Seed Coatings:** Application of ZnNP-coated seeds enhances germination rates, seedling vigor, and overall crop productivity.

4.4 Environmental Remediation

ZnNPs synthesized via fungal mediation are effective tools for environmental remediation, addressing various pollution challenges:

- **Heavy Metal Remediation:** ZnNPs can adsorb heavy metals from contaminated water and soil, acting as efficient adsorbents due to their high surface area and reactivity.
- **Organic Pollutant Degradation:** Photocatalytic properties of ZnNPs under UV light can degrade organic pollutants, such as dyes and industrial chemicals, enhancing wastewater treatment efficiency.
- **Water Purification:** ZnNP-modified membranes and filters can remove pathogens and pollutants from drinking water, ensuring clean and safe water supplies.

5. Future Prospects and Challenges

Zinc nanoparticles (ZnNPs) synthesized through fungal mediation hold immense promise for various applications, but their widespread adoption faces several challenges. This section explores the future prospects and key challenges associated with fungal-mediated ZnNPs.

5.1 Future Prospects

5.1.1 Advancements in Biomedical Applications

ZnNPs show great potential in biomedical applications, particularly in targeted drug delivery, imaging, and therapeutic treatments. Future research could focus on:

- **Enhanced Targeting Strategies:** Developing advanced targeting ligands and surface modifications to improve specificity and efficacy in delivering therapeutic agents to diseased tissues.
- **Combination Therapies:** Exploring synergistic effects of ZnNPs with other therapeutic agents (e.g., drugs, antibodies) to enhance treatment outcomes in cancer therapy and infectious diseases.
- **Biocompatibility Studies:** Conducting comprehensive studies to ensure biocompatibility and safety profiles of ZnNPs for clinical applications.

5.1.2 Agricultural Innovations

In agriculture, ZnNPs offer sustainable solutions to enhance crop productivity, combat diseases, and improve soil health. Future directions include:

- **Smart Delivery Systems:** Designing nanocarriers that can release nutrients and pesticides in a controlled manner, optimizing plant uptake and minimizing environmental impact.
- **Precision Farming:** Integrating ZnNP-based sensors and smart agronomic practices to monitor soil health, nutrient status, and crop performance in real-time.
- **Resilience to Climate Change:** Exploring how ZnNPs can help crops withstand environmental stresses such as drought, salinity, and pests.

5.1.3 Environmental Remediation

ZnNPs show promise in environmental applications, including water purification, pollutant degradation, and remediation of contaminated soils. Future research may focus on:

- **Scale-Up and Efficiency:** Developing scalable synthesis methods and application technologies to deploy ZnNPs effectively in large-scale environmental remediation projects.
- **Long-Term Stability:** Investigating the long-term stability and fate of ZnNPs in natural environments to ensure their safe and sustainable use.
- **Multifunctional Materials:** Designing multifunctional ZnNP-based materials capable of simultaneously adsorbing pollutants, catalyzing degradation reactions, and generating renewable energy.

5.2 Challenges

5.2.1 Scalability and Reproducibility

One of the primary challenges in the widespread adoption of ZnNPs is achieving consistent synthesis at large scales:

- **Standardization of Synthesis Protocols:** Developing robust protocols that ensure reproducibility of ZnNP synthesis across different fungal strains and environmental conditions.

- **Economic Viability:** Addressing cost-effectiveness and scalability issues to make ZnNP-based technologies economically feasible for commercial applications.

5.2.2 Safety and Toxicity Concerns

Ensuring the safety of ZnNPs is critical for their acceptance in biomedical, agricultural, and environmental applications:

- **Biocompatibility:** Conducting comprehensive toxicity studies to assess potential adverse effects on human health and the environment.
- **Regulatory Compliance:** Adhering to stringent regulatory guidelines and standards to mitigate risks associated with the use of nanomaterials.

5.2.3 Environmental Impact

While ZnNPs offer environmental benefits, their unintended environmental impacts must be thoroughly evaluated:

- **Ecotoxicity:** Investigating the potential ecological risks of ZnNPs, including their accumulation in ecosystems and impact on non-target organisms.
- **Sustainable Use:** Developing strategies for the sustainable synthesis, application, and disposal of ZnNPs to minimize environmental footprint.

Conclusion:

Fungal-mediated synthesis of ZnNPs represents a significant advancement in green nanotechnology. With ongoing research and development, these nanoparticles hold the potential to revolutionize various sectors, providing sustainable and effective solutions to current challenges.

Fungal-mediated synthesis of zinc nanoparticles (ZnNPs) represents a significant advancement in nanotechnology, offering eco-friendly, sustainable, and versatile solutions across various fields. This chapter has explored the mechanisms underlying their synthesis, emphasizing the crucial role of fungi in reducing and stabilizing ZnNPs through enzymatic pathways and biomolecule interactions. Characterization techniques highlighted their unique properties, essential for understanding and optimizing their applications.

The applications of fungal-mediated ZnNPs are diverse and impactful. In medicine, their antimicrobial properties hold promise for combatting infections, while their potential in cancer therapy and drug delivery systems underscores their role in advancing biomedical treatments. In agriculture, ZnNPs contribute to enhancing crop productivity and sustainability through efficient nutrient delivery and biopesticidal activities. Moreover, their applications in environmental remediation demonstrate their capacity to address water and soil pollution effectively.

Looking forward, the field of fungal-mediated ZnNP synthesis faces challenges such as scalability, reproducibility, and comprehensive toxicity assessments, which must be addressed to

realize their full potential. Future research directions include optimizing synthesis protocols, exploring new fungal strains, and developing multifunctional ZnNP-based materials tailored for specific applications. By overcoming these challenges and leveraging their unique properties, fungal-mediated ZnNPs are poised to play a pivotal role in shaping sustainable technologies for the future.

In essence, the journey from synthesis mechanisms to diverse applications underscores the transformative impact of fungal-mediated ZnNPs in science and technology, paving the way for greener, safer, and more effective solutions in medicine, agriculture, and environmental stewardship. As research continues to unfold, collaborations across disciplines will be crucial in harnessing the full capabilities of these nanomaterials for the benefit of society and the planet.

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About Editors



Dr. Deepak R. Nagargoje is an Associate Professor of Chemistry at Karmaveer Bhaurao Patil Mahavidyalaya, Pandharpur, District Solapur, Maharashtra. He has over sixteen years of teaching experience across several colleges of Rayat Shikshan Sanstha, Satara. He qualified the CSIR-UGC NET examination conducted by CSIR, New Delhi, and was awarded a research fellowship. As a Junior Research Fellow at the National Chemical Laboratory, Pune, he worked on amidocarbonylation, hydrogenation, and reductive amination reactions. He earned his Ph.D. in 2009 from Dr. Babasaheb Ambedkar Marathwada University, Aurangabad, under Prof. C. H. Gill, focusing on rational design, synthesis, and identification of biologically active heterocyclic compounds. His research interests include heterocyclic methodology development, medicinal chemistry, and biological evaluation of NCEs. He has published 15 research papers and serves as a reviewer.



Dr. Manoj P. Mahajan is an Assistant Professor in the Department of Physics at V. G. Vaze College of Arts, Science and Commerce (Autonomous), Mulund, Mumbai, with fifteen years of teaching experience. He obtained his Ph.D. in Nanotechnology from the University of Mumbai in 2019, with specialization in nanotechnology and materials science. His research work has resulted in five publications in reputed international journals and one granted patent. He has actively disseminated his research by presenting papers at nearly thirty national and international conferences. Dr. Mahajan has also contributed to undergraduate education by authoring two textbooks for science and engineering students. His academic career demonstrates a balanced commitment to innovative research, scholarly dissemination, and effective teaching in the field of physics and academic leadership roles.



Dr. Sandip Shrivaji Patole holds an M.Sc. in Organic Chemistry, B.Ed., SET (Chemical Sciences), and a Ph.D. in Synthetic Organic Chemistry. He is currently serving as an Associate Professor in the Department of Chemistry at S.S.V.P.S. Arts, Commerce and Science College, Shindkheda, District Dhule, Maharashtra, affiliated with Kavayitri Bahinabai Chaudhari North Maharashtra University, Jalgaon. His research interests include organic synthesis, green chemistry approaches, and heterocyclic synthesis, with emphasis on sustainable and efficient methodologies. Dr. Patole has made notable research contributions, publishing 16 papers in reputed national and international journals. He also holds significant intellectual property, with six national patents and one international patent to his credit. His academic and research career reflects strong dedication to teaching, innovation, and advancement in chemical sciences.



Dr. Damodhar B. Zade is an Assistant Professor of Physics and Head of the Department at Shri J.S.P.M. Arts, Commerce and Science College, Dhanora, District Gadchiroli, Maharashtra. He holds an M.Sc. in Physics from Sant Gadge Baba Amravati University (2003), qualified the NET examination in 2013, and earned his Ph.D. in Solid State Physics from Gondwana University, Gadchiroli, in 2020. He has twelve years of teaching experience at the junior college level and eight years at the undergraduate level. His research focuses on synthesis and characterization of solid-state lighting materials, particularly blue-emitting phosphors. Dr. Zade has published twelve research papers in Scopus, UGC, and Thomson Reuters indexed journals and is a recognized Ph.D. supervisor under Gondwana University in solid state physics and functional materials research.